

# **Occupational Radiation Protection: Stability and challenges in the view of the IAEA Basic Safety Standards.**

**Division of Radiation, Transport and Waste Safety  
Radiation Safety and Monitoring Section**

Miroslav Pinak



**IAEA**

International Atomic Energy Agency

# International Basic Safety Standards

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## IAEA Safety Standards

for protecting people and the environment

### Radiation Protection and Safety of Radiation Sources: International Basic Safety Standards

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General Safety Requirements Part 3

No. GSR Part 3



# **TOWARDS ENSURING APPROPRIATE LEVEL OF RADIATION PROTECTION AND SAFETY**

# Limits ... optimization ... constraints

*Para 1.17:* For planned exposure situations, exposures and risks are subject to control to ensure that the specified **dose limits for occupational exposure .... are not exceeded**, and **optimization is applied** to attain the desired level of protection and safety.

*Para 1.22:* **Dose constraints** are applied to occupational exposure and to public exposure in planned exposure situations.

*Para 3.25:* For occupational exposure and public exposure, registrants and licensees shall ensure, as appropriate, that **relevant constraints are used in the optimization of protection and safety** for any particular source within a practice.

# If compared with 1996 BSS and 2014 BSS: What is the same (**STABILITY**) and What is principally different (**CHALLENGES**)?

## Same:

three fundamental principles of radiation protection (ICRP26, 1971)

- **justification** (all exposure situations)
- **optimization** of protection (all exposure situations)
- **dose limitation** (planned exposure situations, except for patients)

## Different:

**from:** subdivision of RP system into **Practices** and **Intervention**

**to:** **holistic approach** (i.e. to cover **ANY** exposure situation)

- Planned exposure situations      ← **Constraints, Limits**
- Emergency exposure situations      ← **Reference levels**
- Existing exposure situations      ← **Reference levels**

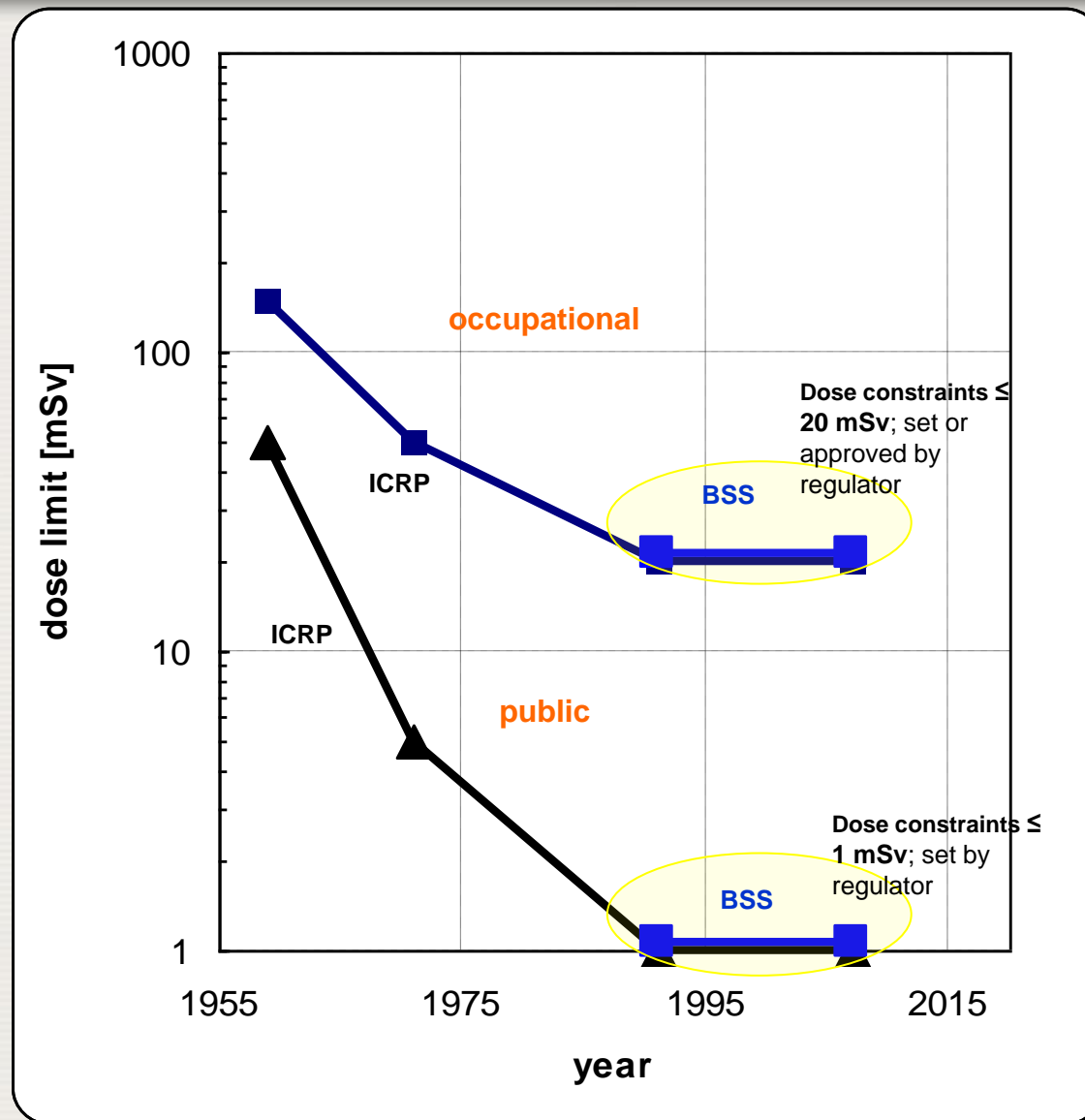
# Occupational exposure as in BSS Chapter 3: Planned Exposure Situations

## Requirements for **occupational exposure**

- **Responsibilities of regulatory body**
- **Monitoring and recording** of exposures
- **Responsibilities of employers and licensees**
- **Compliance** by workers
- **Classification** of (work) areas: controlled and supervised
- **Local rules** and **personal protective equipment**
- **Assessment** of occupational exposure
- **Information, instruction and training**
- **Special arrangements** – pregnant and breast-feeding women, persons under 18 years of age

# STABILITY

# Development of dose limits





## DOSE LIMITS

	Occupational exposure		Public exposure
	over 18 years of age	16 to 18 years of age	
<b>Whole body</b>	<b>20</b> mSv averaged over 5 years max <b>50</b> mSv in a single year	<b>6</b> mSv	<b>1</b> mSv
<b>Lens of the eyes</b>	<b>20</b> mSv	<b>20</b> mSv	<b>15</b> mSv
<b>Skin, extremities</b>	<b>500</b> mSv	<b>150</b> mSv	<b>50</b> mSv

# CHALLENGES:

Selected Issue I:

**Responsibility**



# Responsibility for protection and safety

To establish and maintain a legal, regulatory and organizational framework

- Government

To establish or adopt regulations and guides

- Regulatory body

## Prime responsibility

- Person or organization responsible for facilities and activities
- Principal parties

Specified responsibility

- Other parties

**Selected Issue II:**  
**OPTIMIZATION**

# 1. Optimization – as being formulated in the BSS

- **SP5 of the IAEA Safety Fundamentals:** Protection must be optimized to provide the **highest level of safety that can reasonably be achieved**.
- **Optimization linked to ALARA:** The optimization of protection and safety, when applied to the exposure of workers, members of the public and comforters and caretakers of patients undergoing radiological procedures, **is a process** for ensuring that the **magnitudes and likelihood of exposures** and the numbers of individuals exposed are **as low as reasonably achievable**, taking social and economic factors into account... (1.15)

## 2. Optimization – is it “process” or “end point”?

- ...Optimization is a **forward-looking iterative process** requiring both qualitative and quantitative judgments... (ICRP Publ.103)
- In the optimization process, **the intended outcome** would be that **ALL exposures reach levels that are** as low as reasonably achievable, social and economic factors being taken into account...



Optimization must satisfy **both**:

to **be processed**, and (this process) to **be finished** (i.e. reach levels) by leading to the expected conditions (e.g. ALARA)

however ...

## ... there is the legal issue too

- obligation “to be processed (i.e. subject of optimization process)” is **ALREADY MET** by starting the process
- however, obligation “to be optimized” is met **ONLY IF the process ends** with an optimized status



And then requirement(s) for optimization must read as:

In all exposure situations, **each party** with responsibilities for protection and safety **shall ensure that protection and safety is optimized.** (*and NOT - “is subject to optimization process”*)

# Both: “process” and “end point”!

Exposure to individual **BEFORE**  
optimization of protection and safety

**WAY how to meet  
LEGAL OBLIGATION**

**PROCESS** of optimization of protection and safety (ICRP Publ.101), *inter alia* to ensure equity of distribution of exposure by

- Shielding
- Distance from source
- Layout of facility
- Time of work with the source
- Number of workers, etc.

Exposure to individual **AFTER**  
optimization of protection and safety  
i.e. status when **P&S is optimized**



### 3. Optimization – who is in charge?

#### Top to down approach:

- The **government or regulatory body** shall **establish and enforce requirements** for optimization of protection and safety. (Req. 11)



- **Registrants and licensees** shall **ensure** that protection and safety **is optimized**. (para 3.23) ← **i.e. PRINCIPAL PARTY**



- (Employers, registrants and licensees shall..) involve **workers**, through their representatives if appropriate, **in optimization** of protection and safety (para 3.77(a))

**Selected Issue III:**

# **DOSE CONSTRAINT**

# Few thoughts on “dose constraints”

- **What is dose constraint?**

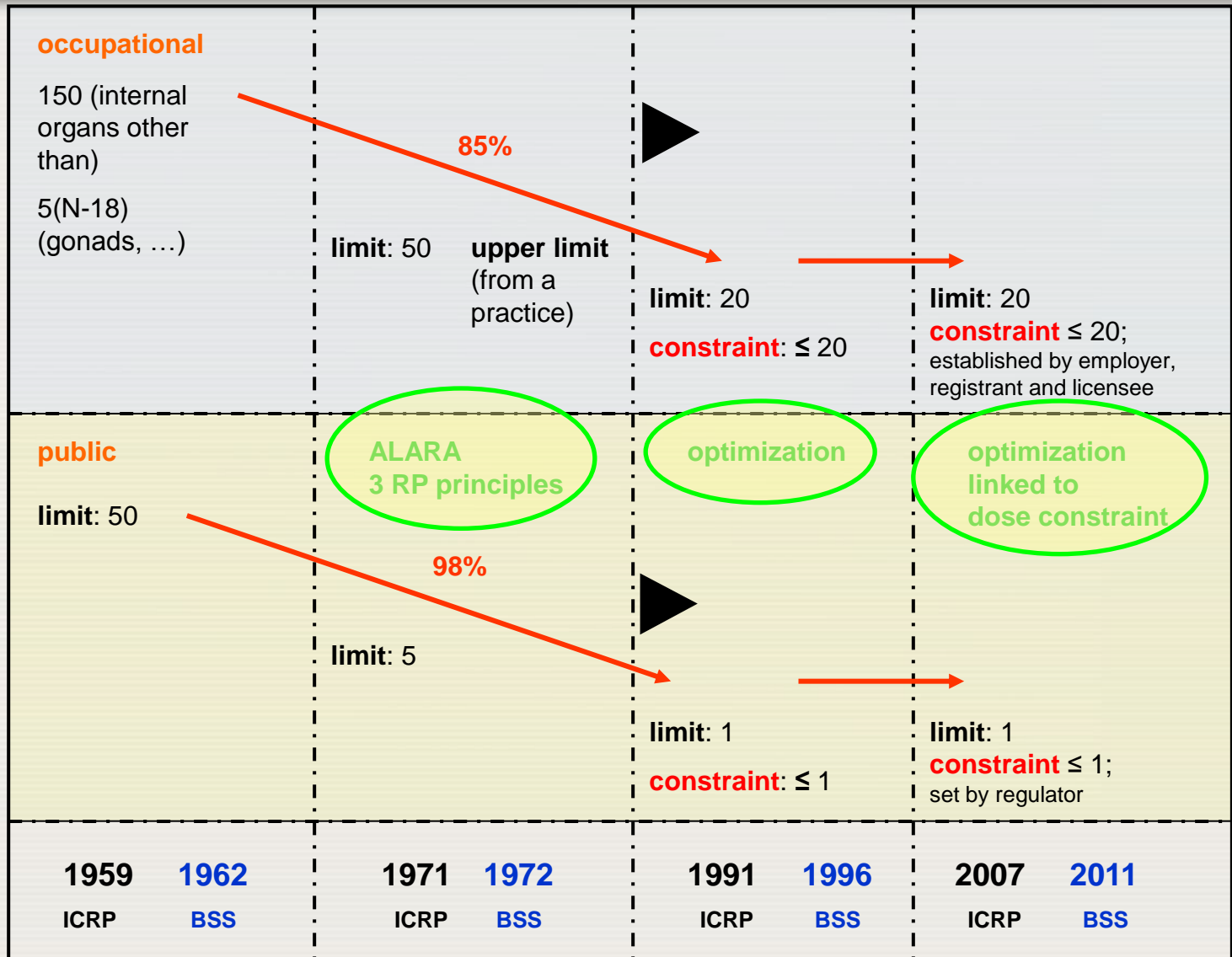
It is prospective and source related value of individual dose... that is used in for the optimization of protection and safety... and serves as a **boundary** in defining the range of options **in optimization**.

- **Is it dose limit?**

**No.**

Limit is the value that **must not be exceeded**, and it is *a priori* set **legally binding** value.

# When were dose constraints introduced?



# Implementation ... - Issues to be Considered

- (1) Constraint *versus* limit – only a **linguistic problem**?
- (2) Dose constraints in prospective evaluations and as one means of **initiating investigations** of actual operations
- (3) Risk of dose constraint being interpreted as an **“additional” limit** or as a **new “standard of care” for workers**
- (4) Dose constraint as only **one of many factors** in total **risk management**
- (5) Dose constraint in the process of **optimisation**
- (6) Need for **education and training** specifically addressing dose constraint?

# Use and “*not-use*” of dose constraints

- Dose constraint serves as:
  1. In planning stage: **tool for optimization** defining the range of options in **optimization** in the operation of any controlled source
  2. In exposure stage: **benchmark** assessing the suitability of the **optimized protection strategy** (1.23)
- Dose constraints are **NOT dose limits** and exceeding a dose constraint should **NOT represent a regulatory infraction**, but could result in the implementation of follow-up actions (1.22)
- Dose constraints are **NOT applicable to the exposure of patients** to radiation for diagnosis or treatment. (1.30)

**SETTING OF DOSE CONSTRAINTS:  
CROSSCUTTING ISSUES BETWEEN  
RESPONSIBILITY FOR RADIATION SAFETY  
AND OPERATIONAL EFFECTIVENESS**

## ... and who shall set constraint ? (for occupational exposure)

The **regulatory body** shall establish requirements for optimization of protection and safety, ... **and establish or approve constraints**, as appropriate, for dose and risk, **or the process for establishing constraints**, ...

### Occupational:

**Employers, registrants and licensees** ... shall ensure ... **that protection and safety is optimized** ....



i.e. government or regulatory body is NOT necessarily establishing constraints; **AND** it is **ensuring** protection and safety is **optimized** instead (e.g. by reg. and licensees)



# Dose Constraints in Optimisation – National Regulations (few examples)

## Countries of European Union:

- Relatively harmonized approach on adoption of the concept of **dose constraints** as it is recommended by the ICRP.

## Northern America:

- Instrument of **action level** is used → level that trigger certain **actions** if it is reached.
- Optimization **below** action level is **not required**.
- Dose constraint is **not** directly **linked** to the **optimisation** (USA) and reaching pre-set dose level (dose constraint) **may trigger an action**.

## Japan:

- Uniform introduction of dose constraints into **regulatory system based on dose limits** seems to be **not necessary**.
- Current system provides **satisfactory operating flexibility**.

Selected Issue IV:

# **SPECIFIC CASE – OCCUPATIONAL EXPOSURE IN EMERGENCY**

# Exposure of emergency workers

- **Basic principle:**

... (*even in an emergency*) relevant requirements for occupational exposure in planned emergency situation shall apply...

→ **ILO: an emergency worker is a worker**

**i.e. 20 mSv/year averaged over 5 years**

→ **maximum dose = 50 mSv/year**

## Exceptions:

- Life saving (<500 mSv)
- Prevent severe deterministic effects (<500 mSv)
- Avert large collective dose (<100 mSv)

- **Principle of voluntarily taken action:**

The value of 500 mSv may be exceeded under special circumstances...and the worker volunteers to take the action and understands the health risk.



[in line with GSR Part 3: Radiation Protection And Safety Of Radiation Sources: International Basic Safety Standards . Schedule IV, Table IV. 2]

# ... example of raising of maxim value for doses to workers undertaking interventions (Japan 2011)

## Legal status (in 2011):

- **IAEA BSS ed.1996:** ... under specific circumstances (life saving, averting large collective dose and avoiding catastrophic conditions) the value **can exceed 2x single year dose limit**, but should not exceed it 10x , i.e.  $10 \times 50 = 500 \text{ mSv}$  ...
- **Japanese legislation:** ... should not exceed **100 mSv** (2x single year dose limit, i.e. **no exception allowed**)

On the order of the Prime Minister (15 March 2011, 23:48 JST):

**100 mSv/y → 250 mSv/y**  
(still half of max 500 of the BSS )

## Justification:

*“Because at Fukushima 1, the level of **radiation is 400 millisieverts per hour**. The previous limit of 100 millisieverts means that workers can work for only 15 minutes; the new limit of 250 millisieverts means that they can work for 30 minutes.”*

The ministry (i.e METI-NISA) explained that *“this is an emergency measure, taken in response to **the Prime Minister's request, to prevent this nuclear disaster from escalating.**”*

# MOVING FORWARD TO THE IMPLEMENTATION

# Development of Safety Standards: SG on Occupational Radiation Protection (in preparation)

- **Following the revised BSS**, jointly developed with ILO
- Combining the current **five existing SGs** on ORP
- **DPP** approved by CSS during in 2011
- **Two CS** held in 2012, overall **review** in the early of 2013
- Presented in the RASSC meeting in November 2013
- Sent to **Member States for comments** early of 2014
- **Resolution of MSs** comments in September 2014
- **Approved at RASSC/WASSC/NUSSC in November 2014 for submission to CSS**



**Thank you for your attention**

