

# IAEA SAFETY GLOSSARY

TERMINOLOGY USED IN NUCLEAR SAFETY  
AND RADIATION PROTECTION

2016 REVISION

INTERNATIONAL ATOMIC ENERGY AGENCY  
VIENNA, 2016



## PREFACE TO THE 2016 REVISION

This 2016 Revision of the IAEA Safety Glossary 2007 Edition is not a new Edition of the IAEA Safety Glossary and it is not an official publication of the IAEA. The draft is made available online on the IAEA public web site (<http://www-ns.iaea.org/standards/safety-glossary.asp>) for informational purposes only. The 2016 Revision may be referenced and quoted as a web site. It is intended for use in the IAEA's official business only and may not otherwise be referenced, quoted or disseminated.

The Safety Glossary 2007 Edition was issued as an IAEA publication in English. Versions of the Safety Glossary 2007 Edition in English and in the other five United Nations official languages (Arabic, Chinese, French, Spanish and Russian) are available on the IAEA public web site (<http://www-ns.iaea.org/standards/safety-glossary.asp>) for informational purposes and may be downloaded free of charge. A multilingual version on CD-ROM may be purchased via the IAEA public web site (<http://www-pub.iaea.org/books/>).

The 2016 Revision has been revised and updated in consideration of new terminology and usage in safety standards issued between 2007 and the end of 2015 (in particular, Safety Standards Series Nos GSR Part 3 (Radiation Protection and Safety of Radiation Sources: International Basic Safety Standards), GSR Part 7 (Preparedness and Response for a Nuclear or Radiological Emergency) and SSR 2/1 (Rev. 1) (Safety of Nuclear Power Plants: Design)).

The revisions and updating made reflect developments in the technical areas of application of the safety standards and changes in regulatory approaches in Member States. Account has also been taken of comments, queries, suggestions and requests received over this period from the Secretariat and from users and reviewers in Member States.

Novel and revised terminology — in particular in the areas of the design of nuclear power plants, emergency preparedness and response and protection against radiation risks — needs to be paid careful attention. The introduction of novel concepts and terminology can lead to difficulties in comprehension, and the profusion of defined terms can complicate drafting and review. Once terms have been defined, their usage wherever applicable is necessary, and reviewers and specialists will need to verify this (see the Introduction).

Terms relating to nuclear security have been excluded from this revision pending their revision and harmonization.

Comment on the Safety Glossary may be provided by users of the IAEA safety standards (in English and in translation) via the safety standards web site and the IAEA safety standards contact point ([Safety.Standards@iaea.org](mailto:Safety.Standards@iaea.org)). Please read the Foreword and the Introduction to the Safety Glossary 2007 Edition before using the Safety Glossary and before submitting comments or queries.

Advice on the translation of glossary entries into the other five United Nations official languages is available from the IAEA Terminology and Reference Contact Point ([TaRCP@iaea.org](mailto:TaRCP@iaea.org)).

The IAEA acknowledges with gratitude the contributions of those who provided comments and suggestions on the IAEA Safety Glossary.

The IAEA technical officer responsible for the Safety Glossary was D. Delves of the Department of Nuclear Safety and Security.



## FOREWORD

In developing and establishing standards of safety for protecting people and the environment from harmful effects of ionizing radiation and for the safety of facilities and activities that give rise to radiation risks, clear communication on scientific and technical concepts is essential. The principles, requirements and recommendations that are established and explained in the IAEA's safety standards and elaborated upon in other publications must be clearly expressed. To this end, this Safety Glossary defines and explains technical terms used in IAEA safety standards and other safety related publications, and provides information on their usage.

The primary purpose of the Safety Glossary is to harmonize terminology and usage in the IAEA safety standards for protecting people and the environment from harmful effects of ionizing radiation, and in their application. Once definitions of terms have been established, they are, in general, intended to be observed in safety standards and other safety related publications and in the work of the IAEA Department of Nuclear Safety and Security generally.

The achievement of consistently high quality in its publications contributes to the authority and credibility of the IAEA, and thus to its influence and effectiveness. High quality in publications and documents is achieved not only by review to ensure that the relevant requirements are met, but also by managing their preparation so as to achieve high quality in their drafting.

The Safety Glossary provides guidance primarily for the drafters and reviewers of safety standards, including IAEA technical officers and consultants and bodies for the endorsement of safety standards. The Safety Glossary is also a source of information for users of IAEA safety standards and other safety and security related IAEA publications and for other IAEA staff — notably writers, editors, translators, revisers and interpreters.

Users of the Safety Glossary, in particular drafters of national legislation, should be aware that the terms included have been chosen and the definitions and explanations given have been drafted for the purpose mentioned above. Terminology and usage may differ in other contexts, such as in binding international legal instruments and in the publications of other organizations.

The Safety Glossary (2007 Edition) was issued as an IAEA publication and a CD-ROM was issued that includes the Safety Glossary (2007 Edition) in English and versions in the other five official languages of the IAEA: Arabic, Chinese, French, Russian and Spanish (<http://www-ns.iaea.org/standards/safety-glossary.asp>). These five versions are also available for downloading from the Safety Glossary web site.

The Safety Glossary has been revised and updated in the light of changes in terminology and usage in the safety standards, due in part to developments in technology and in regulatory approaches in Member States. The IAEA Secretariat invites the submission of comment concerning the definitions of technical terms and the explanations of their usage given in the Safety Glossary from users of the IAEA safety standards (in English and in translation) and of other safety related publications. A change form is provided on the Safety Glossary web site (<http://www-ns.iaea.org/standards/safety-glossary.asp>) for the submission of suggestions for consideration in a possible future revision of the Safety Glossary.

The first version of the Safety Glossary was compiled and developed by I. Barraclough and issued as a document in 2000. The Safety Glossary (2007 Edition), in which account was

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taken of safety standards issued between 2000 and 2007 and of comments and suggestions submitted in the revision process and in the course of translation and editing, was published as a revised and updated multilingual version.

The IAEA gratefully acknowledges the contributions of all those who provided comments and suggestions on the Safety Glossary.

The IAEA technical officer responsible for the Safety Glossary was D. Delves of the Department of Nuclear Safety and Security.

### EDITORIAL NOTE

*This 2016 Revision of the IAEA Safety Glossary 2007 Edition is not a new Edition of the IAEA Safety Glossary and it is not a publication of the IAEA.*

*The draft is made available for informational purposes only.*

*The 2016 Revision may be referenced and quoted as a web site only. It is intended for use in the IAEA's official business only and may not otherwise be referenced, quoted or disseminated.*

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## INTRODUCTION

### BACKGROUND

#### Terminology in IAEA safety standards

The IAEA's safety standards for nuclear installations, radiation protection, radioactive waste management and the transport of radioactive material have historically been developed in four separate programmes. For nuclear installations and radioactive waste management, safety standards programmes were set up to coordinate the development of standards covering the different parts of the subject. The radiation and transport safety standards programmes were each centred on one key set of safety requirements — the Basic Safety Standards [1] and the Regulations for the Safe Transport of Radioactive Material (the Transport Regulations) [2], respectively — with other safety requirements and guidance elaborating on particular parts of these central publications. Each of the four groups of safety standards had developed its own terminology:

- (a) In 1986, the IAEA published a Radiation Protection Glossary in the former IAEA Safety Series, which provided, in English, French, Russian and Spanish, a collection of fundamental terms associated with radiation protection and their definitions. Many of the terms and definitions in this publication are now obsolete, and the Basic Safety Standards issued in 1996 (superseded in 2014 [1]) included more up to date definitions of key terms in radiation protection and safety.
- (b) In 1982, a Waste Management Glossary was published by the IAEA as IAEA-TECDOC-264. A revised and updated version was issued in 1988 as IAEA-TECDOC-447, a third edition was published in 1993 and a fourth edition was published in 2003 [3].
- (c) In nuclear safety, compilations of terms and definitions were produced for internal use, but not published. Nevertheless, the lists of definitions given in the Nuclear Safety Standards Codes published by the IAEA in 1988 provided a set of the fundamental terms.
- (d) The definitions in the 2012 edition of the IAEA Transport Regulations [2] represent current terminology for transport safety.

With the creation of the Department of Nuclear Safety in 1996, and the adoption of a harmonized procedure for the preparation and review of safety standards in all areas, the need for greater consistency in the use of terminology became apparent. The incorporation into the Department of the Office of Nuclear Security in 2004 further extended its scope. This Safety Glossary is intended to contribute towards harmonizing the use of terminology in IAEA safety standards and the IAEA's other safety and security related publications.

#### Scope of 'protection and safety' and coverage of 'nuclear security'

In the context of the IAEA's Major Programme on Nuclear Safety and Security, '(radiation) protection and (nuclear) safety' denotes the protection of people and the environment against radiation risks, and the safety of facilities and activities that give rise to radiation risks. 'Nuclear safety' is usually abbreviated to 'safety' in IAEA publications. In IAEA safety standards, 'safety' means 'nuclear safety' unless otherwise stated. 'Protection and safety' (i.e. radiation protection and nuclear safety) encompasses the safety of nuclear installations, radiation safety, the safety of radioactive waste management and safety in the transport of

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radioactive material; it does not include aspects of safety that are not related to radiation protection and nuclear safety.

Safety is concerned with both radiation risks under normal circumstances and radiation risks as a consequence of incidents, as well as with other possible direct consequences of a loss of control over a nuclear reactor core, nuclear chain reaction, radioactive source or any other source of radiation. ‘Radiation’ in this context means ionizing radiation. ‘Incidents’ includes initiating events, accident precursors, near misses, accidents and unauthorized acts (including malicious and non-malicious acts).

‘Safety measures’ include actions to prevent incidents and arrangements put in place to mitigate their consequences if they were to occur. ‘Nuclear security’ denotes the prevention and detection of, and response to, theft, sabotage, unauthorized access, illegal transfer or other malicious acts involving nuclear material, other radioactive substances or their associated facilities.

Safety measures and security measures have in common the aim of protecting human life and health and the environment. The safety standards concern the security of facilities and activities to the extent that they require ‘security for safety’ measures that contribute to both safety and security, such as:

- (a) Appropriate provisions in the design and construction of nuclear installations and other facilities;
- (b) Controls on access to nuclear installations and other facilities to prevent the loss of, and the unauthorized removal, possession, transfer and use of, radioactive material;
- (c) Arrangements for mitigating the consequences of accidents and failures, which also facilitate measures for dealing with breaches in security that give rise to radiation risks;
- (d) Measures for the security of the management of radioactive sources and radioactive material.

## GENERAL REMARKS

### Purpose

The Safety Glossary serves a number of different purposes:

- (a) To explain the meanings of technical terms that may be unfamiliar to the reader;
- (b) To explain any special meanings ascribed to common words or terms (since words can have several different meanings, it may be necessary to clarify which meaning is intended, in particular for non-native English speakers);
- (c) To define precisely how terms — whose general meaning may be clear to readers — are used in a particular publication or set of publications, in order to avoid ambiguity concerning some important aspect(s) of their meaning;
- (d) To explain the connections or differences between similar or related terms, or the specific meanings of the same technical term in different contexts;
- (e) To clarify and, if possible, reconcile differences in the usage of specialized terms in different subject areas, since such differences in usage may be potentially misleading;
- (f) To recommend terms that should be used in IAEA publications and documents (and those that should not), and the definitions that should be ascribed to them.

Definitions of the type used in legal texts such as the Convention on Nuclear Safety [4] or the Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management [5], or in regulations such as the Transport Regulations [2], are intended primarily for purpose (c) and, in some cases, do not serve the other purposes at all. Furthermore, definitions of this nature tend to be tailored to the needs of the specific text to which they relate, and hence are often not generally applicable. The ‘definitions’ included in other safety standards are, however, less easily classified, tending towards a mixture of definition and explanation and of context specific and generally applicable definitions and/or explanations.

For the purposes of the Safety Glossary, an effort has been made to distinguish between the ‘definition’ — material that could be used in the definitions in an individual publication — and ‘explanation’, which is provided to assist drafters and reviewers but is not part of the ‘definition’. However, this distinction is not always as clear cut as might be wished.

Note that a glossary is not the place to specify requirements or guidance. The definition of a term should contain the conditions that must be met in order for the term to be applicable, but not other conditions. This is best illustrated by an example. The definition of *regulatory body* indicates the conditions that must be met in order for an organization to be described as a *regulatory body*, but not the attributes of a *regulatory body* as required by IAEA safety standards. Hence, the definition specifies that it is “designated by the government of a State as having legal authority for conducting the regulatory process” — otherwise, it is not a *regulatory body*. However, the definition does not, for example, specify that it is “independent of organizations or bodies charged with the promotion of nuclear technologies” — it can be a *regulatory body* without being independent, even though it would then not satisfy the IAEA Safety Requirements on legal and governmental infrastructure for safety.

### Scope

The scope of the Safety Glossary is necessarily limited, and is intended to focus on the key terms that are specific to, or that are used in a specific way in, protection and safety (and, to a limited extent, security). A number of general categories of terms that may be used in safety related publications have been specifically excluded from this Safety Glossary (except where a specific point needs to be made about a specific term). These groups of excluded terms include:

- (a) Basic terms from radiation and nuclear physics (e.g. alpha particle, decay, fission, radionuclide). An understanding of these terms is assumed.
- (b) The specialized terminology of fields other than protection and safety (e.g. geology, seismology, meteorology, medicine and computing). This terminology may be used in protection and safety contexts, but the definition of such terms is left to the experts in the relevant fields.
- (c) Very specialized terminology from a specific field within protection and safety (e.g. the detailed terminology of dosimetry and safety assessment). If necessary, such terminology can be defined in the specialized publications to which it is relevant.

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### USE OF THE SAFETY GLOSSARY

#### Interpretation of entries in the Safety Glossary

The entry for each term generally starts with one or more recommended definition(s)<sup>1</sup>. Alternative definitions are given:

- (a) If the term is used in two or more distinct safety related contexts (e.g. the term *clearance*, which is used for an administrative mechanism for removing regulatory control from material and for a biological process affecting the movement of inhaled radionuclides in the body); or
- (b) If it is necessary to include in this Safety Glossary an established definition that is still needed but is not considered suitable as a general definition (this includes, in particular, some of the definitions from the Basic Safety Standards [1] and the Transport Regulations [2] that may need to be retained in supporting publications but which would not be the preferred general definitions); or
- (c) To include definitions of which drafters and reviewers of IAEA publications should be aware, even though they are unlikely to be used in IAEA publications (definitions in the main safety related conventions are an important example of this group); or
- (d) For a small number of basic terms that have two distinct definitions, depending on whether they are being used in a scientific or regulatory (i.e. standards) context. An important example in the context of protection and safety is the adjective ‘*radioactive*’. Scientifically, something is described as radioactive if it exhibits the phenomenon of radioactivity or — in the somewhat less precise, but generally accepted, usage — if it contains any substance that exhibits radioactivity. Scientifically, therefore, virtually any material (including material that is considered to be waste) is radioactive. However, it is common regulatory practice to define terms such as *radioactive material* and *radioactive waste* in such a way as to include only that material or waste that is subject to regulation by virtue of the radiological hazard that it poses. Although the exact specifications vary from State to State, this typically excludes material and waste with very low concentrations of radionuclides and those that contain only ‘natural’ concentrations of naturally occurring radionuclides.

Different definitions of a given term are numbered. Unless otherwise indicated in the text, drafters should use the most appropriate definition for their purposes.

In many cases, the recommended definition(s) is/are followed by further information as appropriate, such as:

- (a) Particular notes of caution, such as for terms that do not mean what they might appear to mean (e.g. annual dose), or potential conflicts with other safety or security related terminology; denoted by !
- (b) Explanation of the context(s) in which the term is usually used (and, in some cases, contexts in which it should not be used); denoted by ⓘ
- (c) Reference to related terms: synonyms, terms with similar but not identical meanings, ‘contrasting’ terms, and terms that supersede or are superseded by the term being described; denoted by ⓘ

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<sup>1</sup> A few terms are included without a recommended definition. In most such cases, the term in question is the general (unqualified) term used to group a number of qualified terms, and has no special meaning in unqualified form (e.g. *emergency action level*, *recording level*, etc., are listed under *level*, but *level* itself is not defined). In some cases guidance is given on usage for terms with no definition.

- (d) Miscellaneous information: for example, the units in which a quantity is normally measured, recommended parameter values and references; denoted by ①.

This supplementary information is not part of the definition, but it is included to assist drafters and reviewers in understanding how to use (or how not to use) the term in question. Note that the use of *italics* in the text denotes a **term** or *subterm* with an entry in the Safety Glossary. The use of ***bold italics*** in the text denotes a subterm with its definition or with an explanation.

### Use by drafters

Drafters of safety and security related IAEA publications — in particular safety standards — should, as far as possible, use the terms in this Safety Glossary with the meanings given. Terms should also be used consistently, especially in safety standards. Variety of expression — a virtue in most forms of writing — should be avoided if there is any possibility of causing confusion or ambiguity. Terms that are not listed in this Safety Glossary may be used, provided that there is no suitable alternative term listed in the Safety Glossary.

A publication may contain a list of key terms used in that publication and their definitions. However, the first question concerning the inclusion of the definition of any term in a publication should always be whether the term actually needs to be defined. Terms should be defined explicitly in a publication only if a definition is essential to the correct understanding of that publication.

If the term is used with its usual meaning, or if its meaning in a particular publication will be obvious to the reader from the context, then there should be no need for a definition. A term whose meaning is imprecise may need to be defined, if the imprecision actually detracts from a correct understanding of the text; in many cases, however, the precise meaning of a term will not matter for the purposes of a given publication. Similarly, obvious derivatives of a defined term need not themselves be defined unless there is some specific ambiguity that needs to be addressed.

If it is considered necessary to include a term in a list of definitions in an individual publication, the recommended definition should be used wherever possible. If the recommended definition is not suitable (e.g. if the subject of the publication falls outside the scope of the existing definition), the wording of the definition may be modified, but its meaning should not be changed. The technical officer responsible for the Safety Glossary should be informed of any such modifications to the wording of definitions.

Similarly, definitions of any additional — usually more specialized — terms needed in a specific publication can be provided by the drafters or the technical officer responsible for the publication, and included either in the text (in the main body of the text or footnotes) or in a list of definitions. Such definitions should be copied for information to the technical officer responsible for the Safety Glossary.

Some terms and usages that have been used in the past and/or are used in the publications of other organizations, but whose use is discouraged in IAEA publications, are included in the Safety Glossary. Such terms are listed in square brackets, and should be used only if they are essential to refer to other publications; alternative terms for use in IAEA publications are recommended. Similarly, some definitions are in square brackets, indicating that they have been included for information but should not be used as working definitions for IAEA publications.

The technical officer and reviewers for a publication are responsible for ensuring that any definitions given in that publication are in accordance with these rules.

## Introduction

Terms defined in this Safety Glossary are likely to be used in informing the public on matters concerning nuclear safety and security and radiation risks, and in covering these matters in the news media. The technical terms that must be used to explain difficult concepts will be interpreted and employed by writers, journalists and broadcasters who do not have a clear understanding of their significance. It must be borne in mind by drafters, reviewers and editors that certain terms that have specific and clear meanings in their scientific or technical context may be subject to misrepresentation or misunderstanding in a more general context.

The incautious use of language can and does give rise to widespread false impressions among the public that are difficult or impossible to correct. In attempting to summarize, interpret and simplify technical texts so as to communicate with a broader audience, therefore, care must be taken not to oversimplify by omitting conditions and qualifications, and not to mislead in using terms with both scientific and more general meanings.

Potentially misleading words include, for example, ‘attributable’, ‘contamination’, ‘[excess, statistical] deaths’, ‘exposure’, ‘illicit trafficking [in nuclear or radioactive material]’, ‘nuclear [terrorism, trafficking]’, ‘protection’, ‘radioactive’, ‘risk’ and ‘safe’, and their related words and phrases. This caution applies in particular to matters of life and health, especially fatal accidents and other major incidents, and other emotionally charged subjects.

Finally, there are cases where special ‘safety’ or ‘IAEA’ meanings are attached so strongly to words that the use of those words in their everyday sense could cause confusion. Examples include ‘activity’, ‘critical’, ‘justification’, ‘practice’, ‘requirement’, ‘recommendation’, ‘guide’ and ‘standard’ (and also ‘shall’ and ‘should’). Although it would be unreasonable to prohibit the use of such words in their everyday sense in any IAEA publications, particular care should be taken to ensure that they are not used in a manner that could be ambiguous.

### Use by reviewers

Reviewers should consider whether each term included in a list of definitions in an individual publication really needs to be defined, and if so whether a list of definitions (as opposed to the text or a footnote) is the most appropriate place for the definition. (Reviewers should also consider, of course, whether any terms not defined in the publication need to be defined.)

If a draft safety standard or other safety related publication gives a definition different from that recommended in the Safety Glossary, reviewers should check:

- (a) That the definition recommended in the Safety Glossary could not reasonably have been used;
- (b) That the definition given in the draft publication reflects essentially the same meaning as the recommended definition.

Reviewers should make any appropriate recommendations to the IAEA technical officer responsible for the publication.

Reviewers will need to verify that drafters select, use and relate defined terms and other words in such a way that clear distinctions are drawn and may be inferred between, for example: events and situations (see the entry for *event*); accidents and other incidents; what is actual (i.e. what is), possible (i.e. what might be) or potential (i.e. what could become), and what is hypothetical (i.e. what is postulated or assumed); and what is observed or determined objectively and what is decided or declared subjectively.

Novel and revised terminology — in particular in the areas of the design of nuclear power plants, emergency preparedness and response and protection against radiation risks — needs to be paid careful attention. The introduction of novel concepts and terminology can lead to

difficulties in comprehension, and the profusion of defined terms can complicate drafting and review. Once terms have been defined, their usage wherever applicable is necessary, and reviewers and specialists will need to verify this (see the Introduction).

### FUTURE DEVELOPMENT OF THE SAFETY GLOSSARY

The Safety Glossary is intended to be reviewed and revised as necessary, to represent accurately the current terminology of the IAEA safety standards. This is subject to appropriate consultation, as the Safety Glossary is also intended to bring about stability and harmonization in terminology and usage.

Comment on the Safety Glossary may be provided by users of the IAEA safety standards (in English and in translation) via the safety standards web site and the IAEA safety standards contact point ([Safety.Standards@iaea.org](mailto:Safety.Standards@iaea.org)). Please read the Foreword and this Introduction to the Safety Glossary 2007 Edition before using the Safety Glossary and before submitting comments or queries.





## A

**A<sub>1</sub>**

The *activity* value of *special form radioactive material* that is listed in Table 2 or derived in Section IV [both of the Transport Regulations] and is used to determine the *activity limits* for the *requirements* of [the Transport] Regulations. (From Ref. [2], Sections II and IV and Table 2.)<sup>2</sup>

① *A<sub>1</sub>* is the maximum *activity* of *special form radioactive material* that can be transported in a Type A *package*. Fractions and multiples of *A<sub>1</sub>* are also used as criteria for other *package* types, etc.<sup>3</sup>

① The corresponding value for any other form of *radioactive material* is *A<sub>2</sub>*.

**A<sub>2</sub>**

The *activity* value of *radioactive material*, other than *special form radioactive material*, that is listed in Table 2 or derived in Section IV [both of the Transport Regulations] and is used to determine the *activity limits* for the *requirements* of [the Transport] Regulations. (From Ref. [2], Sections II and IV and Table 2.)

① *A<sub>2</sub>* is the maximum *activity* of any *radioactive material* other than *special form radioactive material* that can be transported in a Type A *package*. Fractions and multiples of *A<sub>2</sub>* are also used as criteria for other *package* types, etc.

① The corresponding value for *special form radioactive material* is *A<sub>1</sub>*.

**abnormal operation**

See *plant states (considered in design): anticipated operational occurrence*.

**absorbed dose**

See *dose quantities*.

**absorbed fraction**

The fraction of energy emitted as a specified *radiation* type in a specified *source region* that is absorbed in a specified *target tissue*.

**absorption**

1. See *sorption*.
2. See *lung absorption type*.

**absorption type, lung**

See *lung absorption type*.

<sup>2</sup> Note that the use of *italics* in the text denotes a **term** or **subterm** with an entry in the Safety Glossary.

<sup>3</sup> Explanations, cross-references and miscellaneous information are denoted by ①.

**accelerogram**

A recording of ground acceleration, usually in three orthogonal directions (i.e. components), two in the horizontal plane and one in the vertical plane.

**acceptable limit**

See *limit*.

**acceptance criteria**

Specified bounds on the value of a *functional indicator* or *condition indicator* used to assess the ability of a *structure, system or component* to perform its *design function*.

**accident**

**1.** Any unintended *event*, including operating errors, equipment *failures* and other mishaps, the consequences or potential consequences of which are not negligible from the point of view of *protection and safety*.

***accident conditions.***<sup>4</sup> See *plant states (considered in design)*.

***beyond design basis accident.*** See *plant states (considered in design)*.

***criticality accident.*** An *accident* involving *criticality*.

① Typically, a *criticality accident* is an accidental release of energy as a result of unintentionally producing a *criticality* in a *facility* in which *fissile material* is used.

① A *criticality accident* is also possible for *fissile material* in *storage* or in *transport*, for example.

***design basis accident.*** See *plant states (considered in design)*.

***nuclear accident.*** [Any accident involving facilities or activities from which a release of radioactive material occurs or is likely to occur and which has resulted or may result in an international significant transboundary release that could be of radiological safety significance for another State.] (From Ref. [6].)

! This is not explicitly stated to be a definition of nuclear accident, but it is derived from the statement of the scope of application in Article 1 of the Convention on Early Notification of a Nuclear Accident. However, this Convention has a limited scope of application, and it is unreasonable to consider a nuclear accident to be only an accident that results or may result in an international significant transboundary release.<sup>5</sup>

***severe accident.*** See *plant states (considered in design)*.

**2.** See *event* and *International Nuclear and Radiological Event Scale (INES)*

! There is a fundamental mismatch between the terminology used in *safety standards* and the designations used in *INES*. In short, *events* that would be considered *accidents* according to the *safety standards* definition may be *accidents* or *incidents* (i.e. not *accidents*) in *INES* terminology. This is not a serious day to day problem because the

<sup>4</sup> Note that the use of ***bold italics*** in the text denotes a subterm.

<sup>5</sup> Particular notes of caution or potential conflicts with other terminology are denoted by !.

two areas are quite separate and have quite different purposes. However, it is a potential cause of confusion in communication with the news media and the public.

### **accident conditions**

See *plant states (considered in design)*.

### **accident management**

See *plant states (considered in design)*.

### **accident precursor**

An *initiating event* that could lead to *accident conditions*.

### **activation**

The *process* of inducing *radioactivity* in matter by irradiation of that matter.

- ① In the context of nuclear installations, *activation* is used to refer to the unintentional induction of *radioactivity* in moderators, coolants, and structural and shielding materials, caused by irradiation with neutrons.
- ① In the context of the production of radioisotopes, *activation* is used to refer to the intentional induction of *radioactivity* by neutron *activation*.
- ① In other contexts, activation is an incidental side-effect of irradiation carried out for other purposes, such as the sterilization of medical products or enhancement of the colour of gemstones for aesthetic reasons.
- ! Care may be needed to avoid confusion when using the term *activation* in its everyday sense of bringing into action (e.g. of *safety systems*, for which ‘actuation’ may be used).

### **activation product**

A radionuclide produced by *activation*.

- ① Often used to distinguish from *fission products*. For example, in *decommissioning waste* comprising structural materials from a *nuclear facility*, *activation products* might typically be found primarily within the matrix of the material, whereas *fission products* are more likely to be present in the form of *contamination* on surfaces.

### **active component**

A *component* whose functioning depends on an external input such as actuation, mechanical movement or supply of power.

- ① An *active component* is any *component* that is not a *passive component*.
- ① Examples of *active components* are pumps, fans, relays and transistors. It is emphasized that this definition is necessarily general in nature, as is the corresponding definition of *passive component*. Certain *components*, such as rupture discs, check valves, *safety valves*, injectors and some solid state electronic devices, have characteristics that require special consideration before designation as an *active component* or a *passive component*.

## A

- ❶ Contrasting term: *passive component*.

See also *component*, *core components* and *structures, systems and components*.

- ! Care may be needed to avoid confusion with *radioactive components*.

### activity

1. The quantity  $A$  for an amount of radionuclide in a given energy state at a given time, defined as:

$$A(t) = \frac{dN}{dt}$$

where  $dN$  is the expectation value of the number of spontaneous nuclear transformations from the given energy state in the time interval  $dt$ .

- ❶ The rate at which nuclear transformations occur in a *radioactive material*. The equation is sometimes given as:

$$A(t) = -\frac{dN}{dt}$$

where  $N$  is the number of nuclei of the radionuclide, and hence the rate of change of  $N$  with time is negative. Numerically, the two forms are identical.

- ❶ The SI unit for activity is reciprocal second ( $s^{-1}$ ), termed the *becquerel* (Bq).
- ❶ Formerly expressed in *curies* (Ci); *activity* values may be given in Ci (with the equivalent in Bq in parentheses) if they are being quoted from a reference that uses Ci as the unit.

**specific activity.** Of a radionuclide, the *activity* per unit mass of that nuclide.

The *specific activity* of a material is the *activity* per unit mass or volume of the material in which the radionuclides are essentially uniformly distributed.

The *specific activity* of a material, for the purposes of the Transport Regulations, is the *activity* per unit mass of the material in which the radionuclides are essentially uniformly distributed. (From Ref. [2].)

- ❶ The distinction in usage between *specific activity* and **activity concentration** is controversial. Some regard the terms as synonymous, and may favour one or the other (as above). ISO 921 [7] distinguishes between *specific activity* as the *activity* per unit mass and *activity concentration* as the *activity* per unit volume.
- ❶ Another common distinction is that *specific activity* is used (usually as *activity* per unit mass) with reference to a pure sample of a radionuclide or, less strictly, to cases where a radionuclide is intrinsically present in the material (e.g. carbon-14 in organic materials, uranium-235 in *natural uranium*), even if the abundance of the radionuclide is artificially changed. In this usage, *activity concentration* (which may be *activity* per unit mass or per unit volume) is used for any other situation (e.g. when the *activity* is in the form of *contamination* in or on a material).
- ❶ In general, the term *activity concentration* is more widely applicable, is more self-evident in meaning, and is less likely than *specific activity* to be confused with unrelated terms (such as 'specified activities'). *Activity concentration* is therefore preferred to *specific activity* for general use in *safety related IAEA publications*.

2. See *facilities and activities*.

### **activity concentration**

See *activity* (1): *specific activity*.

### **activity median aerodynamic diameter (AMAD)**

The value of *aerodynamic diameter* such that 50% of the airborne *activity* in a specified aerosol is associated with particles smaller than the *AMAD*, and 50% of the *activity* is associated with particles larger than the *AMAD*.

- ① Used in internal dosimetry for simplification as a single ‘average’ value of *aerodynamic diameter* representative of the aerosol as a whole.
- ① The *AMAD* is used for particle sizes for which deposition depends principally on inertial impaction and sedimentation (i.e. typically those greater than about 0.5  $\mu\text{m}$ ).

***activity median thermodynamic diameter (AMTD)***. For smaller particles, deposition typically depends primarily on *diffusion*, and the *activity median thermodynamic diameter (AMTD)* — defined in an analogous way to the *AMAD*, but with reference to the *thermodynamic diameter* of the particles — is used.

***aerodynamic diameter***. The *aerodynamic diameter* of an airborne particle is the diameter that a sphere of unit density would need to have in order to have the same terminal velocity when settling in air as the particle of interest.

***thermodynamic diameter***. The *thermodynamic diameter* of an airborne particle is the diameter that a sphere of unit density would need to have in order to have the same *diffusion* coefficient in air as the particle of interest

### **activity median thermodynamic diameter (AMTD)**

See *activity median aerodynamic diameter (AMAD)*.

### **actuated equipment**

An assembly of *prime movers* and *driven equipment* used to accomplish one or more *safety tasks*.

### **actuation device**

A *component* that directly controls the motive power for *actuated equipment*.

- ① Examples of *actuation devices* include circuit breakers and relays that *control* the distribution and use of electric power and pilot valves controlling hydraulic or pneumatic fluids.

### **acute exposure**

See *exposure situations*.

### **acute intake**

See *intake* (2).

**additive risk projection model**

See *model: risk projection model*.

**adsorption**

See *sorption*.

**advection**

The movement of a substance or the transfer of heat by the motion of the gas (usually air) or liquid (usually water) in which it is present.

- ① Sometimes used with the more common meaning — transfer of heat by the horizontal motion of the air — but in *IAEA publications* is more often used in a more general sense, in particular in *safety assessment*, to describe the movement of a radionuclide due to the movement of the liquid in which it is dissolved or suspended.
- ① Usually contrasted with *diffusion*, where the radionuclide moves relative to the carrying medium.

**aerodynamic dispersion**

See *dispersion*.

**ageing**

General *process* in which characteristics of a *structure, system or component* gradually change with time or use.

- ① Although the term *ageing* is defined in a neutral sense — the changes involved in *ageing* may have no effect on *protection* or *safety*, or could even have a beneficial effect — it is most commonly used with a connotation of changes that are (or could be) detrimental to *protection and safety* (i.e. as a synonym of *ageing degradation*).

***non-physical ageing.*** The *process* of becoming out of date (i.e. obsolete) owing to the evolution of knowledge and technology and associated changes in codes and standards.

- ① Examples of *non-physical ageing* effects include the lack of an effective *containment* or *emergency core cooling system*, the lack of *safety design* features (such as *diversity*, separation or *redundancy*), the unavailability of qualified spare parts for old equipment, incompatibility between old and new equipment, and outdated *procedures* or documentation (e.g. which thus do not comply with current regulations).
- ① Strictly, this is not always *ageing* as defined above, because it is sometimes not due to changes in the *structure, system or component* itself. Nevertheless, the effects on *protection and safety*, and the solutions that need to be adopted, are often very similar to those for *physical ageing*.
- ① The term ***technological obsolescence*** is also used.

***physical ageing.*** Ageing of *structures, systems and components* due to physical, chemical and/or biological *processes* (*ageing mechanisms*).

- ① Examples of *ageing mechanisms* include wear, thermal or *radiation* embrittlement, corrosion and microbiological fouling.



① The term **material ageing** is also used.

### **ageing degradation**

Ageing effects that could impair the ability of a *structure, system or component* to function within its *acceptance criteria*.

- ① Examples include reduction in diameter due to wear of a rotating shaft, loss in material toughness due to *radiation* embrittlement or thermal *ageing*, and cracking of a material due to fatigue or stress corrosion cracking.

### **ageing management**

Engineering, *operations* and *maintenance* actions to control within *acceptable limits* the *ageing degradation* of *structures, systems and components*.

- ① Examples of engineering actions include *design, qualification* and *failure analysis*. Examples of *operations* actions include *surveillance*, carrying out operating *procedures* within specified *limits* and performing environmental measurements.

**life management** (or **lifetime management**). The integration of *ageing management* with economic planning: (1) to optimize the *operation, maintenance* and *service life* of *structures, systems and components*; (2) to maintain an acceptable level of *safety* and performance; and (3) to improve economic performance over the *service life* of the *facility*.

### **agricultural countermeasure**

See *countermeasure*.

### **air kerma**

See *kerma*.

### **aircraft**

**cargo aircraft.** Any *aircraft*, other than a *passenger aircraft*, that is carrying goods or property. (From Ref. [2].)

**passenger aircraft.** An *aircraft* that carries any person other than a crew member, a *carrier's* employee in an official capacity, an authorized representative of an appropriate national authority, or a person accompanying a *consignment* or other cargo. (From Ref. [2].)

### **ALARA (as low as reasonably achievable)**

See *optimization of protection and safety*.

### **alert**

See *emergency class*.

### **ambient dose equivalent**

See *dose equivalent quantities*.

## analysis

- ① Often used interchangeably with *assessment*, especially in more specific terms such as ‘*safety analysis*’. In general, however, *analysis* suggests the *process* and result of a study aimed at understanding the subject of the *analysis*, while *assessment* may also include determinations or judgements of acceptability. *Analysis* is also often associated with the use of a specific technique. Hence, one or more forms of *analysis* may be used in *assessment*.

**cost–benefit analysis.** A systematic technical and economic evaluation of the positive effects (benefits) and negative effects (disbenefits, including monetary costs) of undertaking an action.

- ① A decision aiding technique commonly used in the *optimization of protection and safety*. This and other techniques are discussed in Ref. [8].

**event tree analysis.** An inductive technique that starts by hypothesizing the occurrence of basic *postulated initiating events* and proceeds through their logical propagation to *system failure events*.

- ① The *event tree* is the diagrammatic illustration of alternative outcomes of specified *postulated initiating events*.
- ① *Fault tree analysis* considers similar chains of *events*, but starts at the other end (i.e. with the ‘results’ rather than the ‘causes’). The completed *event trees* and *fault trees* for a given set of *events* would be similar to one another.

**fault tree analysis.** A deductive technique that starts by hypothesizing and defining *failure events* and systematically deduces the *events* or combinations of *events* that caused the *failure events* to occur.

- ① The *fault tree* is the diagrammatic illustration of the *events*.
- ① *Event tree analysis* considers similar chains of *events*, but starts at the other end (i.e. with the ‘causes’ rather than the ‘results’). The completed *event trees* and *fault trees* for a given set of *events* would be similar to one another.

**safety analysis.** Evaluation of the potential hazards associated with the operation of a *facility* or the conduct of an *activity*.

- ① The formal *safety analysis* is part of the overall *safety assessment*; i.e. it is part of the systematic process that is carried out throughout the design process (and throughout the *lifetime* of the *facility* or the *activity*) to ensure that all the relevant *safety requirements* are met by the proposed (or actual) design.
- ① *Safety analysis* is often used interchangeably with *safety assessment*. However, when the distinction is important, *safety analysis* should be used as a documented process for the study of *safety*, and *safety assessment* should be used as a documented process for the evaluation of *safety* — for example, evaluation of the magnitude of hazards, evaluation of the performance of *safety measures* and judgement of their adequacy, or quantification of the overall radiological impact or *safety* of a *facility* or *activity*.

**sensitivity analysis.** A quantitative examination of how the behaviour of a *system* varies with change, usually in the values of the governing parameters.

- ① A common approach is parameter variation, in which the variation of results is investigated for changes in the value of one or more input parameters within a



reasonable range around selected reference or mean values, and perturbation *analysis*, in which the variations of results with respect to changes in the values of all the input parameters are obtained by applying differential or integral *analysis*.

***uncertainty analysis.*** An *analysis* to estimate the uncertainties and error bounds of the quantities involved in, and the results from, the solution of a problem.

### **annual dose**

See *dose concepts*.

### **annual limit on exposure (ALE)**

See *limit*.

### **annual limit on intake (ALI)**

See *limit*.

### **annual risk**

See *risk* (3).

### **anticipated operational occurrence**

See *plant states (considered in design)*.

### **anticipated transient without scram (ATWS)**

For a nuclear reactor, an *accident* for which the *initiating event* is an *anticipated operational occurrence* and in which the *system* for fast *shutdown* of the reactor fails to function.

### **applicant**

Any *person or organization* applying to a *regulatory body* for *authorization* (or *approval*) to undertake specified *activities*.

- ① Strictly, an *applicant* would be such from the time at which an application is submitted until the requested *authorization* is either granted or refused. However, the term is often used a little more loosely than this, in particular in cases where the *authorization process* is long and complex.

### **approval**

The granting of consent by a *regulatory body*.

- ① Typically used to represent any form of consent from the *regulatory body* that does not meet the definition of *authorization*. However, the usage in the Transport Regulations [2] (see *multilateral approval* and *unilateral approval* below — the term *approval* is not separately defined) is that *approval* is essentially synonymous with *authorization*.

***multilateral approval.*** *Approval* by the relevant *competent authority* of the *country of origin* of the *design* or *shipment*, as applicable, and also, where the *consignment* is to be transported *through or into* any other country, *approval* by the *competent authority* of that country. (From Ref. [2].)

## A

**unilateral approval.** An *approval* of a *design* that is required to be given by the *competent authority* of the *country of origin* of the *design* only. (From Ref. [2].)

### area

**controlled area.** A defined area in which specific *protection* measures and *safety* provisions are or could be required for controlling *exposures* or preventing the spread of *contamination* in normal working conditions, and preventing or limiting the extent of *potential exposures*.

- ① A *controlled area* is often within a *supervised area*, but need not be.
- ① The term **radiation area** is sometimes used to describe a similar concept, but *controlled area* is preferred in *IAEA publications*.

**operations area.** A geographical area that contains an *authorized facility*. It is enclosed by a physical *barrier* (the **operations boundary**) to prevent unauthorized access, by means of which the management of the *authorized facility* can exercise direct authority.

- ① This applies to larger *facilities*.

[**radiation area.**] See *controlled area*.

**site area.** A geographical area that contains an *authorized facility*, *authorized activity* or *source*, and within which the management of the *authorized facility* or *authorized activity* or first responders may directly initiate *emergency response actions*.

- ① This is typically the area within the security perimeter fence or other designated property marker. It may also be the *controlled area* around a radiography *source* or an inner cordoned off area established by *first responders* around a suspected *hazard*.
- ① This area is often identical to the *operations area*, except in situations (e.g. *research reactors*, *irradiation installations*) where the *authorized facility* is on a site where other *activities* are being carried out beyond the *operations area*, but where the management of the *authorized facility* can be given some degree of authority over the whole *site area*.
- ① The term *activity* is used here in the sense of *activity* (2).

**site boundary.** The boundary of the *site area*.

**supervised area.** A defined area not designated as a *controlled area* but for which *occupational exposure* conditions are kept under review, even though specific *protection* measures or *safety* provisions are not normally needed.

See also *controlled area*.

### area monitoring

See *monitoring* (1).

### area survey

See *survey*.

### arrangements (for emergency response)

See *emergency arrangements*.

### arrangements (for operations)

The integrated set of infrastructural elements necessary to provide the capability for performing a specified function or task required to carry out a specified operation.

- ① The infrastructural elements may include authorities and responsibilities, organization, coordination, personnel, plans, *procedures*, *facilities*, equipment or training.

### assessment

1. The *process*, and the result, of analysing systematically and evaluating the hazards associated with *facilities and activities*, and associated *protection and safety measures*.

- ① *Assessment* is often aimed at quantifying performance measures for comparison with criteria.
- ① In *IAEA publications*, *assessment* should be distinguished from *analysis*. *Assessment* is aimed at providing information that forms the basis of a decision on whether or not something is satisfactory. Various kinds of *analysis* may be used as tools in doing this. Hence an *assessment* may include a number of *analyses*.

**consequence assessment.** *Assessment* of the radiological consequences (e.g. *doses*, *activity concentrations*) of *normal operation* and possible *accidents* associated with an *authorized facility* or part thereof.

- ! Care should be taken in discussing ‘consequences’ in this context to distinguish between radiological consequences of events causing *exposure*, such as *doses*, and health consequences, such as cancers, that could result from *doses*. ‘Consequences’ of the former type generally imply a probability of experiencing ‘consequences’ of the latter type.

See also *end point*.

- ① This differs from *risk assessment* in that probabilities are not included in the *assessment*.

**dose assessment.** *Assessment* of the *dose(s)* to an individual or group of people.

- ① For example, *assessment* of the *dose* received or *committed* by an individual on the basis of results from *workplace monitoring* or *bioassay*.
- ① The term ***exposure assessment*** is also sometimes used.

**exposure assessment.** See *assessment* (1): *dose assessment*.

**hazard assessment.** *Assessment* of hazards associated with *facilities*, *activities* or *sources* within or beyond the borders of a State in order to identify:

- (a) those *events* and the associated areas for which *protective actions* and *other emergency response actions* may be required within the State;
- (b) actions that would be effective in mitigating the consequences of such *events*.

**performance assessment.** *Assessment* of the performance of a *system* or subsystem and its implications for *protection and safety* at an *authorized facility*.

- ① This differs from *safety assessment* in that it can be applied to parts of an *authorized facility* (and its surroundings), and does not necessarily require the *assessment* of radiological impacts.

***radiological environmental impact assessment.*** *Assessment of the expected radiological impacts of facilities and activities on the environment for the purposes of protection of the public and protection of the environment against radiation risks.*

***risk assessment.*** *Assessment of the radiation risks and other risks associated with normal operation and possible accidents involving facilities and activities.*

- ① This will normally include *consequence assessment*, together with some *assessment* of the probability of those consequences arising.

### ***safety assessment***

**1.** *Assessment of all aspects of facilities and activities that are relevant to protection and safety; for an authorized facility, this includes siting, design and operation of the facility.*

- ① This will normally include *risk assessment*.

See also *probabilistic safety assessment (PSA)*.

**2.** *Analysis to predict the performance of an overall system and its impact, where the performance measure is the radiological impact or some other global measure of the impact on safety.*

**3.** *The systematic process that is carried out throughout the design process (and throughout the lifetime of the facility or the activity) to ensure that all the relevant safety requirements are met by the proposed (or actual) design.*

- ① *Safety assessment* includes, but is not limited to, the formal *safety analysis*; i.e. it includes the evaluation of the potential hazards associated with the operation of a *facility* or the conduct of an *activity*.

- ① Stages in the *lifetime* of a *facility* or *activity* at which a *safety assessment* is carried out and updated and the results used by the designers, the *operating organization* and the *regulatory body* include:

- (a) *Site evaluation* for the *facility* or *activity*;
- (b) *Development* of the *design*;
- (c) *Construction* of the *facility* or *implementation* of the *activity*;
- (d) *Commissioning* of the *facility* or of the *activity*;
- (e) *Commencement* of *operation* of the *facility* or *conduct* of the *activity*;
- (f) *Normal operation* of the *facility* or *normal conduct* of the *activity*;
- (g) *Modification* of the *design* or *operation*;
- (h) *Periodic safety reviews*;
- (i) *Life extension* of the *facility* beyond its original *design life*;
- (j) *Changes* in ownership or management of the *facility*;
- (k) *Decommissioning* and *dismantling* of a *facility*;
- (l) *Closure* of a *disposal facility* for *radioactive waste* and the *post-closure* phase;
- (m) *Remediation* of a site and *release* from *regulatory control*.

See Ref. [9].

**2. Activities** carried out to determine whether *requirements* are met and *processes* are adequate and effective, and to encourage managers to implement improvements, including *safety* improvements.

① This usage originated in *quality assurance* and related fields.

! The IAEA revised the *requirements* and guidance in the subject area of *quality assurance* for *safety standards on management systems* for the *safety of facilities and activities* involving the use of *ionizing radiation*. The terms *quality management* and *management system* have been adopted in the revised standards in place of the terms *quality assurance* and *quality assurance programme*.

① *Assessment activities* may include reviewing, checking, inspecting, testing, *surveillance*, auditing, peer evaluation and technical review. These *activities* can be divided into two broad categories: *independent assessment* and *self-assessment*.

***independent assessment.*** Assessments such as *audits* or *surveillance* carried out to determine the extent to which the *requirements* for the *management system* are fulfilled, to evaluate the effectiveness of the *management system* and to identify opportunities for improvement. They can be conducted by or on behalf of the organization itself for internal purposes, by interested parties such as customers and regulators (or by other persons on their behalf), or by external independent organizations.

① This definition applies in *management systems* and related fields.

① Persons conducting *independent assessments* do not participate directly in the work being assessed.

① *Independent assessment activities* include internal and external *audit*, *surveillance*, peer evaluation and technical review, which are focused on *safety* aspects and areas where problems have been found.

① An *audit* is used in the sense of a documented activity performed to determine by investigation, examination and evaluation of objective evidence the adequacy of, and adherence to, established *procedures*, instructions, specifications, codes, standards, administrative or operational programmes and other applicable documents, and the effectiveness of their implementation.

***self-assessment.*** A routine and continuing *process* conducted by *senior management* and also by management at other levels to evaluate the effectiveness of performance in all areas of their responsibility.

① This definition applies in *management systems* and related fields.

① *Self-assessment activities* include review, *surveillance* and discrete checks, which are focused on preventing, or identifying and correcting, management problems that hinder the achievement of the organization's objectives, in particular *safety* objectives.

① *Self-assessment* provides an overall view of the performance of the organization and the degree of maturity of the *management system*. It also helps to identify areas for improvement in the organization, to determine priorities, and to set a baseline for further improvement.

See *management system review: senior management*.

## A

### **assisted operation**

An operation undertaken by a State or group of States to which assistance is provided by or through the IAEA in the form of materials, services, equipment, *facilities* or information pursuant to an agreement between the IAEA and that State or group of States.

- ① The word ‘operation’ is used here in its usual sense.

### **atmospheric dispersion**

See *dispersion*.

### **attenuation**

The reduction in intensity of *radiation* passing through matter due to *processes* such as *absorption* and scattering.

- ① By analogy, also used in other situations in which some radiological property, characteristic or parameter is gradually reduced in the course of passing through a medium (e.g. the reduction in *activity concentration* in groundwater passing through the *geosphere* due to *processes* such as *sorption*).

### **attributable risk**

See *risk* (3).

### **audit**

See *assessment* (2): *independent assessment*.

### **authorization**

The granting by a *regulatory body* or other governmental body of written permission for a *person or organization* (the *operator*) to conduct specified *activities*.

- ① *Authorization* could include, for example, licensing (issuing a *licence*), *certification* (issuing a *certificate*) or *registration*.
- ① The term *authorization* is also sometimes used to describe the document granting such permission.
- ① *Authorization* is generally a more formal *process* than *approval*. *Approval* is typically used to represent any form of consent from the *regulatory body* that does not meet the definition of *authorization*. However, the usage in the Transport Regulations [2] is that *approval* is essentially synonymous with *authorization*.

See *approval*: *multilateral approval* and *unilateral approval*.

### **authorized activity**

See *facilities and activities*.

### **authorized discharge**

See *discharge* (1).



**authorized facility**

See *facilities and activities*.

**authorized limit**

See *limit*.

**authorized party**

The *person or organization* (the *operator*) responsible for an authorized *facility* or an authorized *activity* that gives rise to *radiation risks* who has been granted written permission (i.e. authorized) by a *regulatory body* or other governmental body to conduct specified activities.

- ① The *authorized party* for an authorized *facility* or an authorized activity is usually the *operating organization* or the *registrant* or *licensee* (although forms of *authorization* other than *registration* or *licensing* may apply). [10]

**authorized termination of responsibility**

The *release* by the *regulatory body* of an *operator* (or a former *operator*) from any further regulatory responsibilities in relation to an *authorized facility* or *authorized activity*.

- ① This may be a separate *process* from termination of an *authorization*; e.g. termination of the responsibility to maintain active *institutional control* over a *disposal facility* or termination of the *authorization* for *decommissioning*.

**authorized transfer**

The transfer of regulatory responsibility for specified *radioactive material* from one *operator* to another.

- ! This does not necessarily involve any movement of the material itself.

**authorized use**

See *use*.

**availability**

- ① The ability of an item or a *system* to be in a state to perform a required function under given conditions at a given instant of time or over a given time interval, given that the necessary external resources are provided [11].
- ① The definition was previously “The fraction of time for which a *system* is capable of fulfilling its intended purpose”.
- ① *Reliability* represents essentially the same information, but in a different form.

**averted dose**

See *dose concepts*.

### backfill

Material used to refill excavated portions of a *disposal facility* after *waste* has been emplaced.

### background

The *dose* or *dose rate* (or an observed measure related to the *dose* or *dose rate*) attributable to all *sources* other than the one(s) specified.

- ① Strictly, this applies to measurements of *dose rate* or count rate from a sample, where the *background dose rate* or count rate must be subtracted from all measurements. However, *background* is used more generally, in any situation in which a particular *source* (or group of *sources*) is under consideration, to refer to the effects of other *sources*. It is also applied to quantities other than *doses* or *dose rates*, such as *activity concentrations* in environmental media.

***natural background.*** The *doses*, *dose rates* or *activity concentrations* associated with *natural sources* or any other *sources* in the *environment* that are not amenable to *control*.

- ① This is normally considered to include *doses*, *dose rates* or *activity concentrations* associated with *natural sources*, global fallout (but not local fallout) from atmospheric nuclear weapon tests and the Chernobyl *accident*.

### barrier

A physical obstruction that prevents or inhibits the movement of people, radionuclides or some other phenomenon (e.g. fire), or provides shielding against *radiation*.

See also *cladding (material)*, *containment*, *defence in depth*.

***intrusion barrier.*** Components of a *disposal facility* designed to prevent inadvertent access to the *waste* by people, animals or plants.

***multiple barriers.*** Two or more natural or engineered *barriers* used to isolate *radioactive waste* in, and to prevent or to inhibit *migration* of radionuclides from, a *disposal facility*.

- ! The term ‘chemical *barrier*’ is sometimes used in the context of *waste disposal* to describe the chemical effect of a material that enhances the extent to which radionuclides react chemically with the material or with the host rock, thus inhibiting the *migration* of the radionuclides.

- ① This is not strictly a *barrier* as defined above (unless the material also constitutes a physical *barrier*), but the effect may be equivalent to that of a *barrier*, and it may therefore be convenient to regard it as such.

***multiple safety functions.*** In the context of the fulfilment of *multiple safety functions* by a *disposal system*, the *containment* and *isolation* of *waste* (the *confinement* function) is fulfilled by two or more natural or engineered *barriers* of the *disposal facility*, by means of diverse physical and chemical properties or processes, together with operational controls.



## Bayesian statistics

- ① Bayesian statistics provide a means for probabilistic inference that depends on the specification of prior distributions for all unknown parameters, followed by an application of Bayes' theorem to incorporate the extra information included in the data.
- ① Bayesian statistics can be used in volcanology, for example, as a method to help constrain the results and uncertainty estimates of statistical and numerical modelling, by taking advantage of as much data and relevant information as are available. In contrast, frequentist statistics rely on patterns of past events to model the likelihood that an event will occur in the future.
- ① Bayesian methods can incorporate more geological information into an estimate of probability of occurrence than is possible with a frequentist approach.

## becquerel (Bq)

The SI unit of *activity*, equal to one (transformation) per second.

- ① Supersedes the non-SI unit *curie* (Ci). 1 Bq = 27 pCi ( $2.7 \times 10^{-11}$  Ci) approximately.  
1 Ci =  $3.7 \times 10^{10}$  Bq.

## beyond design basis accident

See *plant states (considered in design)*.

## bioassay

Any *procedure* used to determine the nature, *activity*, location or retention of radionuclides in the body by direct (in vivo) measurement or by in vitro analysis of material excreted or otherwise removed from the body.

- ① Sometimes referred to as 'radio-bioassay'.

## biological half-life

See *half-life* (2).

## biosphere

That part of the *environment* normally inhabited by living organisms.

- ① In practice, the *biosphere* is not usually defined with great precision, but is generally taken to include the atmosphere and the Earth's surface, including the soil and surface water bodies, seas and oceans and their sediments. There is no generally accepted definition of the depth below the surface at which soil or sediment ceases to be part of the *biosphere*, but this might typically be taken to be the depth affected by basic human activities, in particular, farming.
- ① In the *safety of radioactive waste management*, in particular, the *biosphere* is normally distinguished from the *geosphere*.

## B

### buffer

Any substance placed around a *waste package* in a *disposal facility* to serve as a *barrier* to restrict the access of groundwater to the *waste package* and to reduce by *sorption* and precipitation the rate of eventual *migration* of radionuclides from the *waste*.

- ① The above definition is clearly specific to the *safety of radioactive waste management*. The term *buffer* (e.g. in *buffer solution*) is also used, in its usual scientific sense (and therefore usually without specific definition), in a variety of contexts.

### burnable absorber

Neutron absorbing material, used to manage *reactivity*, with the particular capability of being depleted by neutron *absorption*.

- ① A *burnable absorber* is used to manage *reactivity* by flattening the radial neutron flux within a reactor and to compensate for the depletion of *fissile material* due to operation of the reactor, thereby improving the utilization of the fuel.

### burnable poison

See *burnable absorber* and *poison*.

### bypass

1. A device to inhibit, deliberately but temporarily, the functioning of a circuit or *system* by, for example, short circuiting the contacts of a relay.

*maintenance bypass.* A *bypass* of *safety system* equipment during *maintenance*, testing or *repair*.

*operational bypass.* A *bypass* of certain *protective actions* when they are not necessary in a particular mode of plant *operation*.

- ! An *operational bypass* may be used when the *protective action* prevents, or might prevent, reliable *operation* in the required mode.

2. A route that allows *fission products* released from a reactor core to enter the *environment* without passing through the *containment* or other enclosure designed to confine and reduce a *radioactive release* in the event of an *emergency*.

- ① This route may be established intentionally by the *operator* or as a result of the *event*.

## C

**calibration**

- ① A set of operations that establish, under specified conditions, the relationship between values of quantities indicated by a measuring instrument or measuring system, or values represented by a material measure or a reference material, and the corresponding values realized by measurement standards [11].
- ① The previous entry was “A measurement of, or adjustment to, an instrument, *component* or *system* to ensure that its accuracy or response is acceptable”.
- ① A *calibration* may be expressed by a statement, *calibration* function, *calibration* diagram, *calibration* curve, or *calibration* table. In some cases, it may consist of an additive or multiplicative correction of the indication with associated measurement uncertainty.
- ① *Calibration* should not be confused with adjustment of a measuring system, often mistakenly called ‘self-*calibration*’, or with *verification* of *calibration*.

***calibration of a dosimeter.*** The process by which a dosimeter is characterized with a *calibration* factor. The *calibration* factor is the quotient of the conventionally true value of the measured quantity and the indicated value of the dosimeter under reference conditions. If the dosimeter is used under reference conditions, the value of the measured quantity is the product of the indicated value and the calibration factor. If the dosimeter is used under non-reference conditions, the value of the measured quantity is the product of the indicated value, the calibration factor and additional correction factor(s).

***model calibration.*** The *process* whereby predictions by a *model* are compared with field observations and/or experimental measurements from the *system* being modelled, and the *model* is adjusted for *bias* if necessary to achieve a best fit to the measured and/or observed data.

! This usage of the term is not universally accepted. The terms *model validation* and *model verification* are more commonly used to describe related *processes* in relation to *models*.

***bias.*** A measure of the systematic error between an actual or true value and a prediction by a *model* or a measured mean value. The *bias* of a model represents the tendency of a *model* to overpredict or to underpredict.

**canister, waste**

See *container, waste*.

**capable fault**

See *fault, geological*.

**capable volcano**

See *volcano, capable*.

## C

### carers and comforters

Persons who willingly and voluntarily help (other than in their occupation) in the care, support and comfort of *patients* undergoing *radiological procedures* for medical diagnosis or medical treatment.

### cargo aircraft

See *aircraft*.

### carrier

Any person, organization or government undertaking the carriage of *radioactive material* by any means of *transport*.

- ① The term includes both *carriers* for hire or reward (known as common or contract *carriers* in some countries) and *carriers* on own account (known as private *carriers* in some countries). (From Ref. [2].)

### cause

**direct cause.** The *latent weakness* (and the reasons for the *latent weakness*) that allows or causes the *observed cause* of an *initiating event* to happen, including the reasons for the *latent weakness*.

- ① Corrective actions designed to address *direct causes* are sometimes termed *repairs*.

**latent weakness.** An undetected degradation in an element of a *safety layer*.

- ① Such a degradation could lead to that element failing to perform as expected if it were called upon to perform a function.

**observed cause.** The *failure*, action, omission or condition that directly leads to an *initiating event*.

**root cause.** The fundamental cause of an *initiating event*, correction of which will prevent recurrence of the *initiating event* (i.e. the *root cause* is the *failure* to detect and correct the relevant *latent weakness(es)* and the reasons for that *failure*).

- ① Corrective actions designed to address *root causes* are sometimes termed *remedies*.

### certificate

A legal document issued by the *regulatory body* stating the applicable conditions to be met for **certification** and certifying compliance with regulatory *requirements* if the conditions are met.

- ① *Certificates* are required for some *package types* [2].

### certification

See *certificate*.

## channel

An arrangement of interconnected *components* within a *system* that initiates a single output.

- ① A *channel* loses its identity where single output signals are combined with signals from other *channels* (e.g. from a monitoring *channel* or a *safety* actuation *channel*).
- ① The above definition is specific to a particular area of *nuclear safety*. The term *channel* is also used in its usual senses (and therefore usually without specific definition) in a variety of contexts.

## characterization

1. Determination of the nature and *activity* of radionuclides present in a specified place.

- ① For example, *characterization* is the determination of the radionuclides present in a *bioassay* sample or in an area contaminated with *radioactive material* (e.g. as a first step in planning *remediation*). For the latter example, care should be taken to avoid confusion with the existing, and different, definition of the term *site characterization*.

2. Determination of the character of something.

- ① This is the standard dictionary definition, and would not need to be included in an individual glossary. It is included here only to distinguish the usual usage from the more restricted usage indicated in (1).

***characterization of waste.*** Determination of the physical, mechanical, chemical, radiological and biological properties of *radioactive waste* to establish the need for further adjustment, *treatment* or *conditioning*, or its suitability for further handling, *processing*, *storage* or *disposal*.

- ① *Characterization of waste*, in accordance with *requirements* established or approved by the *regulatory body*, is a process in the *predisposal management* of *waste* that at various steps provides information relevant to process control and provides assurance that the *waste form* or *waste package* will meet the *waste acceptance criteria* for the *processing*, *storage*, *transport* and *disposal* of the *waste*.

***site characterization*** (of the site for a *disposal facility*). Detailed surface and subsurface investigations and *activities* at a site to determine the radiological conditions at the site or to evaluate candidate *disposal* sites to obtain information to determine the suitability of the site for a *disposal facility* and to evaluate the long term performance of a *disposal facility* at the site.

- ① *Site characterization* is a stage in the *siting* of a *disposal facility*; it follows *area survey* and precedes *site confirmation* for a *disposal facility*.
- ① *Site characterization* may also refer to the *siting process* for any other *authorized facility*.

See also *site evaluation*, which includes *site characterization* and is not specific to a *disposal facility* site, and *area survey*.

## chemisorption

See *sorption*.

## C

### child

- ① In dosimetry (e.g. in tables of *dose per unit intake* values), a *child* is often assumed to be a 10 year old. If such an assumption is made, it should be clearly stated.

See also *infant* and *reference individual*.

### chronic intake

See *intake* (2).

### cladding

1. An external layer of material applied directly to another material to provide protection in chemically reactive conditions (e.g. *cladding* over ferritic material to prevent corrosion).
2. Typically, the tube of material that houses *nuclear fuel* pellets and provides the *containment* (means of *confinement*) of radionuclides produced during fission.

- ① *Cladding* may also provide structural support.
- ① The *cladding* tube, together with the end cups or plugs, also typically provides structural support.

### cleanup

See *remediation*.

### clearance

1. Removal of *regulatory control* by the *regulatory body* from *radioactive material* or *radioactive* objects within notified or authorized *facilities and activities*.

- ① Removal from *regulatory control* in this context refers to *regulatory control* applied for *radiation protection* purposes.
- ① Conceptually, *clearance* — freeing certain materials or objects in authorized *facilities and activities* from further *control* — is closely linked to, but distinct from and not to be confused with, *exemption* — determining that *controls* do not need to be applied to certain *sources* and *facilities and activities*.
- ① Various terms (e.g. ‘free *release*’) are used in different States to describe this concept.
- ① A number of issues relating to the concept of *clearance* and its relationship to other concepts were resolved in Ref. [12].

2. The net effect of the biological *processes* by which radionuclides are removed from a tissue, organ or area of the body.

- ① The *clearance rate* is the rate at which these biological *processes* occur.

### clearance level

See *level*.

### clearance rate

See *clearance* (2).



### cliff edge effect

An instance of severely abnormal conditions caused by an abrupt transition from one status of a facility to another following a small *deviation* in a parameter or a small variation in an input value.

- ① In a nuclear power plant, a *cliff edge effect* is an instance of severely abnormal plant behaviour caused by an abrupt transition from one plant status to another following a small *deviation* in a plant parameter; and thus a sudden large variation in plant conditions in response to a small variation in an input.

### closure<sup>6</sup>

1. Administrative and technical actions directed at a *disposal facility* at the end of its *operating lifetime* — e.g. covering of the disposed waste (for a *near surface disposal facility*) or backfilling and/or sealing (for a *geological disposal facility* and the passages leading to it) — and the termination and completion of *activities* in any associated structures.

- ① For other types of *facilities*, the term *decommissioning* is used.
- ① The terms *siting*, *design*, *construction*, *commissioning*, *operation* and *decommissioning* are normally used to delineate the six major stages of the *lifetime* of an *authorized facility* and of the associated *licensing process*. In the special case of *disposal facilities* for *radioactive waste*, *decommissioning* is replaced in this sequence by *closure*.

2. [The completion of all operations at some time after the emplacement of spent fuel or radioactive waste in a disposal facility. This includes the final engineering or other work required to bring the facility to a condition that will be safe in the long term.] (From Ref. [5].)

### cloud shine

Gamma *radiation* from radionuclides in an airborne plume.

See *ground shine*.

**sky shine.** Radiation emitted upwards and deflected by the air back down to the ground.

- ① The presence of *sky shine* could result in an increase in neutron flux rates further away from the facility.
- ① *Sky shine* can be an important consideration in health physics for high energy experimental accelerator facilities as well as installations with medical linear accelerators for radiation therapy, in relation to the evaluation of shielding designs and to environmental monitoring.

### coincidence (as a feature of design)

A feature of *protection system design* such that two or more overlapping or simultaneous output signals from several *channels* are necessary in order to produce a *protective action* signal by the *logic*.

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<sup>6</sup> The terms *siting*, *design*, *construction*, *commissioning*, *operation* and *decommissioning* are normally used to delineate the six major stages of the *lifetime* of an *authorized facility* and of the associated *licensing process*. In the special case of *disposal facilities* for *radioactive waste*, *decommissioning* is replaced in this sequence by *closure*.

## C

### **collective dose**

See *dose concepts*.

### **commissioning<sup>6</sup>**

The *process* by means of which *systems* and *components* of *facilities and activities*, having been constructed, are made operational and verified to be in accordance with the *design* and to have met the required performance criteria.

- ① *Commissioning* may include both non-nuclear and/or non-radioactive and nuclear and/or radioactive testing.

### **committed dose**

1. See *dose concepts*.
2. See *dose* (2).

### **committed effective dose**

See *dose quantities*.

### **committed equivalent dose**

See *dose quantities*.

### **common cause failure**

See *failure*.

### **common mode failure**

See *failure*.

### **competent authority**

Any body or authority designated or otherwise recognized as such for any purpose in connection with the [Transport] Regulations. (From Ref. [2].)

- ! This term is used only with reference to the Transport Regulations [2] for consistency with terminology used in the wider field of regulation of the transport of dangerous goods. Otherwise, the more general term *regulatory body* should be used, with which *competent authority* is essentially synonymous.

### **compliance assurance**

A systematic programme of measures applied by a *regulatory body* that is aimed at ensuring that the provisions of regulations are met in practice.

- ① *Compliance assurance* is a systematic programme of measures applied by a *competent authority* that is aimed at ensuring that the provisions of the [Transport] Regulations are met in practice. (From Ref. [2].)
- ① The term may be used in a variety of contexts with essentially the same meaning, but often without explicit definition.



**component**

One of the parts that make up a *system*.

- ① A *component* may be a hardware *component* (e.g. wires, transistors, integrated circuits, motors, relays, solenoids, pipes, fittings, pumps, tanks and valves) or a software *component* (e.g. modules, routines, programmes, software functions).

- ① A *component* may be made up of other *components*.

See also *active component*, *passive component*, *structures, systems and components* and *core components*.

**computational model**

See *model*.

**computer system validation**

See *validation* (1).

**computer system verification**

See *verification* (1).

**concept of operations**

See *emergency plan*.

**conceptual model**

See *model*.

**condition based maintenance**

See *maintenance: predictive maintenance*.

**condition indicator**

See *indicator*.

**condition monitoring**

See *monitoring* (2).

**conditional probability value (CPV)**

The upper bound for the conditional probability that a particular type of *event* will cause unacceptable radiological consequences.

- ① The term is used in the detailed *event screening process* for *site evaluation*.

**conditional risk**

See *risk* (3).

## C

### conditioning

See *waste management, radioactive* (1).

### configuration management

The *process* of identifying and documenting the characteristics of a *facility's structures, systems and components* (including computer systems and software), and of ensuring that changes to these characteristics are properly developed, assessed, approved, issued, implemented, verified, recorded and incorporated into the *facility* documentation.

- ① 'Configuration' is used in the sense of the physical, functional and operational characteristics of the *structures, systems and components* and parts of a *facility*.

### confinement

Prevention or *control* of *releases* of *radioactive material* to the *environment* in *operation* or in *accidents*.

- ① *Confinement* is closely related in meaning to *containment*, but *confinement* is typically used to refer to the *safety function* of preventing the 'escape' of *radioactive material*, whereas *containment* refers to the means for achieving that function.
- ! The Transport Regulations adopt a different distinction between *confinement* and *containment*, namely that *confinement* relates to preventing *criticality* and *containment* to preventing *releases* of *radioactive material* (see *confinement system* and *containment system*).
- ① The main issue here is the differences in usage between the *safety* of *nuclear installations* and *safety* in the *transport* of *radioactive material*. Both terms, *containment* and *confinement*, are used in both areas (in the Transport Regulations, in the form of *confinement system* and *containment system*), and the usages of *containment* are (it seems) conceptually consistent, but the usages of *confinement* are not. *Confinement* in *nuclear safety* is the *safety function* that is performed by the *containment*.

### confinement system

The assembly of *fissile material* and *packaging components* specified by the designer and agreed to by the *competent authority* as intended to preserve *criticality safety*. (From Ref. [2].)

- ! This usage is specific to the Transport Regulations.  
See *confinement* for more general usage.
- ① A *confinement system* as defined in the Transport Regulations has the primary function of controlling *criticality* (as compared with the *containment system*, the function of which is to prevent leakage of *radioactive material*).
- ① Discussions with experts in the field confirmed that a distinct term is needed to describe this distinct concept, and that *confinement* is the term that has become established, but have failed to reveal any compelling reasons for the choice of that particular word.

### consequence assessment

See *assessment* (1).

**consignee**

Any person, organization or government that is entitled to take delivery of a *consignment*. (From Ref. [2].)

**consignment**

Any *package* or *packages*, or load of *radioactive material*, presented by a *consignor* for *transport*. (From Ref. [2].)

**consignor**

Any person, organization or government that prepares a *consignment* for *transport*. (From Ref. [2].)

**constraint**

A prospective and *source* related value of individual dose (see *dose constraint*) or of individual risk (see *risk constraint*) that is used in *planned exposure situations* as a parameter for the *optimization of protection and safety* for the *source*, and that serves as a boundary in defining the range of options in *optimization*.

**construction<sup>6</sup>**

The *process* of manufacturing and assembling the *components* of a *facility*, the carrying out of civil works, the installation of *components* and equipment and the performance of associated tests.

**consumer product**

A device or manufactured item into which radionuclides have deliberately been incorporated or produced by *activation*, or which generates *ionizing radiation*, and which can be sold or made available to *members of the public* without special *surveillance* or *regulatory control* after sale.

- ① *Consumer products* include items such as smoke detectors and luminous dials into which radionuclides have deliberately been incorporated and ion generating tubes. It does not include building materials, ceramic tiles, spa waters, minerals and foodstuffs and it excludes products and appliances installed in public places (e.g. exit signs).

**container, waste**

The vessel into which the *waste form* is placed for handling, *transport*, *storage* and/or eventual *disposal*; also the outer *barrier* protecting the *waste* from external intrusions. The *waste container* is a *component* of the *waste package*. For example, molten *high level waste* glass would be poured into a specially designed *container* (*canister*), where it would cool and solidify.

- ! Note that the term **waste canister** is considered to be a specific term for a *container* for *spent fuel* or vitrified *high level waste*.

## containment

Methods or physical structures designed to prevent or *control* the *release* and the *dispersion* of *radioactive substances*.

- ① Although related to *confinement*, *containment* is usually used to refer to methods or structures that perform a *confinement* function in *facilities and activities*, namely preventing or controlling the *release* of *radioactive substances* and their *dispersion* in the *environment*.

See *confinement* for a more extensive discussion.

- ① In the context of *waste disposal*, the *containment* of the radionuclides associated with the *waste* is through the provision of engineered *barriers* and natural *barriers*, including the *waste form* and *packaging*, *backfill* materials, the host *environment* and geological formations, for *confinement* of the radionuclides within the waste matrix, the *packaging* and the *disposal facility* and thus its *isolation* from the *environment*.

## containment system

1. A structurally closed physical *barrier* (especially in a *nuclear installation*) designed to prevent or *control* the *release* and the *dispersion* of *radioactive substances*, and its associated systems.
2. The assembly of *components* of the *packaging* specified by the designer as intended to retain the *radioactive material* during *transport*. (From Ref. [2].)

- ① *Containment system* is consistent with the general *safety* usage of *containment*, unlike *confinement system* and *confinement*.

## contamination

1. *Radioactive substances* on surfaces, or within solids, liquids or gases (including the human body), where their presence is unintended or undesirable, or the *process* giving rise to their presence in such places.

- ① Also used less formally to refer to a quantity, namely the *activity* on a surface (or on a unit area of a surface).

- ① *Contamination* does not include residual *radioactive material* remaining at a site after the completion of *decommissioning*.

- ! The term *contamination* may have a connotation that is not intended. The term *contamination* refers only to the presence of *radioactivity*, and gives no indication of the magnitude of the *hazard* involved.

2. The presence of a *radioactive substance* on a surface in quantities in excess of 0.4 Bq/cm<sup>2</sup> for beta and gamma emitters and *low toxicity alpha emitters*, or 0.04 Bq/cm<sup>2</sup> for all other alpha emitters. (From Ref. [2].)

- ① This is a regulatory definition of *contamination*, specific to the Transport Regulations. Levels below 0.4 Bq/cm<sup>2</sup> or 0.04 Bq/cm<sup>2</sup> would still be considered *contamination* according to the scientific definition (1).

***fixed contamination.*** *Contamination* other than *non-fixed contamination*. (From Ref. [2].)

**non-fixed contamination.** Contamination that can be removed from a surface during routine conditions of *transport*. (From Ref. [2].)

① Also termed **removable contamination**.

### contamination zone

A zone in which special *protective actions* are necessary, owing to actual or potential air *contamination* or loose surface *contamination* in excess of a specified level.

### control

1. The function or power or (usually as *controls*) means of directing, regulating or restraining.

① It should be noted that the usual meaning of the English word *control* in *safety* related contexts is somewhat ‘stronger’ (i.e. more active) than that of its usual translations and other similar words in some other languages. For example, *control* typically implies not only checking or *monitoring* something but also ensuring that corrective or *enforcement* measures are taken if the results of the checking or *monitoring* indicate such a need. This is in contrast, for example, to the more limited usage of the equivalent word in French and Spanish.

**institutional control.** Control of a *radioactive waste* site by an authority or institution designated under the laws of a State. This *control* may be active (*monitoring*, *surveillance*, remedial work) or passive (land use *control*) and may be a factor in the design of a facility (e.g. a *near surface disposal facility*).

① Most commonly used to describe *controls* over a *disposal facility* after *closure* or a facility undergoing *decommissioning*.

① Also refers to the *controls* placed on a site that has been released from *regulatory control* under the condition of observing specified restrictions on its future use to ensure that these restrictions are complied with.

① The term *institutional control* is more general than *regulatory control* (i.e. *regulatory control* may be thought of as a special form of *institutional control*).

① *Institutional control* measures may be passive, they may be imposed for reasons not related to *protection* or *safety* (although they may nevertheless have some impact on *protection and safety*), they may be applied by organizations that do not meet the definition of a *regulatory body*, and they may apply in situations which do not fall within the scope of *facilities and activities*. As a result, some form of *institutional control* may be considered more likely to endure further into the future than *regulatory control*.

**regulatory control.** [Any form of control or regulation applied to facilities or activities by a regulatory body for reasons relating to radiation protection or to the safety or security of radioactive sources.] (From Ref. [13].)

! This definition is particular to the Code of Conduct on the Safety and Security of Radioactive Sources [13].

See also *institutional control*.

① In the Nuclear Security Series, the phrase ‘out of *regulatory control*’ is used for a situation in which *nuclear material* or *other radioactive material* is present

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without an appropriate *authorization*, either because controls have failed for some reason, or because they never existed.

2. A standard of comparison used to check the inferences deduced from an experiment.

- ① In *protection and safety*, a *control* is most commonly a sample or a group of people that has not been exposed to *radiation* from a particular *source*; the occurrence of particular effects in a sample or group of people that has been exposed is compared with that in the *control* to provide some indication of the effects that may be attributable to the *exposure*.
- ① For example, a case–*control* study is a common type of epidemiological study in which the incidence of *health effects* (the ‘cases’) in a population that has been exposed to *radiation* from a particular *source* is compared with the incidence in a similar population (the ‘*control*’) that has not been exposed, to investigate whether *exposure* due to that *source* may be causing *health effects*.

### controlled area

See *area*.

### conveyance

- (a) For *transport* by road or rail: any *vehicle*;
- (b) For *transport* by water: any *vessel*, or any hold, compartment, or *defined deck area* of a *vessel*;
- (c) For *transport* by air: any *aircraft*. (From Ref. [2].)

### core components

The elements of a reactor core, other than *fuel assemblies*, that are used to provide structural support of the core construction, or the tools, devices or other items that are inserted into the reactor core for core *monitoring*, flow *control* or other technological purposes and are treated as core elements.

- ① Examples of *core components* are *reactivity control* devices or *shutdown* devices, neutron *sources*, dummy *fuel*, *fuel* channels, instrumentation, flow restrictors and *burnable absorbers*.

### corrective maintenance

See *maintenance*.

### cost–benefit analysis

See *analysis*.

### countermeasure

An action aimed at alleviating the radiological consequences of an *accident*.

- ① *Countermeasures* are forms of *intervention*. They may be *protective actions* or *remedial actions*, and these more specific terms should be used where possible.



**agricultural countermeasure.** Action taken to reduce *contamination* of *food*, agricultural or forestry products before they reach consumers.

- ① Note that restrictions on the sale, movement or use of contaminated *food*, agricultural or forestry products (i.e. measures to prevent their reaching consumers) are *countermeasures*, but are not considered to be *agricultural countermeasures*.

### **‘cradle to grave’ approach**

An approach in which all the stages in the *lifetime* of a *facility*, *activity* or product are taken into consideration.

- ① For example, the ‘*cradle to grave*’ approach to the *safety* and *security* of *radioactive sources*.

See *ageing management*.

See *life cycle management*.

### **critical** (adjective)

- ! In view of the number of special meanings attached to this word, particular care should be taken when using the adjective ‘critical’ in its more common English senses (i.e. to mean extremely important, or as a derivative of the verb ‘criticize’).

#### **1.** Having a *reactivity* of zero.

- ① Also used, more loosely, when the *reactivity* is greater than zero.

See *criticality*.

#### **2.** Relating to the highest *doses* or *risks* attributable to a specified *source*.

- ① As in, for example, *critical exposure pathway* or *critical radionuclide*.

#### **3.** Capable of sustaining a nuclear chain reaction.

- ① As in, for example, *critical mass*.

### **critical assembly**

An assembly containing *fissile material* intended to sustain a controlled fission chain reaction at a low power level, used to investigate reactor core geometry and composition.

- ① A *critical assembly* — as a device that is designed and used to sustain nuclear reactions — may be subject to frequent changes to the configuration of the reactor core and the lattice, and may frequently be used as a mock-up of a configuration of a reactor core.

### **[critical group]**

[A group of *members of the public* which is reasonably homogeneous with respect to its *exposure* for a given *radiation source* and is typical of individuals receiving the highest *effective dose* or *equivalent dose* (as applicable) from the given *source*.]

See *representative person*.

**[hypothetical critical group].** A hypothetical group of individuals which is reasonably homogeneous with respect to the *risk* to which its members are subject from a given

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*radiation source*, and is representative of the individuals likely to be most at *risk* from the given *source*.

### critical level

See *minimum significant activity (MSA)*.

### criticality

The state of a nuclear chain reacting medium when the chain reaction is just self-sustaining (or *critical*), i.e. when the *reactivity* is zero.

- ① Often used, slightly more loosely, to refer to states in which the *reactivity* is greater than zero.

### criticality accident

See *accident*.

### criticality safety index (CSI)

A number assigned to a *package*, *overpack* or *freight container* containing *fissile material* that is used to provide *control* over the accumulation of *packages*, *overpacks* or *freight containers* containing *fissile material*. (From Ref. [2].)

- ① The *procedure* for calculating the *criticality safety index* and the restrictions on the total sum of the *criticality safety index* in a *freight container* or aboard a *conveyance* are prescribed in Sections V and VI of the Transport Regulations [2].

### crust, Earth's

The outermost solid layer of the Earth.

- ① The *Earth's crust* represents less than 1% of the Earth's volume and varies in thickness from approximately 6 km beneath the oceans to approximately 60 km beneath mountain chains.

### [curie (Ci)]

Unit of *activity*, equal to  $3.7 \times 10^{10}$  Bq (exactly).

- ① Superseded by the *becquerel (Bq)*. *Activity* values may be given in Ci (with the equivalent in Bq in parentheses) if they are being quoted from a reference which uses that unit.
- ① Originally, the *activity* of a gram of radium.



## D

**dangerous source**

See *source* (2).

**[de minimis]**

- ! The appropriate terminology of *exemption*, *clearance*, etc., should be used in IAEA publications.
- ① A general term used historically to describe concepts that would now be referred to by terms such as *exemption* or *clearance*. The term is also sometimes used to describe a related (and controversial) philosophy that *assessments* of *collective dose* should exclude that portion delivered at very low *individual dose rates*.
- ① The term *de minimis* is still used in some specific contexts, such as the London Convention 1972 [14].
- ① Derived from the Latin maxim '*de minimis non curat lex*' (the law does not concern itself with trivia).

**decay constant,  $\lambda$** 

For a radionuclide in a particular energy state, the quotient of  $dP$  by  $dt$ , where  $dP$  is the likelihood for of a single nucleus of undergoing a spontaneous nuclear transition from that energy state in the time interval  $dt$ .

$$\lambda = \frac{dP}{dt} = -\frac{1}{N} \frac{dN}{dt} = \frac{A}{N}$$

where  $N$  is the number of nuclei of concern existing at time  $t$  and  $A$  is the *activity*.

- ① The decay constant is a constant of proportionality describing the likelihood that a single nucleus will undergo a spontaneous nuclear transition from a higher energy state to a lower energy state within a differential time period. It also corresponds to:

$$\lambda = -\lim_{\Delta t \rightarrow 0} \frac{\Delta N / N}{\Delta t} = -\frac{1}{N} \frac{dN}{dt} = \frac{A}{N}$$

- ① Unit: reciprocal second ( $s^{-1}$ ).
- ① The *activity* is the *decay constant* multiplied by the number of nuclei of the radionuclide present.
- ① The *decay constant* is related to the *radioactive half-life*,  $T_{1/2}$ , of the radionuclide by the expression:

$$\lambda = \frac{\ln 2}{T_{1/2}}$$

**decision limit**

See *minimum significant activity (MSA)*.

## decommissioning<sup>6</sup>

1. Administrative and technical actions taken to allow the removal of some or all of the *regulatory controls* from a *facility*.

! This does not apply for that part of a *disposal facility* in which *radioactive waste* is emplaced, or for certain *facilities* used for the *disposal* of *naturally occurring radioactive material (NORM)* or of residues from the mining and processing of *radioactive ores*. For all of these the term *closure* is used instead of *decommissioning*.

! *Decommissioning* typically includes *dismantling* of the *facility* (or part thereof) to reduce the associated *radiation risks*, but in the IAEA's usage this need not be the case. A *facility* could, for example, be *decommissioned* without *dismantling* and the existing structures subsequently put to another use (after *decontamination*).

① The use of the term *decommissioning* implies that no further use of the *facility* (or part thereof) for its existing purpose is foreseen.

① Actions for *decommissioning* are taken at the end of the *operating lifetime* of a *facility* to retire it from service with due regard for the health and *safety* of workers and *members of the public* and *protection of the environment*.

① Subject to national legal and regulatory *requirements*, a *facility* (or its remaining parts) may also be considered *decommissioned* if it is incorporated into a new or existing *facility*, or even if the site on which it is located is still under *regulatory control* or *institutional control*.

① The actions will need to be such as to ensure the long term *protection* of the public and *protection of the environment*, and typically include reducing the levels of residual radionuclides in the materials and on the site of the *facility* so that the materials can be safely recycled, reused or disposed of as *exempt waste* or as *radioactive waste* and the site can be released for *unrestricted use* or otherwise reused.

① For a *disposal facility*, the corresponding term is *closure*.

2. [All steps leading to the release of a nuclear facility, other than a disposal facility, from regulatory control. These steps include the processes of decontamination and dismantling.] (From Ref. [5].)

***decommissioning plan.*** A document containing detailed information on the proposed *decommissioning* of a *facility*.

① The approved *decommissioning plan* describes the actions (including *decontamination* and/or the removal of *structures, systems and components*) to be taken in carrying out procedures, processes and work activities for the purposes of *decommissioning*.

① The *decommissioning plan* is considered to have been fulfilled when the approved *end state* of the *facility* has been reached.

***dismantling.*** The taking apart, disassembling and tearing down of the *structures, systems and components* of a *facility* for the purposes of *decommissioning*.

① The two main types of *dismantling* are ***immediate dismantling*** and ***deferred dismantling***.

***immediate dismantling*** begins shortly after *permanent shutdown*. Equipment and the *structures, systems and components* of a *facility* containing *radioactive material* are removed and/or are decontaminated to a level that permits the removal of *regulatory*

*control* from the *facility* and its *release*, either for unrestricted use or with restrictions on its future use.

**deferred dismantling** is deferred after *permanent shutdown*. For a *nuclear installation*, the *nuclear fuel* is first removed. Part or all of a *facility* containing *radioactive material* is either processed or put in such a condition that it can be put into *storage*. The *facility* is maintained until it can subsequently be decontaminated and/or dismantled.

- ① *Deferred dismantling* can involve the early *dismantling* of some parts of the *facility* and the early processing of some *radioactive material* and its removal from the *facility*, as preparatory steps for *storage* of the remaining parts of the *facility*.

**entombment.** The encasing of part or all of a *facility* in a structure of long lived material for the purposes of *decommissioning*.

- ① *Entombment* is not considered an acceptable strategy for *decommissioning* a *facility* following planned *permanent shutdown*.
- ① *Entombment* may be considered acceptable only under exceptional circumstances (e.g. following a *severe accident*). In this case, the *entombment* structure is maintained and *surveillance* is continued until the *radioactive* inventory decays to a level permitting termination of the *licence* and unrestricted *release* of the structure.

## decontamination

The complete or partial removal of *contamination* by a deliberate physical, chemical or biological *process*.

- ① This definition is intended to include a wide range of *processes* for removing *contamination* from people, equipment and buildings, but to exclude the removal of radionuclides from within the human body or the removal of radionuclides by natural weathering or *migration processes*, which are not considered to be *decontamination*.

See *remediation*.

## decontamination factor

The ratio of the *activity* per unit area (or per unit mass or volume) before a particular *decontamination* technique is applied to the *activity* per unit area (or per unit mass or volume) after application of the technique.

- ① This ratio may be specified for a particular radionuclide or for gross *activity*.
- ① The *background activity* may be first deducted from the *activity* per unit area both before and after a particular *decontamination* technique is applied.

## decorporation

The action of the biological processes by means of which incorporated radionuclides are removed from the human body.

- ① *Decorporation* may be promoted by chemical or biological agents.

## D

### deep sea disposal

See *disposal* (3).

### defence in depth

A hierarchical deployment of different levels of diverse equipment and *procedures* to prevent the escalation of *anticipated operational occurrences* and to maintain the effectiveness of physical *barriers* placed between a *radiation source* or *radioactive material* and *workers*, *members of the public* or the *environment*, in *operational states* and, for some *barriers*, in *accident conditions*.

① The objectives of *defence in depth* are:

- (a) To compensate for human induced *events* and *component failures*;
- (b) To maintain the effectiveness of the *barriers* by averting damage to the *facility* and to the *barriers* themselves;
- (c) To protect *workers*, *members of the public* and the *environment* from harm in *accident conditions* in the event that these *barriers* are not fully effective.

① The Fundamental Safety Principles (IAEA Safety Fundamentals) [15] (para. 3.31) states that “*Defence in depth* is implemented primarily through the combination of a number of consecutive and independent levels of protection that would have to fail before harmful effects could be caused to people or to the *environment*. If one level of protection or *barrier* were to fail, the subsequent level or *barrier* would be available. When properly implemented, *defence in depth* ensures that no single human induced *event*, organizational shortcoming or technical *failure* could lead to harmful effects, and that the combinations of *failures* that could give rise to significant harmful effects are of very low probability. The independent effectiveness of the different levels of defence is a necessary element of *defence in depth*”.

① Five levels of *defence in depth* are discussed in Ref. [16] (See Ref. [16] for further information):

- (a) The purpose of the first level of defence is to prevent deviations from *normal operation* and the *failure* of *items important to safety*.
- (b) The purpose of the second level of defence is to detect and control deviations from *normal operation* in order to prevent *anticipated operational occurrences* from escalating to *accident conditions*.
- (c) The purpose of the third level of defence is to prevent damage to the reactor core and *releases* of *radioactive material* requiring *off-site protective actions* and to return the plant to a *safe state* by means of inherent and/or engineered *safety* features, *safety systems* and *procedures*.
- (d) The purpose of the fourth level of defence is to prevent the progress of, and to mitigate the consequences of, *accidents* that result from *failure* of the third level of defence by preventing accident sequences that lead to *large radioactive releases* or *early radioactive releases* from occurring.
- (e) The purpose of the fifth and final level of defence is to mitigate radiological consequences of a *large release* or an *early release of radioactive material* that could potentially result from an accident.

***early release of radioactive material.*** A release of *radioactive material* for which *off-site protective actions* are necessary but are unlikely to be fully effective in due time.

**large release of radioactive material.** A release of radioactive material for which off-site protective actions that are limited in terms of times and areas of application are insufficient for protecting people and the environment.

- ① The International Nuclear Safety Group (INSAG) defined five levels of *defence in depth* (See Ref. [17] for further information):
  - (a) Level 1: Prevention of *abnormal operation* and *failures*.
  - (b) Level 2: *Control of abnormal operation* and detection of *failures*.
  - (c) Level 3: *Control of accidents* within the *design basis*.
  - (d) Level 4: *Control* of severe plant conditions, including prevention of *accident* progression and mitigation of the consequences of *severe accidents*.
  - (e) Level 5: Mitigation of radiological consequences of significant *releases* of *radioactive material*.
- ① The levels of defence are sometimes grouped into three *safety layers*: hardware, software and management *control*.
- ① In the context of *waste disposal*, the term *multiple barriers* is used to describe a similar concept.
- ① Note that *defence in depth* is used with a different meaning in the IAEA Nuclear Security Series in the context of *nuclear security*.

### defined deck area

The area of the weather deck of a *vessel*, or of a *vehicle* deck of a roll-on/roll-off ship or a ferry, that is allocated for the stowage of *radioactive material*. (From Ref. [2].)

### dependability

A general term describing the overall trustworthiness of a *system*; i.e. the extent to which reliance can justifiably be placed on this *system*. *Reliability*, *availability* and *safety* are attributes of *dependability*.

### depleted uranium

See *uranium*.

### derived air concentration (DAC)

A *derived limit* on the *activity concentration* in air of a specified radionuclide, calculated such that the *reference individual*, breathing air with constant *contamination* at the DAC with the breathing behaviour of a reference worker for a working year, would receive an *intake* corresponding to the *annual limit on intake* for the radionuclide in question.

- ① The parameter values recommended by the International Commission on Radiological Protection for calculating DACs are a breathing rate of 1.2 m<sup>3</sup>/h and a working year of 2000 h [18–20].
- ① The breathing behaviour of a reference *worker* as defined by the International Commission on Radiological Protection [19].

## D

### derived limit

See *limit*.

### design

1. The *process* and the result of developing a concept, detailed plans, supporting calculations and specifications for a *facility* and its parts.<sup>6</sup>
2. The description of *fissile material* excepted in the [Transport] Regulations, *special form radioactive material*, *low dispersible radioactive material*, *package* or *packaging* that enables such an item to be fully identified. The description may include specifications, engineering drawings, reports demonstrating compliance with regulatory *requirements*, and other relevant documentation. (From Ref. [2].)

① This is a much more restricted definition than (1), and is specific to the Transport Regulations.

### design basis

The range of conditions and *events* taken explicitly into account in the *design* of *structures*, *systems* and *components* and equipment of a *facility*, according to established criteria, such that the *facility* can withstand them without exceeding *authorized limits*.

① Used as a noun, with the definition above. Also often used as an adjective, applied to specific categories of conditions or *events* to mean ‘included in the *design basis*’; as, for example, in *design basis accident*, *design basis external events* and *design basis earthquake*.

### design basis accident

See *plant states (considered in design)*.

### design basis external events

The *external event(s)* or combination(s) of *external events* considered in the *design basis* of all or any part of a *facility*.

### design basis probability value (DBPV)

A value of the annual probability for a particular type of *event* to cause unacceptable radiological consequences. It is the ratio between the *screening probability level* and the *conditional probability value*.

① The term is used in the detailed *event screening process* for *site evaluation*.

### design extension conditions

See *plant states (considered in design)*.

### design life

See *life*, *lifetime*.



**detection limit**

See *minimum detectable activity (MDA)*.

**determination level**

See *minimum detectable activity (MDA)*.

**deterministic analysis**

*Analysis* using, for key parameters, single numerical values (taken to have a probability of 1), leading to a single value for the result.

- ① In the *safety* of *nuclear installations*, for example, this implies focusing on *accident* types, *releases* of *radioactive material* and consequences, without considering the probabilities of different *event* sequences.
- ① Typically used with either ‘best estimate’ or ‘conservative’ values, based on expert judgement and knowledge of the phenomena being modelled.
- ① Contrasting terms: *probabilistic analysis* or *stochastic analysis*.

See *probabilistic analysis*.

**deterministic effect**

See *health effects (of radiation)*.

**detriment**

See *radiation detriment*.

**deviation**

A departure from specified *requirements*.

**diagnostic exposure**

See *exposure, categories of: medical exposure*.

**diagnostic reference level**

See *level: reference level*.

**diffusion**

The movement of radionuclides relative to the medium in which they are distributed, under the influence of a concentration gradient.

- ① Usually used for the movement of airborne radionuclides (e.g. from *discharges* or resulting from an *accident*) relative to the air, and for movement of dissolved radionuclides (e.g. in groundwater or surface water, from *migration* following *waste disposal*, or in surface water from *discharges*) relative to the water.

See also *advection* (where the radionuclide does not move relative to the carrying medium, but moves with it) and *dispersion*.

## D

### direct cause

See *cause*.

### direct disposal

See *disposal* (1).

### directional dose equivalent

See *dose equivalent quantities*.

### discharge

**1.** Planned and controlled *release* of (usually gaseous or liquid) *radioactive substances* to the *environment*.

- ① Strictly, the act or *process* of releasing the *radioactive substances*, but also used to describe the *radioactive substances* released.

***authorized discharge.*** Discharge in accordance with an *authorization*.

***radioactive discharges.*** *Radioactive substances* arising from *sources* within *facilities and activities* which are discharged as gases, aerosols, liquids or solids to the *environment*, generally with the purpose of dilution and *dispersion*.

**2.** [A planned and controlled release to the environment, as a legitimate practice, within limits authorized by the regulatory body, of liquid or gaseous radioactive material that originate from regulated nuclear facilities during normal operation.] (From Ref. [5].)

### dismantling

See *decommissioning*.

### dispersal

The spreading of *radioactive material* in the *environment*.

- ① In normal language synonymous with *dispersion*, but tends to be used in a general sense, not implying the involvement of any particular *processes* or phenomena, e.g. the uncontrolled spreading of material that has escaped from *confinement*, or as a result of damage to (or the destruction of) a *sealed source*, *special form radioactive material* or *low dispersible radioactive material*.

### dispersion

The spreading of radionuclides in air (*aerodynamic dispersion*) or water (*hydrodynamic dispersion*) resulting mainly from physical *processes* affecting the velocity of different molecules in the medium.

- ① Often used in a more general sense combining all *processes* (including molecular *diffusion*) that result in the spreading of a plume. The terms ***atmospheric dispersion*** and ***hydrodynamic dispersion*** are used in this more general sense for plumes in air and water, respectively.



- ① In usual language synonymous with *dispersal*, but *dispersion* is mostly used more specifically as defined above, whereas *dispersal* is typically (though not universally) used as a more general expression.

See also *advection* and *diffusion*.

## disposal

### 1. Emplacement of *waste* in an appropriate *facility* without the intention of retrieval.

- ① In some States, the term *disposal* is used to include *discharges* of effluents to the *environment*.
- ① In some States, the term *disposal* is used administratively in such a way as to include, for example, incineration of *waste* or the transfer of *waste* between *operators*.
- ! In *IAEA publications*, *disposal* should be used only in accordance with the more restrictive definition given above.
- ! In many cases, the only element of this definition that is important is the distinction between *disposal* (with no intent to retrieve) and *storage* (with intent to retrieve). In such cases, a definition is not necessary; the distinction can be made in the form of a footnote at the first use of the term *disposal* or *storage* (e.g. “The use of the term ‘*disposal*’ indicates that there is no intention to retrieve the *waste*. If retrieval of the *waste* at any time in the future is intended, the term ‘*storage*’ is used.”).
- ! The term *disposal* implies that retrieval is not intended and would require deliberate action to regain access to the waste; it does not mean that retrieval is not possible.
- ① For *storage* in a combined *storage* and *disposal facility*, for which a decision may be made at the time of its *closure* whether to remove the *waste* stored during the *operation* of the *storage facility* or to dispose of it by encasing it in concrete, the question of intention of retrieval may be left open until the time of *closure* of the *facility*.
- ① Contrasted with *storage*.

**direct disposal.** Disposal of spent fuel as waste.

**geological disposal.** Disposal in a geological disposal facility.

See also *repository*.

- ① The term ‘intermediate depth disposal’ is sometimes used for the *disposal* of low and intermediate level waste, e.g. in boreholes (i.e. between *near surface disposal* and *geological disposal*).

**near surface disposal.** Disposal, under an engineered cover, with or without additional engineered barriers, in a *near surface disposal facility*.

**sub-seabed disposal.** Disposal in a geological disposal facility in the rock underlying the seabed.

### 2. [The emplacement of spent fuel or radioactive waste in an appropriate facility without the intention of retrieval.] (From Ref. [5].)

### 3. The act or *process* of getting rid of *waste*, without the intention of retrieval.

- ① The terms *deep sea disposal* and *seabed disposal* do not strictly satisfy definitions (1) or (2), but are consistent with the everyday meaning of *disposal* and are used as such.

**deep sea disposal.** Disposal of waste packaged in containers on the deep ocean floor.

## D

! The commonly used, but informal, term ‘sea dumping’ should not be used in IAEA publications.

① As practised until 1982 in accordance with the *requirements* of the London Convention 1972 [14].

**seabed disposal.** Emplacement of *waste* packaged in suitable *containers* at some depth into the sedimentary layers of the deep ocean floor.

① This may be achieved by direct emplacement, or by placing the *waste* in specially designed ‘penetrators’ which, when dropped into the sea, embed themselves in the sediment.

### disposal facility

An engineered *facility* where *waste* is emplaced for *disposal*.

① Synonymous with *repository*.

**disposal system.** The *system* of properties of the site for a *disposal facility*, design of the *disposal facility*, physical structures and items, procedures for control, characteristics of *waste* and other elements that contribute in different ways and over different timescales to the fulfilment of *safety functions* for *disposal*.

**geological disposal facility.** A *facility* for *radioactive waste disposal* located underground (usually several hundred metres or more below the surface) in a stable geological formation to provide long term *isolation* of radionuclides from the *biosphere*.

**near surface disposal facility.** A *facility* for *radioactive waste disposal* located at or within a few tens of metres of the Earth’s surface.

① The *practice* of disposal of *waste* in a *near surface disposal facility* with an engineered cover is also referred to as ‘shallow land burial’ of waste

### disposal system

See *disposal facility*.

### disposition

Consigning of, or arrangements for the consigning of, *radioactive waste* for some specified (interim or final) destination, for example for the purpose of *processing*, *disposal* or *storage*.

### disused source

See *source* (2).

### diversity

The presence of two or more independent (redundant) *systems* or *components* to perform an identified function, where the different *systems* or *components* have different attributes so as to reduce the possibility of *common cause failure*, including *common mode failure*.

① Examples of such attributes are: different *operating conditions*, different working principles or different *design* teams (which provide *functional diversity*), and different sizes of equipment, different manufacturers, and types of equipment (which provide

diversity of equipment) that use different physical methods (which provide **physical diversity**).

**functional diversity.** Application of diversity at the level of functions in applications in process engineering (e.g. for the actuation of a trip on both a pressure limit and a temperature limit).

## dose

1. A measure of the energy deposited by *radiation* in a target.

① For definitions of the most important such measures, see *dose quantities* and *dose concepts*.

2. *Absorbed dose, committed equivalent dose, committed effective dose, equivalent dose, effective dose* or *organ dose*, as indicated by the context.

**committed dose.** *committed equivalent dose* or *committed effective dose*.

## dose and dose rate effectiveness factor (DDREF)

The ratio between the *risk* or *radiation detriment* per unit *effective dose* for high *doses* and/or *dose rates* and that for low *doses* and *dose rates*.

① Used in the estimation of *risk coefficients* for low *doses* and *dose rates* from observations and epidemiological findings at high *doses* and *dose rates*.

① Supersedes the *dose rate effectiveness factor (DREF)*.

## dose assessment

See *assessment* (1).

## dose coefficient

① Used by the International Commission on Radiological Protection and others as a synonym for *dose per unit intake*, but sometimes also used to describe other coefficients linking quantities or concentrations of *activity* to *doses* or *dose rates*, such as the external *dose rate* at a specified distance above a surface with a deposit of a specified *activity* per unit area of a specified radionuclide.

! To avoid confusion, the term *dose coefficient* should be used with care.

## [dose commitment]

See *dose concepts*.

## dose concepts

**annual dose.** The *dose* from *external exposure* in a year plus the *committed dose* from *intakes* of radionuclides in that year.

① The *individual dose*, unless otherwise stated.

! This is not, in general, the same as the *dose* actually delivered during the year in question, which would include *doses* from radionuclides remaining in the body

from *intakes* in previous years, and would exclude *doses* delivered in future years from *intakes* of radionuclides during the year in question.

***averted dose.*** The *dose* prevented by *protective actions*.

***collective dose.*** The total *radiation dose* incurred by a population.

- ① This is the sum of all of the *individual doses* to members of the population. If the *doses* continue for longer than a year, then the *annual individual doses* must also be integrated over time.
- ① Unless otherwise specified, the time over which the *dose* is integrated is infinite; if a finite upper limit is applied to the time integration, the *collective dose* is described as ‘truncated’ at that time.
- ① Although the upper limit for the integral for *collective dose* could in principle be infinite, in most *assessments* of *collective dose* the component part associated with *individual doses* or *dose rates* that are higher than the thresholds for the induction of *deterministic effects* would be considered separately.
- ① Unless otherwise specified, the relevant *dose* is normally the *effective dose* (*collective effective dose* has a formal definition).
- ① Unit: man-sievert (man Sv). This is, strictly, just a *sievert*, but the unit man-sievert is used to distinguish the *collective dose* from the *individual dose* which a dosimeter would measure (just as, for example, ‘person-hours’ are used to measure the total effort devoted to a task, as opposed to the elapsed time that would be shown by a clock).
- ① Contrasting term: *individual dose*.

***committed dose.*** The *lifetime dose* expected to result from an *intake*.

See *dose quantities: committed equivalent dose* and *committed effective dose*.

- ① This term partially supersedes *dose commitment*.

[***dose commitment.*** The total *dose* that would eventually result from an *event* (e.g. a release of radioactive material), a deliberate action or a finite portion of a *practice*.]

- ① More specific and precise terms such as *committed dose* or *collective dose* should be used as appropriate.

***individual dose.*** The *dose* incurred by an individual.

- ① Contrasting term: *collective dose*.

***lifetime dose.*** The total *dose* received by an individual during his or her lifetime.

- ① In practice, often approximated as the sum of the *annual doses* incurred. Since *annual doses* include *committed doses*, some parts of some of the *annual doses* may not actually be delivered within the lifetime of the individual, and therefore this may overestimate the true *lifetime dose*.
- ① For prospective *assessments* of *lifetime dose*, a lifetime is normally interpreted as 70 years.

***projected dose.*** The *dose* that would be expected to be received if planned *protective actions* were not taken.

***residual dose.*** The *dose* expected to be incurred after *protective actions* have been terminated (or after a decision has been taken not to take *protective actions*).

- ① *Residual dose* applies in an *emergency exposure situation* or in an *existing exposure situation*.

### dose constraint

1. A prospective and *source* related value of individual *dose* that is used in *planned exposure situations* as a parameter for the *optimization of protection and safety* for the *source*, and that serves as a boundary in defining the range of options in *optimization*.
  - ① For *occupational exposure*, the *dose constraint* is a *constraint* on individual *dose* to *workers* established and used by *registrants* and *licensees* to set the range of options in optimizing *protection and safety* for the *source*.
  - ① For *public exposure*, the *dose constraint* is a *source* related value established or approved by the government or the *regulatory body*, with account taken of the *doses* from planned operations of all *sources* under *control*. The *dose constraint* for each particular *source* is intended, among other things, to ensure that the sum of *doses* from planned operations for all *sources* under *control* remains within the *dose limit*.
  - ① For *medical exposure*, the *dose constraint* is a *source* related value used in optimizing the *protection of carers and comforters of patients* undergoing *radiological procedures*, and the *protection* of volunteers subject to *exposure* as part of a programme of biomedical research.

### dose conversion convention

The assumed relationship between *potential alpha energy exposure* and *effective dose*.

- ① Used to estimate *doses* from measured or estimated *exposure due to radon*.  
See *exposure* (4).
- ① Unit: mSv per J·h/m<sup>3</sup>.

### dose equivalent

The product of the *absorbed dose* at a point in the tissue or organ and the appropriate *quality factor* for the type of *radiation* giving rise to the *dose*.

- ① A measure of the *dose* to a tissue or organ designed to reflect the amount of harm caused.
- ① For *radiation protection* purposes the quantity *dose equivalent* has been superseded by *equivalent dose*.
- ① *Dose equivalent* is also a term used by the International Commission on Radiation Units and Measurements in defining the operational quantities *ambient dose equivalent*, *directional dose equivalent* and *personal dose equivalent* (see *dose equivalent quantities*).

[*effective dose equivalent*,  $H_E$ ]. A measure of *dose* designed to reflect the *risk* associated with the *dose*, calculated as the weighted sum of the *dose equivalents* in the different tissues of the body.

- ① Superseded by *effective dose*.



## D

### dose equivalent quantities (operational)

**ambient dose equivalent,  $H^*(d)$ .** The *dose equivalent* that would be produced by the corresponding aligned and expanded field in the *ICRU sphere* at a depth  $d$  on the radius vector opposing the direction of the aligned field.

- ① Parameter defined at a point in a *radiation* field. Used as a directly measurable proxy (i.e. substitute) for *effective dose* for use in *monitoring of external exposure*.
- ① The recommended value of  $d$  for *strongly penetrating radiation* is 10 mm.

**directional dose equivalent,  $H'(d, \Omega)$ .** The *dose equivalent* that would be produced by the corresponding expanded field in the *ICRU sphere* at a depth  $d$  on a radius in a specified direction  $\Omega$ .

- ① Parameter defined at a point in a *radiation* field. Used as a directly measurable proxy (i.e. substitute) for *equivalent dose* in the skin in *monitoring of external exposure*.
- ① The recommended value of  $d$  for *weakly penetrating radiation* is 0.07 mm.

[**individual dose equivalent, penetrating,  $H_p(d)$** ]. See *dose equivalent quantities: personal dose equivalent*.

[**individual dose equivalent, superficial,  $H_s(d)$** ]. See *dose equivalent quantities: personal dose equivalent*.

**personal dose equivalent,  $H_p(d)$ .** The *dose equivalent* in soft tissue below a specified point on the body at an appropriate depth  $d$ .

- ① Parameter used as a directly measurable proxy (i.e. substitute) for *equivalent dose* in tissues or organs or (with  $d = 10$  mm) for *effective dose*, in *individual monitoring of external exposure*.
- ① The recommended values of  $d$  are 10 mm for *strongly penetrating radiation* and 0.07 mm for *weakly penetrating radiation*.
- ① ‘Soft tissue’ is commonly interpreted as the *ICRU sphere*.
- ① Recommended by the International Commission on Radiation Units and Measurements [21, 22] as a simplification of the two separate terms **individual dose equivalent, penetrating,  $H_p(d)$** , and **individual dose equivalent, superficial,  $H_s(d)$** , defined in Ref. [23].

### dose limit

See *limit*.

### dose per unit intake

The *committed effective dose* or the *committed equivalent dose* resulting from *intake*, by a specified means (usually ingestion or inhalation), of unit *activity* of a specified radionuclide in a specified chemical form.

- ① Values are specified in the Basic Safety Standards [1] and recommended by the International Commission on Radiological Protection [20].

- ① For *intakes*, synonymous with *dose coefficient*.
- ① Unit: Sv/Bq.

## dose quantities

**absorbed dose,  $D$ .** The fundamental dosimetric quantity  $D$ , defined as:

$$D = \frac{d\bar{\varepsilon}}{dm}$$

where  $d\bar{\varepsilon}$  is the mean energy imparted by *ionizing radiation* to matter in a volume element and  $dm$  is the mass of matter in the volume element.

- ① The energy can be averaged over any defined volume, the average *dose* being equal to the total energy imparted in the volume divided by the mass in the volume.
- ① *Absorbed dose* is defined at a point; for the average *dose* in a tissue or organ, see *organ dose*.
- ① The SI unit for absorbed dose is joule per kilogram (J/kg), termed the *gray* (Gy) (formerly, the *rad* was used).

**relative biological effectiveness (RBE) weighted absorbed dose,  $AD_T$ .** The quantity  $AD_{T,R}$ , defined as:

$$AD_{T,R} = D_{T,R} \times RBE_{T,R}$$

where  $D_{T,R}$  is the *absorbed dose* delivered by *radiation* of type R averaged over a tissue or organ T and  $RBE_{T,R}$  is the *relative biological effectiveness* for *radiation* of type R in the production of *severe deterministic effects* in a tissue or organ T. When the *radiation* field is composed of different *radiation* types with different values of  $RBE_{T,R}$ , the *RBE weighted absorbed dose* is given by:

$$AD_T = \sum_R D_{T,R} \times RBE_{T,R}$$

- ① The unit of *RBE weighted absorbed dose* is the *gray* (Gy), equal to 1 J/kg.
- ① *RBE weighted absorbed dose* is a measure of the *dose* to a tissue or organ, intended to reflect the risk of development of *severe deterministic effects*.
- ① Values of *RBE weighted absorbed dose* to a specified tissue or organ from any type(s) of *radiation* can be compared directly.

**committed effective dose,  $E(\tau)$ .** The quantity  $E(\tau)$ , defined as:

$$E(\tau) = \sum_T w_T \cdot H_T(\tau)$$

where  $H_T(\tau)$  is the *committed equivalent dose* to tissue or organ T over the integration time  $\tau$  elapsed after an *intake* of *radioactive substances* and  $w_T$  is the *tissue weighting factor* for tissue or organ T.

- ① Where  $\tau$  is not specified, it is taken to be 50 years for adults and the time to the age of 70 years (i.e. 70 years minus the age in years: so e.g. 60 years for a 10 year old child) for *intakes* by children.



**committed equivalent dose,  $H_T(\tau)$ .** The quantity  $H_T(\tau)$ , defined as:

$$H_T(\tau) = \int_{t_0}^{t_0+\tau} \dot{H}_T(t) dt$$

where  $t_0$  is the time of *intake*,  $\dot{H}_T(t)$  is the *equivalent dose rate* at time  $t$  in tissue or organ or T and the integration time  $\tau$  is the time elapsed after an *intake* of *radioactive substances*.

- ① Where  $\tau$  is not specified, it is taken to be 50 years for adults and the time to the age of 70 years (i.e. 70 years minus the age in years: so e.g. 60 years for a 10 year old child) for *intakes* by children.

**effective dose,  $E$ .** The quantity  $E$ , defined as a summation of the tissue or organ *equivalent doses*, each multiplied by the appropriate *tissue weighting factor*:

$$E = \sum_T w_T \cdot H_T$$

where  $H_T$  is the *equivalent dose* in tissue or organ T and  $w_T$  is the *tissue weighting factor* for tissue or organ T.

From the definition of *equivalent dose*, it follows that:

$$E = \sum_T w_T \cdot \sum_R w_R \cdot D_{T,R}$$

where  $w_R$  is the *radiation weighting factor* for *radiation* type R and  $D_{T,R}$  is the average *absorbed dose* in the tissue or organ or T delivered by *radiation* type R.

- ① The SI unit for *effective dose* is joule per kilogram (J/kg), termed the *sievert* (Sv). An explanation of the quantity is given in Annex B of Ref. [24].
- ① The *rem*, equal to 0.01 Sv, is sometimes used as a unit of *equivalent dose* and *effective dose*. This should not be used in IAEA publications, except when quoting directly from other publications, in which case the value in *sieverts* should be added in parentheses.
- ① *Effective dose* is a measure of *dose* designed to reflect the amount of *radiation detriment* likely to result from the *dose*.
- ① *Effective dose* cannot be used to quantify higher *doses* or to make decisions on the need for any medical treatment relating to *deterministic effects*.
- ① Values of *effective dose* from exposure for any type(s) of *radiation* and any mode(s) of *exposure* can be compared directly.

**equivalent dose,  $H_T$ .** The quantity  $H_{T,R}$ , defined as:

$$H_{T,R} = w_R \cdot D_{T,R}$$

where  $D_{T,R}$  is the *absorbed dose* delivered by *radiation* type R averaged over a tissue or organ T and  $w_R$  is the *radiation weighting factor* for *radiation* type R.

When the *radiation* field is composed of different *radiation* types with different values of  $w_R$ , the *equivalent dose* is:

$$H_T = \sum_R w_R \cdot D_{T,R}$$

- ① The SI unit for *equivalent dose* is joule per kilogram (J/kg), termed the *sievert* (Sv). An explanation of the quantity is given in Annex B of Ref. [24].

- ① The *rem*, equal to 0.01 Sv, is sometimes used as a unit of *equivalent dose* and *effective dose*. This should not be used in *IAEA publications*, except when quoting directly from other publications, in which case the value in *sieverts* should be added in parentheses.
- ① *Equivalent dose* is a measure of the *dose* to a tissue or organ designed to reflect the amount of harm caused.
- ① *Equivalent dose* cannot be used to quantify higher *doses* or to make decisions on the need for any medical treatment relating to *deterministic effects*.
- ① Values of *equivalent dose* to a specified tissue or organ from any type(s) of *radiation* can be compared directly.

**organ dose.** The mean *absorbed dose*  $D_T$  in a specified tissue or organ T of the human body, given by:

$$D_T = \frac{1}{m_T} \int_{m_T} D \cdot dm = \frac{\epsilon_T}{m_T}$$

where  $m_T$  is the mass of the tissue or organ,  $D$  is the *absorbed dose* in the mass element  $dm$  and  $\epsilon_T$  is the total energy imparted.

- ① Sometimes called *tissue dose*.

### **dose rate**

The *dose* per unit time.

- ! Although *dose rate* could, in principle, be defined over any unit of time (e.g. an *annual dose* is technically a *dose rate*), in *IAEA publications* the term *dose rate* should be used only in the context of short periods of time, e.g. *dose* per second or *dose* per hour.

### **[dose rate effectiveness factor (DREF)]**

The ratio between the *risk* per unit *effective dose* for high *dose rates* and that for low *dose rates*.

- ① Superseded by *dose and dose rate effectiveness factor (DDREF)*.

### **double contingency principle**

See *single failure criterion*.

### **drawdown**

A falling of the water level at a coastal site.

### **driven equipment**

A *component* such as a pump or valve that is operated by a *prime mover*.

### **dry storage**

See *storage*.

**early effect**

See *health effects (of radiation)*.

**early protective actions**

See *protective action*.

**effective dose**

See *dose quantities*.

**[effective dose equivalent]**

See *dose equivalent*.

**effective half-life**

See *half-life (2)*.

**['elimination, practical']**

- ① The phrase 'practically eliminated' was used in requirements for the design of nuclear power plants to convey the notion that, for a nuclear power plant, the possibility of the potential occurrence of certain hypothetical event sequences in scenarios could be considered to be excluded ("practically eliminated") provided that (1) it would be physically impossible for the relevant event sequences to occur or that (2) these sequences "could be considered with a high level of confidence to be extremely unlikely to arise". [16]
- ! The phrase 'practically eliminated' is misleading as it actually concerns the possible exclusion of event sequences from hypothetical scenarios rather than practicalities of safety. The phrase can also all too readily be misinterpreted, misrepresented or mistranslated as referring to the 'elimination' of 'accidents' by practical measures (or else 'practically' in the sense of 'almost'?). Clear drafting in natural language would be preferable.

**emergency**

A non-routine situation that necessitates prompt action, primarily to mitigate a *hazard* or adverse consequences for human life and health, property and the *environment*.

- ① This includes *nuclear and radiological emergencies* and conventional *emergencies* such as fires, *release* of hazardous chemicals, storms or earthquakes.
- ① It includes situations for which prompt action is warranted to mitigate the effects of a perceived *hazard*.
- ① All terms and definitions relating to an *emergency* are taken from Ref. [25].

See also *emergency class*.

***nuclear or radiological emergency.*** An *emergency* in which there is, or is perceived to be, a *hazard* due to:

- (a) The energy resulting from a nuclear chain reaction or from the decay of the products of a chain reaction; or
- (b) *Radiation exposure*.

① Points (a) and (b) approximately represent *nuclear and radiological emergencies*, respectively. However, this is not an exact distinction.

① ***Radiation emergency*** is used in some cases when an explicit distinction in the nature of the *hazard* is immaterial (e.g. national *radiation emergency* plan), and it has essentially the same meaning.

***transnational emergency.*** A *nuclear or radiological emergency* of actual, potential or perceived radiological significance for more than one State.

① An *nuclear or radiological emergency* of radiological significance for more than one State may be, for example:

- (1) An *emergency* due to a *significant transboundary release* of *radioactive material* (however, a *transnational emergency* does not necessarily imply a *significant transboundary release* of *radioactive material*);
- (2) A *general emergency* at a *facility* or other *event* that could result in a *significant transboundary release* (atmospheric or aquatic) of *radioactive material*;
- (3) An *emergency* arising from the discovery of the loss or illicit removal of a *dangerous source* that has been transported across, or is suspected of having been transported across, a national border;
- (4) An *emergency* resulting in significant disruption to international trade or travel;
- (5) An *emergency* warranting the taking of *protective actions* for foreign nationals or embassies in the State in which it occurs;
- (6) An *emergency* resulting or potentially resulting in *severe deterministic effects* and involving a fault and/or problem (such as in equipment or software) that could have serious implications for *safety* internationally;
- (7) An *emergency* resulting in or potentially resulting in great concern among the population of more than one State owing to the actual or perceived radiological *hazard*.

### **emergency action level (EAL)**

See level: *emergency action level*.

### **emergency arrangements**

The integrated set of infrastructural elements made in advance that are necessary to provide the capability for performing a specified function or task required in response to a *nuclear or radiological emergency*.

① These elements may include authorities and responsibilities, organization, coordination, personnel, plans, *procedures*, *facilities*, equipment or training.

## E

### emergency class

A set of conditions that warrant a similar immediate *emergency response*.

- ① This is the term used for communicating to the *response organizations* and to the public the level of response needed. The *events* that belong to a given *emergency class* are defined by criteria specific to the installation, *source* or *activities*, which, if exceeded, indicate classification at the prescribed level. For each *emergency class*, the initial actions of the *response organizations* are predefined.
- ① IAEA safety standards specify five *emergency classes*, namely *general emergency*, *site area emergency*, *facility emergency*, *alert* and *other nuclear or radiological emergency* [25]:
  - (a) **general emergency.** At facilities in category I or II, an *emergency* that warrants taking *precautionary urgent protective actions*, *urgent protective actions* and *early protective actions* and *other emergency response actions* on the site and off the site.
  - (b) **site area emergency.** At facilities in category I or II, an *emergency* that warrants taking *protective actions* and *other emergency response actions* on the site and in the vicinity of the site.
  - (c) **facility emergency.** At facilities in category I, II or III, an *emergency* that warrants taking *protective actions* and *other emergency response actions* at the *facility* and on the site but does not warrant taking *protective actions* off the site.
  - (d) **alert.** At facilities in category I, II or III, an *event* that warrants taking actions to assess and to mitigate the potential consequences at the facility.
  - (e) **other nuclear or radiological emergency.** An *emergency* in category IV that warrants taking *protective actions* and *other emergency response actions* at any location.

### emergency classification

The *process* whereby an authorized official classifies an *emergency* in order to declare the applicable *emergency class*.

- ① Upon declaration of the *emergency class*, the *response organizations* initiate the predefined *emergency response actions* for that *emergency class*.

### emergency exposure

See *emergency exposure situation*.

### emergency phase

The period of time from the detection of conditions warranting an *emergency response* until the completion of all the *emergency response actions* taken in anticipation of or in response to the radiological conditions expected in the first few months of the *emergency*.

- ① The *emergency phase* typically ends when the situation is under control, the *off-site* radiological conditions have been characterized sufficiently well to identify whether and where *food* restrictions and temporary *relocation* are required, and all required *food* restrictions and temporary *relocations* have been put into effect.

**initial phase (of an emergency).** The period of time from the detection of conditions warranting *emergency response actions* that must be taken promptly in order to be effective until the completion of all such actions.

- ① Such *emergency response actions* include *mitigatory actions* by the operator and *urgent protective actions* on the site and off the site.

## emergency plan

1. A description of the objectives, policy and *concept of operations* for the response to an *emergency* and of the structure, authorities and responsibilities for a systematic, coordinated and effective response.

- ① The *emergency plan* serves as the basis for the development of other plans, *procedures* and *checklists*.
- ① *Emergency plans* are prepared at several different levels: national, local and *facility*. They may include all *activities* planned to be carried out by all relevant organizations and authorities, or may be primarily concerned with the actions to be carried out by a particular organization. The term **overall emergency plan** is sometimes used for clarification when the former meaning is intended.
- ① Details regarding the accomplishment of specific tasks outlined in an *emergency plan* are contained in *emergency procedures*.

**concept of operations.** A brief description of an ideal response to a postulated *nuclear or radiological emergency*, used to ensure that all those personnel and organizations involved in the development of a capability for *emergency response* share a common understanding.

2. A set of *procedures* to be implemented in the *event* of an *accident*.

## emergency planning distance

The *extended planning distance* and the *ingestion and commodities planning distance*.

**extended planning distance (EPD).** Distance around a facility within which *emergency arrangements* are made following the declaration of a general emergency to conduct monitoring and to identify areas warranting *emergency response actions* to be taken off the site within a period following a significant *release* that would allow the risk of stochastic effects among members of the public to be effectively reduced.

- ① The area within the *extended planning distance* serves for planning purposes and may not be the actual area in which monitoring is to be conducted to identify areas where *early protective actions* such as *relocation* are necessary.
- ① While efforts need to be made at the *emergency preparedness stage* to prepare for taking effective *early protective actions* within this area, the actual area will be determined by the prevailing conditions in an emergency.
- ① As a precaution, some urgent actions may be warranted within the *extended planning distance* to reduce the risk of *stochastic effects* among members of the public.

**ingestion and commodities planning distance (ICPD).** Distance around a facility within which *emergency arrangements* are made to take effective *emergency response actions* following the declaration of a general emergency in order to reduce the risk of



stochastic effects among members of the public and to mitigate non-radiological consequences as a result of the distribution, sale and consumption of *food*, milk and drinking water and the use of commodities other than *food* that may have *contamination* from a significant *radioactive release*.

- ① The area within the *ingestion and commodities planning distance* serves for planning purposes to prepare for *emergency response actions* to monitor and control commodities, including *food*, for either domestic use or international trade.
- ① The actual area will be determined on the basis of the prevailing conditions in an *emergency*.
- ① As a precaution, some *urgent actions* may be warranted within the *ingestion and commodities planning distance* to prevent the ingestion of *food*, milk or drinking water and to prevent the use of commodities that may have *contamination* following a significant *radioactive release*.

### emergency planning zone

The *precautionary action zone* and the *urgent protective action planning zone*.

***precautionary action zone (PAZ).*** An area around a *facility* for which *emergency arrangements* have been made to take *urgent protective actions* in the event of a *nuclear or radiological emergency* to avoid or to reduce the risk of *severe deterministic effects* off the site.

- ① *Protective actions* within this area are to be taken before or shortly after a *release* of *radioactive material* or an *exposure*, on the basis of prevailing conditions at the *facility*.

***urgent protective action planning zone (UPZ).*** An area around a *facility* for which *emergency arrangements* have been made to take *urgent protective actions* in the event of a *nuclear or radiological emergency* to avert *doses* off the site in accordance with international *safety standards*.

- ① *Protective actions* within this area are to be taken on the basis of *environmental monitoring* — or, as appropriate, prevailing conditions at the *facility*.

### emergency preparedness

The capability to take actions that will effectively mitigate the consequences of an *emergency* for human life and health, property and the *environment*.

***emergency preparedness category.*** A category for *hazards* assessed by means of a *hazard assessment* to provide the basis for a *graded approach* to the application of requirements for developing generically justified and optimized *arrangements* for *preparedness and response* for a *nuclear or radiological emergency*.

***emergency preparedness stage.*** The stage when *emergency arrangements* for an effective *emergency response* are established.

### emergency procedures

A set of instructions describing in detail the actions to be taken by *emergency workers* in an *emergency*.



## emergency response

The performance of actions to mitigate the consequences of an *emergency* for human life and health, property and the *environment*.

- ① The *emergency response* may also provide a basis for the resumption of normal social and economic activity.

***emergency response action.*** An action to be taken in response to a nuclear or radiological emergency to mitigate the consequences of an emergency for human life and health, property and the *environment*.

- ① *Emergency response actions* comprise *protective actions* and *other emergency response actions*.

***other emergency response action.*** An *emergency response action* other than a *protective action*.

- ① The most common *other emergency response actions* are: medical examination, consultation and medical treatment; registration and long term medical followup; providing psychological counselling; and public information and other actions for mitigating non-radiological consequences and for public reassurance.

***emergency response commander.*** The individual responsible for directing the response of all organizations responding to an *emergency* (including the response to radiological hazards, the response to conventional hazards and law enforcement).

- ① Also referred to as incident commander.

***emergency response facility or emergency response location.*** A *facility* or *location* necessary for supporting an *emergency response*, for which specific functions are to be assigned at the *emergency preparedness stage*, and which need to be usable under *emergency conditions*.

- ① There are two different types of *emergency response facility* or *emergency response location*: those established in advance (e.g. a technical support centre for a nuclear power plant) and those designated at the time of an emergency (e.g. a triage area). In both cases, advance preparations are necessary to ensure their operability under *emergency conditions*.
- ① Depending on the *emergency preparedness* category and on the nature of an *emergency*, an *emergency response facility* may be designated an *emergency response location*.
- ① For a *nuclear power plant*, *emergency response facilities* (which are separate from the control room and the supplementary control room) include the technical support centre, the operational support centre and the emergency centre.

## emergency services

The local *off-site response organizations* that are generally available and that perform *emergency response* functions.

- ① These may include police, firefighters and rescue brigades, ambulance services and control teams for hazardous materials.

## E

### emergency worker

A person having specified duties as a *worker* in response to an *emergency*.

- ① *Emergency workers* may include *workers* employed, both directly and indirectly, by *registrants* and *licensees*, as well as personnel of *response organizations*, such as police officers, firefighters, medical personnel, and drivers and crews of vehicles used for *evacuation*.
- ① *Emergency workers* may or may not be designated as such in advance of an *emergency*. *Emergency workers* not designated as such in advance of an *emergency* are not necessarily *workers* prior to the *emergency*.

### employer

A *person* or *organization* with recognized responsibilities, commitments and duties towards a *worker* in the employment of the *person* or *organization* by virtue of a mutually agreed relationship.

- ! A self-employed person is regarded as being both an *employer* and a *worker*.

### end point

1. The final stage of a *process*, especially the point at which an effect is observed.

- ① Used, somewhat loosely, to describe a range of different results or consequences. For example, the term ‘biological *end point*’ is used to describe a *health effect* (or a probability of that *health effect*) that could result from *exposure*.

2. A radiological or other measure of *protection* or *safety* that is the calculated result of an *analysis* or *assessment*.

- ① Common *end points* include estimates of *dose* or *risk*, estimated frequency or probability of an *event* or type of *event* (such as damage to the reactor core), expected number of *health effects* in a population, predicted environmental concentrations of radionuclides, etc.

3. A predetermined criterion defining the point at which a specific task or *process* will be considered completed.

- ① This usage often occurs in contexts such as *decontamination* or *remediation*, where the *end point* is typically the level of *contamination* beyond which further *decontamination* or *remediation* is considered unnecessary.
- ① In such a context, this criterion may also be an *end point* in the sense of definition (2) — such criteria are often calculated on the basis of a level of *dose* or *risk* that is considered acceptable — but its application to the actual *decontamination* or *remediation operations* is in the sense of definition (3).

### end state

1. The state of *radioactive waste* in the final stage of *radioactive waste management*, in which the *waste* is passively safe and does not depend on *institutional control*.

- ① In the context of *radioactive waste management*, the *end state* refers to *disposal*.

2. A predetermined criterion defining the point at which a specific task or *process* is to be considered completed.

- ① Used in relation to *decommissioning activities* as the final state of *decommissioning* of a *facility*; and used in relation to *remediation* as the final status of a site at the end of activities for *decommissioning* and/or *remediation*, including approval of the radiological and physical conditions of the site and remaining structures.

### **energy fluence**

See *fluence*.

### **enforcement**

The application by a *regulatory body* of sanctions against an *operator*, intended to correct and, as appropriate, penalize non-compliance with conditions of an *authorization*.

### **enriched uranium**

See *uranium*.

### **entombment**

See *decommissioning*.

### **entrance surface dose**

*Absorbed dose* in the centre of the field at the surface of entry of *radiation* for a *patient* undergoing a radiodiagnostic examination, expressed in air and with backscatter.

### **environment**

The conditions under which people, animals and plants live or develop and which sustain all life and development; especially such conditions as affected by human activities.

***protection of the environment.*** Protection and conservation of: non-human species, both animal and plant, and their biodiversity; environmental goods and services such as the production of *food* and feed; resources used in agriculture, forestry, fisheries and tourism; amenities used in spiritual, cultural and recreational activities; media such as soil, water and air; and natural processes such as carbon, nitrogen and water cycles.

### **environmental monitoring**

See *monitoring* (1).

### **epicentre**

The point on the Earth's surface directly above the focus (i.e. *hypocentre*) of an earthquake.

### **equilibrium, radioactive**

The state of a *radioactive* decay chain (or part thereof) where the *activity* of each radionuclide in the chain (or part of the chain) is the same.

## E

- ① This state is achieved when the parent nuclide has a much longer *half-life* than any of the decay products, and after a time equal to several times the *half-life* of the longest lived of the decay products.
- ① Hence, the term ‘secular equilibrium’ is also used (with secular in this context meaning ‘eventual’, as contrasted with ‘transient equilibrium’).

### equilibrium equivalent concentration

The *activity concentration* of  $^{222}\text{Rn}$  or  $^{220}\text{Rn}$  in *radioactive equilibrium* with their short lived decay products that would have the same *potential alpha energy concentration* as the actual (non-equilibrium) mixture.

- ① The *equilibrium equivalent concentration* of radon-222 is given by:  
$$EEC^{222}\text{Rn} = (0.104 \times C(^{218}\text{Po})) + (0.514 \times C(^{214}\text{Pb})) + (0.382 \times C(^{214}\text{Bi}))$$
where  $C(x)$  is the activity concentration of nuclide  $x$  in air.  
1 Bq/m<sup>3</sup> *EEC radon* corresponds to  $5.56 \times 10^{-6}$  mJ/m<sup>3</sup>.
- ① The *equilibrium equivalent concentration* of  $^{220}\text{Rn}$  is given by:  
$$EEC^{220}\text{Rn} = (0.913 \times C(^{212}\text{Pb})) + (0.087 \times C(^{212}\text{Bi}))$$
where  $C(x)$  is the activity concentration of nuclide  $x$  in air.  
1 Bq/m<sup>3</sup> *EEC  $^{220}\text{Rn}$*  corresponds to  $7.57 \times 10^{-5}$  mJ/m<sup>3</sup>.

### equilibrium factor

The ratio of the *equilibrium equivalent activity concentration* of radon-222 to the actual radon-222 activity concentration.

### equipment qualification

See *qualification*.

### equivalent dose

See *dose quantities*.

### eruption, volcanic

Any process on a *volcano* or at a *volcanic vent* that involves the explosive ejection of fragmental material, the effusion of molten *lava*, the sudden release of large quantities of volcanic gases (e.g. CO<sub>2</sub>) or a process by which buried regions of the volcanic systems from various depths, such as the hydrothermal system, are brought to the surface during the collapse of edifices.

- ① *Eruptions* are magmatic if newly solidified *magma* is present in the eruptive products and non-magmatic (phreatic) if they involve only recycled rock fragments. *Eruptions* can occur over widely varying timescales (seconds to years).

**effusive eruption.** A *volcanic eruption* in which coherent *magma* is extruded from the *volcanic vent* to form *lava* flows.

**explosive eruption.** A *volcanic eruption* in which gas bubble expansion or explosive interaction between *magma* and water is rapid enough to break the *magma* apart (i.e. to fragment the *magma*).

① *Explosive eruptions* also occur when pressurized hydrothermal gases and superheated fluids suddenly break the host rock in a volcanic edifice.

① Pyroclastic flows, falls and *volcano* generated missiles are characteristic of *explosive eruptions*.

***phreatic eruption.*** A type of *eruption* caused by rapid volume expansion of water, or water vaporization, in the subsurface, without *magma* being erupted at the surface.

① *Phreatic eruptions* are usually steam explosions that occur when hot water is suddenly depressurized, but may occasionally be non-explosive expulsions of pressurized or heated aquifer waters and/or hydrothermal fluids at a *volcano*.

① *Phreatic eruptions* are common where rising *magma* interacts with groundwater, commonly in the interior of a *volcano* edifice.

① Although commonly small in scale, *phreatic eruptions* may be followed by larger scale *phreatomagmatic eruptions* or *magmatic eruptions*.

① *Phreatic eruptions* may generate debris flows and hot lahars.

***phreatomagmatic eruption.*** A type of *explosive eruption* that involves subsurface interaction of *magma* and water and which produces explosive mixtures of rock, steam and *magma* that often form pyroclastic flows and surges.

① *Surtseyan* and *phreato-plinian eruptions* are *phreatomagmatic eruptions* involving the interaction of hot pyroclasts and water, as the *magma* is erupted from the *volcanic vent* into bodies of water.

***plinian eruption.*** An explosive pyroclastic *eruption* characterized by a sustained *eruption* column that generally rises to altitudes of 10–50 km.

① *Plinian eruptions* may produce thick tephra fallout over areas of 500–5000 km<sup>2</sup> and/or pyroclastic flows and surges that travel tens of kilometres from the *volcano*.

① The 1991 *eruption* of Mount Pinatubo, Philippines, is a recent *plinian eruption*.

***strombolian eruption.*** A type of *volcanic eruption* that is intermediate in explosivity between fire fountain and *plinian eruptions*.

① *Magma* is less fragmented in a *strombolian eruption* than in a *plinian eruption* and gas is often released in coalesced slugs rather than in a continuous jet.

① *Strombolian eruptions* are commonly discrete events, punctuated by intervals of relative quiescence lasting from a few seconds to several hours.

① *Strombolian eruptions*, usually basaltic to andesitic in composition, form weak *eruption* columns that rarely exceed 5 km in height, and the volume of *lava* flows is generally equal to, or greater than, the volume of pyroclastic rocks.

① Such *eruptions* are characteristic of Stromboli *volcano*, Italy, and Izalco *volcano*, El Salvador.

***vulcanian eruption.*** A type of *volcanic eruption* characterized by discrete explosions, which produces shock waves and pyroclastic *eruptions*.

① *Vulcanian eruptions* typically occur when volcanic gas accumulates in a solidifying shallow conduit or dome, which pressurizes the *magma* to the point of brittle *failure*.

## E

- ① Andesitic and dacitic *magmas* are most often associated with *vulcanian eruptions*.
- ① Examples of recent *vulcanian eruptions* include Sakurajima volcano, Japan, Soufrière Hills volcano, Montserrat, and Colima volcano, Mexico.

### eruption cloud

A cloud of tephra and gases that forms above a *volcanic vent* during explosive *volcanic eruptions*.

- ① The vertical pillar of tephra and gases that forms during most explosive activity is referred to as an *eruption column*, or strong plume, and includes a momentum dominated region and a buoyancy dominated region.
- ① *Eruption clouds* may rapidly spread laterally under gravity, especially in the most energetic *eruptions*, and may drift thousands of kilometres downwind.
- ① Large *eruption clouds* can encircle the Earth within days.

### essential services

- ① The supply of resources, including electricity, gas, water, compressed air, fuel and lubricants, necessary to maintain *safety systems* of a nuclear power plant operational at all times.

### evacuation

The rapid, temporary removal of people from an area to avoid or reduce short term *radiation exposure* in an *emergency*.

- ① *Evacuation* is an *urgent protective action*. If people are removed from the area for a longer period of time (more than a few months), the term *relocation* is used.
- ① *Evacuation* may be performed as a precautionary action based on plant conditions within the *precautionary action zone*.

### event

In the context of the reporting and *analysis of events*, an *event* is any occurrence unintended by the *operator*, including operating error, equipment *failure* or other mishap, and deliberate action on the part of others, the consequences or potential consequences of which are not negligible from the point of view of *protection and safety*.

- ! As with *INES*, the terminology related to the reporting and *analysis of events* is not consistent with the terminology used in *safety standards*, and great care should be taken to avoid confusion.
- ! In particular, the definition of *event* given above is identical in essence to the *safety standards* definition (1) of *accident*.
- ① This difference derives from the fact that *event* reporting and *analysis* is concerned directly with the question of whether an *event* that could develop into an *accident* with significant consequences actually does so; terms such as *accident* are used only to describe the end result and therefore other terms are needed to describe the earlier stages.

See *initiating event* and *initiating event: postulated initiating event*.



- ① *Event* is also used in the phrase ‘features, *events* and processes’ associated with the site and the *facility* in the context of *site characterization* for a *disposal facility* for *radioactive waste*.
- ① Relevant features, *events* and processes relating to the site are those that might influence the long term performance of the *disposal facility* and thus could affect *safety*. These are addressed in a *safety case* and in a supporting *safety assessment*.

Types of events and circumstances are shown in the table below.

Events (including <i>anticipated operational occurrences</i> )		Circumstances			
<i>Incidents</i> (including <i>initiating events</i> , <i>accident precursors</i> and <i>near misses</i> )		<i>Scenarios:</i> postulated <i>incidents</i>	<i>Situations</i> (including <i>operating conditions</i> , <i>accident conditions</i> )		<i>Scenarios:</i> hypothetical situations
<i>Accidents</i> (unintentional causes)	Intentional causes (unauthorized acts: <i>malicious</i> and non- <i>malicious</i> ) (e.g. <i>sabotage</i> , theft)	E.g. acute <i>potential</i> <i>exposure</i>	<i>Operational</i> <i>states</i> , <i>design basis</i> <i>accident</i> conditions	<i>Nuclear and</i> <i>radiological</i> <i>emergencies</i> , <i>beyond design</i> <i>basis accident</i> conditions	E.g. <i>chronic</i> <i>potential</i> <i>exposure</i>

#### Notes:

A *scenario* is a postulated or assumed set of conditions and/or *events*. A *scenario* may represent the conditions at a single point in time or a single *event*, or a time history of conditions and/or *events*.

*Anticipated operational occurrence*; *beyond design basis accident*; *design basis accident*: see *plant states (considered in design)*.

Attributes: these terms use the following attributes: acute and chronic; actual and postulated; unintentional and intentional causes; *malicious* and non-malicious; nuclear and radiological.

Dictionary (Concise Oxford English Dictionary) definitions:

Circumstance(s): A fact, occurrence or condition, especially (in plural) the time, place, manner, cause, occasion, etc., or surroundings of an act or *event*; (in plural) the external conditions that affect or might affect an action.

Occurrence: The act or an instance of occurring, i.e. coming into being as an *event* or *process* at or during some time; happening. The act or an instance of existing or being encountered in some place or conditions.

Situation: A set of circumstances; a state of affairs.

#### event tree analysis

See *analysis*.

#### excepted package

See *package*.



## E

### exception

- ① The terms *exception* and *excepted* are sometimes used to describe cases in which some of the *requirements* or guidance in *safety standards* are deemed not to apply.
- ① In this regard, the effect of *exception* may be compared with those of *exemption* and *exclusion*.
- ① However, this is in fact a usual usage of the English term *exception*, not a specialized term.
- ① The terms *exemption* and *exclusion* are necessarily linked to specific reasons for non-application, whereas *exception* is not.
- ① The term ‘*excepted package*’ in the Transport Regulations is an example of this usage; *packages* may be *excepted* from specified *requirements* of the Transport Regulations if they satisfy conditions specified in the Transport Regulations.

### excess relative risk

See *risk* (3).

### excess risk

See *risk* (3).

### excluded exposure

See *exclusion*.

### exclusion

The deliberate excluding of a particular type of *exposure* from the scope of an instrument of *regulatory control* on the grounds that it is not considered amenable to *control* through the regulatory instrument in question.

***excluded exposure.*** *Exposure* not considered amenable to control through a regulatory instrument.

- ① The term *excluded exposure* is most commonly applied to those *exposures* due to *natural sources* that are least amenable to *control*, such as *exposures* due to cosmic radiation at the Earth’s surface, potassium-40 in the human body or *naturally occurring radioactive material (NORM)* in which the *activity concentrations* of natural radionuclides are below the relevant values given in IAEA *safety standards*.
- ① The concept is related to those of *clearance* (which is normally used in relation to materials) and *exemption* (which relates to *facilities and activities* or *sources*).

### exclusive use

The sole use, by a single *consignor*, of a *conveyance* or of a *large freight container*, in respect of which all initial, intermediate and final loading and unloading and shipment are carried out in accordance with the directions of the *consignor* or *consignee*, where so required by these [Transport] Regulations. (From Ref. [2].)

## exempt waste

See *waste*.

## exemption

The determination by a *regulatory body* that a *source* or *practice* need not be subject to some or all aspects of *regulatory control* on the basis that the *exposure* and the *potential exposure* due to the *source* or *practice* are too small to warrant the application of those aspects or that this is the optimum option for *protection* irrespective of the actual level of the *doses* or *risks*.

See also *clearance* (1) and *exclusion*.

## exemption level

See *level*.

## exposure

1. The state or condition of being subject to irradiation.

! *Exposure* should not be used as a synonym for *dose*. *Dose* is a measure of the effects of *exposure*.

① *Exposure* to ionizing radiation can be broadly divided into categories of exposure (see *exposure, categories of*) according to the status of the individual(s) exposed; into *exposure situations* according to the circumstances of the exposure; and according to the *source* of the *exposure*.

**external exposure.** *Exposure* to radiation from a *source* outside the body.

① Contrasted with *internal exposure*.

**internal exposure.** *Exposure* to radiation from a *source* within the body.

① Contrasted with *external exposure*.

2. The sum of the electrical charges of all of the ions of one sign produced in air by X rays or gamma *radiation* when all electrons liberated by photons in a suitably small element of volume of air are completely stopped in air, divided by the mass of the air in the volume element.

① Unit: C/kg (in the past, the *röntgen* (*R*) was used).

3. The time integral of the *potential alpha energy* concentration in air, or of the corresponding *equilibrium equivalent concentration*, to which an individual is exposed over a given period (e.g. a year).

① Used in connection with *exposure* due to decay products of  $^{222}\text{Rn}$  or  $^{220}\text{Rn}$ .

① The SI unit is  $\text{J}\cdot\text{h}/\text{m}^3$  for *potential alpha energy* concentration or  $\text{Bq}\cdot\text{h}/\text{m}^3$  for *equilibrium equivalent concentration*.

4. [“The product of the air concentration of a radionuclide to which a person is exposed and the time of exposure. More generally, when the air concentration varies with time, the time integral of the air concentration of a radionuclide to which a person is exposed, integrated over the time of exposure.”]

- ① This definition, quoted verbatim from Ref. [26], reflects a loose usage of exposure found in particular in the context of airborne *radon*. This usage is listed here for information, but it is discouraged.

**exposure due to radon.** The time integral over the *activity concentration* of radon for a defined period of time. *Exposure due to radon* is a measurand related to the *potential alpha energy exposure* with the *equilibrium factor* taken into account and is, therefore, related to the *effective dose*.

### exposure, categories of

**medical exposure.** *Exposure* incurred by *patients* for the purposes of their own medical or dental diagnosis (**diagnostic exposure**) or medical treatment (**therapeutic exposure**); by *carers and comforters*; and by volunteers subject to *exposure* as part of a programme of biomedical research.

**patient.** An individual who is a recipient of services of *health professionals* and/or their agents that are directed at (a) promotion of health; (b) prevention of illness and injury; (c) monitoring of health; (d) maintaining health; and (e) medical treatment of diseases, disorders and injuries in order to achieve a cure or, failing that, optimum comfort and function. Some asymptomatic individuals are included.

- ① For the purpose of the *requirements* on medical exposure in the IAEA *safety standards*, the term ‘*patient*’ refers only to those individuals undergoing radiological procedures.

**occupational exposure.** *Exposure* of *workers* incurred in the course of their work.

**public exposure.** *Exposure* incurred by *members of the public* due to *sources* in *planned exposure situations*, *emergency exposure situations* and *existing exposure situations*, excluding any *occupational exposure* or *medical exposure*.

### exposure assessment

See *assessment* (1).

### exposure pathway

A route by which *radiation* or radionuclides can reach people and cause *exposure*.

- ① An *exposure pathway* may be very simple, e.g. the *external exposure pathway* from airborne radionuclides, or a more complex chain, e.g. the *internal exposure pathway* from drinking milk from cows that ate grass contaminated with deposited radionuclides.

### exposure situations

**acute exposure.** *Exposure* received within a short period of time.

- ① Normally used to refer to *exposure* of sufficiently short duration that the resulting *doses* can be treated as instantaneous (e.g. less than an hour).

**(emergency, existing, planned) exposure situation**

- ! The *exposure situation* is indicated by the circumstances of *exposure* of the individual(s) undergoing *exposure*; it cannot be used to characterize a jurisdiction or the geographical area, for example.

- ① These three broad *exposure situations* were used as the basis for organizing the *safety requirements* established in the Basic Safety Standards [1]. The characterizations in terms of ‘situations’ (which derive from Ref. [24]) are not clearly delineated or conceptually distinct.

#### ***emergency exposure situation***

- ① This situation of *exposure* arises as a result of an *accident*, a malicious act or other unexpected event that requires prompt action in order to avoid or to reduce adverse consequences.
- ① *Exposure* in an *emergency* can include both *occupational exposure* and *public exposure*, and can include unplanned *exposures* resulting directly in the *emergency exposure situation* and planned *exposures* to persons undertaking actions to mitigate the consequences of the *emergency*.
- ① *Exposure* in an *emergency* can be reduced only by *protective actions* and *other emergency response actions*.

#### ***existing exposure situation***

- ① This situation of *exposure* already exists when a decision on the need for *control* needs to be taken.
- ① *Existing exposure situations* include exposure to *natural background radiation* that is amenable to control; exposure due to residual *radioactive material* that derives from past *practices* that were never subject to *regulatory control*; and exposure due to residual *radioactive material* deriving from a *nuclear or radiological emergency* after an *emergency* has been declared to be ended.

#### ***planned exposure situation***

- ① This situation of *exposure* arises from the planned operation of a *source* or from a planned activity that results in an *exposure* due to a *source*.
- ① Since provision for *protection and safety* can be made before embarking on the activity concerned, associated *exposures* and their probabilities of occurrence can be restricted from the outset.
- ① The primary means of controlling *exposure* in *planned exposure situations* is by good design of *installations*, equipment and operating procedures. In *planned exposure situations*, a certain level of exposure is expected to occur.

***potential exposure.*** *Exposure*, prospectively considered, that is not expected to be incurred with certainty but that may potentially result from an *anticipated operational occurrence* or *accident* at a *source* or owing to an *event* or sequence of *events* of a probabilistic nature, including equipment *failures* and operating errors.

! *Potential exposure* is not an *exposure* and is not a *type of exposure*.

- ① *Potential exposure* includes prospectively considered (i.e. hypothetical or postulated) *exposures* due to a *source* in an *event* or sequence of *events* of a probabilistic nature, including *exposures* resulting from an *accident*, equipment *failures*, operating errors, natural events or phenomena (such as hurricanes, earthquakes and floods) and inadvertent *human intrusion* (such as a *human*

## E

*intrusion* into a near surface *disposal facility* after *institutional control* is removed).

- ① In the case of a geological *disposal facility*, assessment of the long term action of processes and events that are uncertain leads to projections of long term *potential exposure*.

***transboundary exposure.*** *Exposure of members of the public in one State due to radioactive material released via accidents, discharges or waste disposal in another State.*

### **extended planning distance (EPD)**

See *emergency planning distance*.

### **external event**

*Events unconnected with the operation of a facility or the conduct of an activity that could have an effect on the safety of the facility or activity.*

- ① Typical examples of external events for *nuclear facilities* include earthquakes, tornadoes, tsunamis and aircraft crashes.
- ① In the case of *safety assessment* for long term *safety* in *waste management*, a relevant *external event* is one that could have an effect on the functioning of *multiple barriers*.

### **external exposure**

See *exposure* (1).

### **external zone**

The area immediately surrounding a proposed *site area* in which population distribution and density, and land and water uses, are considered with respect to their effects on the possible implementation of *emergency response actions*.

- ① Used in the context of *siting of facilities*.
- ① This is the area that would be the *emergency planning zones* if the *facility* were in place.

## F

**facilities and activities<sup>7</sup>**

A general term encompassing *nuclear facilities*, uses of all *sources* of *ionizing radiation*, all *radioactive waste management activities*, *transport* of *radioactive material* and other *practices* or situations in which people may be subject to *exposure* to *radiation* from naturally occurring or artificial *sources*.

**facilities.** These include: *nuclear facilities*; *irradiation installations*; some mining and raw material processing *facilities* such as uranium mines; *radioactive waste management facilities*; and any other places where *radioactive material* is produced, processed, used, handled, stored or disposed of — or where *radiation generators* are installed — on such a scale that consideration of *protection and safety* is required.

**activities.** These include: the production, use, import and export of *radiation sources* for industrial, research and medical purposes; the *transport* of *radioactive material*; the *decommissioning* of *facilities*; *radioactive waste management activities* such as the *discharge* of effluents; and some aspects of the *remediation* of sites affected by residues from past *activities*.

- ① The intention is to include any human activity that introduces additional *sources* of *radiation* or additional *exposure pathways*, or that modifies the network of *exposure pathways* from existing *sources*, so as to increase the *exposure* or the likelihood of *exposure* of people or the number of people exposed.
- ① The term *facilities and activities* provides an alternative to the terminology of *sources* and *practices* (or *intervention*) to refer to general categories of situations.
- ① For example, a *practice* may involve many different *facilities and/or activities*, whereas the general definition (1) of *source* is too broad in some cases: a *facility or activity* might constitute a *source*, or might involve the use of many *sources*, depending upon the interpretation used.
- ① The term *facilities and activities* is very general, and includes those for which little or no *regulatory control* may be necessary or achievable: the more specific terms ***authorized facility*** and ***authorized activity*** should be used to distinguish those *facilities and activities* for which any form of *authorization* has been given.
- ① In the Fundamental Safety Principles (IAEA Safety Fundamentals), the term ‘*facilities and activities* — existing and new — utilized for peaceful purposes’ is abbreviated for convenience to *facilities and activities* as a general term encompassing any human activity that may cause people to be exposed to *radiation risks* arising from naturally occurring or artificial *sources* (see Ref. [15], para. 1.9).
- ① *Facilities and activities* are listed as follows in Refs [9, 10]:

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<sup>7</sup> A small number of ‘catch-all’ terms — namely: *facilities and activities*; *protection and safety*; *radiation risks*; and *structures, systems and components* — are defined in the Safety Glossary. These terms may be used in exactly the form listed to describe a whole group of things without cumbersome repetition, or slight variations of the terms may be used to refer to particular subgroups. Although the definitions include an indication of the meanings of the separate elements of the terms, these are not intended to be applied rigidly: if precise reference is needed to particular items covered by the catch-all term, more precise terms should be used.



‘*Facilities*’ includes:

- (a) *Nuclear power plants*;
- (b) Other reactors (such as *research reactors* and *critical assemblies*);
- (c) Enrichment *facilities* and *nuclear fuel* fabrication facilities;
- (d) Conversion facilities used to generate uranium hexafluoride (UF<sub>6</sub>);
- (e) *Storage* facilities and *reprocessing* plants for irradiated fuel;
- (f) *Facilities* for *radioactive waste management* where *radioactive waste* is treated, conditioned, stored or disposed of;
- (g) Any other places where *radioactive materials* are produced, processed, used, handled or stored;
- (h) *Irradiation installations* for medical, industrial, research and other purposes, and any places where *radiation generators* are installed;
- (i) *Facilities* where the mining and processing of *radioactive ores* (such as ores of uranium and thorium) are carried out.

‘*Activities*’ includes:

- (a) The production, use, import and export of *radiation sources* for medical, industrial, research and other purposes;
- (b) The *transport* of *radioactive material*;
- (c) The *decommissioning* and *dismantling* of *facilities* and the *closure* of *repositories* for *radioactive waste*;
- (d) The close-out of *facilities* where the mining and processing of *radioactive ores* was carried out;
- (e) *Activities* for *radioactive waste management* such as the *discharge* of effluents;
- (f) The *remediation* of sites affected by residues from past activities.

### **facility**

See *facilities and activities*.

### **facility emergency**

See *emergency class*.

### **failure (technical)**

Loss of the ability of a *structure, system or component* to function within *acceptance criteria*.

- ! Note that the *structure, system or component* is considered to fail when it becomes incapable of functioning, whether or not this is needed at that time.
- ! A *failure* in, for example, a backup *system* may not be manifest until the *system* is called upon to function, either during testing or on *failure* of the *system* it is backing up.
- ① A *failure* may be the result of e.g. a hardware fault, a software fault, a system fault, an operator error or a maintenance error.

**common cause failure.** *Failures* of two or more *structures, systems and components* due to a single specific *event* or cause.

- ① For example, the single specific *event* or cause of *failures* (which may be *failures* of different types) could be a *design* deficiency, a manufacturing deficiency, *operation* and *maintenance* errors, a natural phenomenon, a human induced *event*,



saturation of signals, or an unintended cascading effect from any other *operation* or *failure* within the plant or from a change in ambient conditions.

- ① Common causes may be internal or external to a system.

**common mode failure.** Failures of two or more *structures, systems and components* in the same manner or mode due to a single specific *event* or cause.

- ① *Common mode failure* is a type of *common cause failure* in which the *structures, systems and components* fail in the same way (although they may not be in close proximity).

### failure mode

The manner or state in which a *structure, system or component* fails.

### far field

The *geosphere* outside a *disposal facility*, comprising the surrounding geological strata, at a distance from the *disposal facility* such that, for modelling purposes, the *disposal facility* may be considered a single entity and the effects of individual *waste packages* are not distinguished.

- ① For practical purposes, this is often interpreted simply as the *geosphere* beyond the *near field*.

### fault, geological

A planar or gently curved fracture surface or zone of the Earth across which there has been relative displacement.

**capable fault.** A *geological fault* that has a significant potential for displacement at or near the ground surface.

- ① A *geological fault* is to be considered a *capable fault* if, on the basis of geological, geophysical, geodetic or seismological data (including paleoseismological and geomorphological data), one or more of the following conditions applies:
  - (a) The *geological fault* shows evidence of past movement or movements (significant deformations and/or dislocations) of a recurring nature within such a period that it is reasonable to infer that further movements at or near the surface could occur.
  - (b) A structural relationship with a known *capable fault* has been demonstrated such that movement of the one may cause movement of the other at or near the surface.
  - (c) The maximum potential earthquake associated with a seismogenic structure is sufficiently large and at such a depth that it is reasonable to infer that, in the geodynamic setting of the site, movement at or near the surface could occur. [27]
- ① In highly active areas, where both earthquake data and geological data consistently reveal short earthquake recurrence intervals, periods of the order of tens of thousands of years may be appropriate for the assessment of *capable faults*. In less active areas, it is likely that much longer periods may be required.

## F

### fault tree analysis

See *analysis*.

### feed

Any single material or multiple materials, whether processed, semi-processed or raw, that is or are intended to be fed directly to *food* producing animals.

### first responders

The first members of an *emergency service* to respond at the scene of an *emergency*.

### fissile material

1. Material containing any *fissile nuclides*.

***fissile nuclide***. Nuclides, in particular uranium-233, uranium-235, plutonium-239 and plutonium-241, that are able to support a self-sustaining nuclear chain reaction with neutrons of all energies, but predominantly with slow neutrons.

2. Uranium-233, uranium-235, plutonium-239 and plutonium-241.

Excluded from this definition are the following:

- (a) *Natural uranium* or *depleted uranium* that is unirradiated;
- (b) *Natural uranium* or *depleted uranium* that has been irradiated in thermal reactors only;
- (c) Material with *fissile nuclides* less than a total of 0.25 g;
- (d) Any combination of (a), (b) and (c).
- (e) These exclusions are valid only if there is no other material with *fissile nuclides* in the *package* or in the *consignment* if shipped unpackaged. (From Ref. [2].)

- ① This definition is specific to the Transport Regulations [2]. As with *radioactive material*, this is not a scientific definition, but one designed to serve a specific regulatory purpose.

See *fissionable material*.

### fission fragment

A nucleus resulting from nuclear fission carrying kinetic energy from that fission.

- ① Used only in contexts where the particles themselves have kinetic energy and thus could represent a *hazard*, irrespective of whether the particles are *radioactive*.
- ① Otherwise, the more usual term *fission product* is used.

### fission product

A radionuclide produced by nuclear fission.

- ① Used in contexts where the *radiation* emitted by the radionuclide is the potential *hazard*.

### fissionable material

Material containing any *fissionable nuclides*.

***fissionable nuclide***. Nuclides such as uranium-238 that are capable of supporting a self-sustaining nuclear chain reaction, including a self-sustaining nuclear chain reaction with fast neutrons,.

See  *fissile material*.

### fixed contamination

See *contamination* (2).

### fluence

- ① A measure of the strength of a *radiation* field. Commonly used without qualification to mean *particle fluence*.

***energy fluence,  $\Psi$*** . A measure of the flow of radiant energy through a given surface area in a *radiation* field, defined as:

$$\Psi = \frac{dR}{da}$$

where  $dR$  is the *radiation* energy incident on a cross-sectional area  $da$ .

- ① The *energy fluence rate*

$$\frac{d\Psi}{dt}$$

is denoted by a lower case  $\psi$ .

See Ref. [28].

***particle fluence,  $\Phi$*** . A measure of the flow of ionizing particles through a given surface area in a *radiation* field, defined as:

$$\Phi = \frac{dN}{da}$$

where  $dN$  is the number of particles incident on a cross-sectional area  $da$ .

- ① The *particle fluence rate*

$$\frac{d\Phi}{dt}$$

is denoted by a lower case  $\phi$ .

See Ref. [28].

### food

Any substance, whether processed, semi-processed or raw, that is intended for human consumption.

- ① This includes foodstuffs and drink (other than fresh water), chewing gum and substances used in the preparation or processing of *food*; it does not include cosmetics, tobacco or drugs. Consumption in this context refers to ingestion.

## F

### **fractional absorption in the gastrointestinal tract, $f_1$ , or in the alimentary tract, $f_A$**

The fraction of an ingested element that is directly absorbed to body fluids. (From Refs [18–20, 29].)

- ① Often referred to colloquially as *gut transfer factor* or ' $f_1$  value'.

See also *lung absorption type*, a similar concept for *activity* in the respiratory tract.

### **free field ground motion**

Motion that would occur at a given point on the ground owing to an earthquake if vibratory characteristics were not affected by structures and *facilities*.

### **freight container**

An article of *transport* equipment that is of a permanent character and accordingly strong enough to be suitable for repeated use; specially designed to facilitate the *transport* of goods, by one or other modes of *transport* without intermediate reloading, designed to be secured and/or readily handled, having fittings for these purposes.

- ① The *freight container* does not include the *vehicle*.

***small freight container.*** A *freight container* that has an internal volume of not more than 3 m<sup>3</sup>. (From Ref. [2].)

***large freight container.*** A *freight container* that has an internal volume of more than 3 m<sup>3</sup>. (From Ref. [2].)

### **frequency of exceedance**

The frequency at which a specified level of seismic *hazard* will be exceeded at a site or in a region within a specified time interval.

- ① In probabilistic seismic *hazard* analysis, generally a one year time interval (i.e. annual frequency) is assumed.
- ① When the frequency is very small and it cannot exceed unity (in the prescribed interval), this number approaches the probability of the same event if the random process is assumed to be Poissonian.

### **fresh fuel**

See *nuclear fuel*.

### **fuel**

See *nuclear fuel*.

### **fuel assembly**

A set of *fuel elements* and associated *components* which are loaded into and subsequently removed from a reactor core as a single unit.

### **fuel cycle**

See *nuclear fuel cycle*.

**fuel element**

A rod of *nuclear fuel*, its *cladding* and any associated *components* necessary to form a structural entity.

① Commonly referred to as ***fuel rod*** in light water reactors.

**fuel rod**

See *fuel element*.

**functional diversity**

See *diversity*.

**functional indicator**

See *indicator*.

**functional isolation**

Prevention of adverse consequences from the mode of *operation* or *failure* of one circuit or *system* on another.

**gap release**

*Release*, especially in a reactor core, of *fission products* from the *fuel* pin gap, which occurs immediately after *failure* of the *fuel* cladding and is the first radiological indication of *fuel* damage or *fuel failure*.

**general emergency**

See *emergency class*.

**generic criteria (in *emergency preparedness and response*)**

- ① In the context of *emergency preparedness and response*, **generic criteria** are levels for the *projected dose* or the *dose* that has been received at which *protective actions* and other *emergency response actions* are to be implemented.

**geological disposal**

See *disposal* (1).

**geological disposal facility**

See *disposal facility*.

**geological fault**

See *fault, geological*.

**geological record**

The sequence of rock layers in a vertical section of the Earth.

- ① Also termed the stratigraphic record. The oldest layers occur at the base of the section, with successively younger layers occurring higher in the sequence.
- ① Geologists use the stratigraphic record to assign relative ages to deposits.
- ① Volcanic stratigraphy is often complex, with deposits characterized by having relatively limited lateral extent, exhibiting rapid facies changes and having undergone multiple episodes of erosion and refilling of valleys.

**geosphere**

Those parts of the lithosphere not considered to be part of the *biosphere*.

- ① In *safety assessment*, usually used to distinguish the subsoil and rock (below the depth affected by usual human *activities*, in particular agriculture) from the soil that is part of the *biosphere*.

**‘grace period’**

The period of time during which a *safety function* is ensured in an *event* with no necessity for action by personnel.

- ① Typical '*grace periods*' range from 20 min to 12 h. The '*grace period*' may be achieved by means of the automation of actuations, the adoption of passive *systems* or the inherent characteristics of a material (such as the heat capacity of the *containment structure*), or by any combination of these.

### graded approach

1. For a system of *control*, such as a regulatory system or a *safety system*, a *process* or method in which the stringency of the *control* measures and conditions to be applied is commensurate, to the extent practicable, with the likelihood and possible consequences of, and the level of *risk* associated with, a loss of *control*.
  - ① An example of a *graded approach* in general would be a structured method by means of which the stringency of application of *requirements* is varied in accordance with the circumstances, the regulatory systems used, the *management systems* used, etc.
  - ① For example, a method in which:
    - (1) The significance and complexity of a product or service are determined;
    - (2) The potential impacts of the product or service on health, *safety*, *security*, the *environment*, and the achieving of quality and the organization's objectives are determined;
    - (3) The consequences if a product fails or if a service is carried out incorrectly are taken into account.
  - ① The use of a *graded approach* is intended to ensure that the necessary levels of analysis, documentation and actions are commensurate with, for example, the magnitudes of any radiological hazards and non-radiological hazards, the nature and the particular characteristics of a *facility*, and the stage in the *lifetime* of a *facility*.
2. An application of *safety requirements* that is commensurate with the characteristics of the *facilities and activities* or the *source* and with the magnitude and likelihood of the *exposures*.

See also *exclusion*, *exemption* and *clearance* and *optimization*.

### gray (Gy)

The SI unit of *kerma* and *absorbed dose*, equal to 1 J/kg.

### ground shine

Gamma *radiation* from radionuclides deposited on the ground.

- ① *Ground shine* is of concern as an *exposure pathway* for *external exposure* principally — but not exclusively — to gamma *radiation*.
- ① *Ground shine* may also be used to mean *radiation* that is incident on, and reflected back from, the ground.

See *cloud shine*.

### guidance level

See *level*.



## G

### **guidance level for medical exposure**

See *level*.

### **gut transfer factor**

See *fractional absorption in the gastrointestinal tract,  $f_1$ , or in the alimentary tract,  $f_A$* .

## H

**habit survey**

See *survey*.

**half-life,  $T_{1/2}$** 

**1.** For a radionuclide, the time required for the *activity* to decrease, by a *radioactive* decay process, by half.

- ① Where it is necessary to distinguish this from other *half-lives* (see (2)), the term *radioactive half-life* should be used.
- ① The *half-life* is related to the *decay constant*,  $\lambda$ , by the expression:

$$T_{1/2} = \frac{\ln 2}{\lambda}$$

**2.** The time taken for the quantity of a specified material (e.g. a radionuclide) in a specified place to decrease by half as a result of any specified *process* or *processes* that follow similar exponential patterns to *radioactive* decay.

**biological half-life.** The time taken for the quantity of a material in a specified tissue, organ or region of the body (or any other specified biota) to halve as a result of biological *processes*.

**effective half-life,  $T_{\text{eff}}$ .** The time taken for the *activity* of a radionuclide in a specified place to halve as a result of all relevant *processes*.

$$\frac{1}{T_{\text{eff}}} = \sum_i \frac{1}{T_i}$$

where  $T_i$  is the *half-life* for *process* i.

**radioactive half-life.** For a radionuclide, the time required for the *activity* to decrease, by a *radioactive* decay process, by half.

- ① The term **physical half-life** is also used for this concept.

**hazard**

The potential for harm or other detriment, especially for *radiation risks*; a factor or condition that might operate against *safety*.

**hazard assessment**

See *assessment* (1).

**health authority**

A governmental authority (at the national, regional or local level) that is responsible for policies and interventions, including the development of standards and the provision of guidance, for maintaining or improving human health, and that has the legal power of enforcing such policies and interventions.

## health effects (of radiation)

**deterministic effect.** A radiation induced health effect for which generally a threshold level of dose exists above which the severity of the effect is greater for a higher dose.

**severe deterministic effect.** A deterministic effect that is fatal or life threatening or results in a permanent injury that reduces quality of life.

- ① The level of the threshold dose is characteristic of the particular health effect but may also depend, to a limited extent, on the exposed individual.
- ① Examples of deterministic effects include erythema, damage to the haemopoietic system and acute radiation syndrome (radiation sickness).
- ① Deterministic effects are also referred to as (*harmful*) tissue reactions.
- ① The term **non-stochastic effect** is used in some older publications, but is now superseded.
- ① Contrasting term: *stochastic effect*.

**early effect.** A radiation induced health effect that occurs within months of the exposure that caused it.

- ① All early effects are deterministic effects; most, but not all, deterministic effects are early effects.

**hereditary effect.** A radiation induced health effect that occurs in a descendant of the exposed person.

- ① The less precise term **genetic effect** is also used, but hereditary effect is preferred.
- ① Hereditary effects are usually stochastic effects.
- ① Contrasting term: *somatic effect*.

**late effect.** A radiation induced health effect that occurs years after the exposure that caused it.

- ① The most common late effects are stochastic effects, such as leukaemia and solid cancers, but some deterministic effects can also be late effects.

[**non-stochastic effect**]. See health effects (of radiation): deterministic effect.

**severe deterministic effect.** See health effects (of radiation): deterministic effect.

**somatic effect.** A radiation induced health effect that occurs in the exposed person.

- ① This includes effects occurring after birth that are attributable to exposure in utero.
- ① Deterministic effects are normally also somatic effects; stochastic effects may be somatic effects or hereditary effects.
- ① Contrasting term: *hereditary effect*.

**stochastic effect.** A radiation induced health effect, the probability of occurrence of which is greater for a higher radiation dose and the severity of which (if it occurs) is independent of dose.

- ① Stochastic effects may be somatic effects or hereditary effects, and generally occur without a threshold level of dose. Examples include solid cancers and leukaemia.
- ① Contrasting term: *deterministic effect*.

### **health professional**

An individual who has been formally recognized through appropriate national *procedures* to practise a profession related to health (e.g. medicine, dentistry, chiropractic, podiatry, nursing, medical physics, medical radiation technology, radiopharmacy, occupational health).

- ① Used to distinguish from a *referring medical practitioner* or a *radiological medical practitioner*, who satisfies additional criteria.

### **health screening programme**

A programme in which health tests or medical examinations are performed for the purpose of early detection of disease.

### **health surveillance**

See *workers' health surveillance*.

### **[heat generating waste (HGW)]**

See *waste classes*.

### **helper (in an emergency)**

*Member of the public* who willingly and voluntarily helps in the response to a *nuclear or radiological emergency*.

- ① *Helpers in an emergency* are to be made aware that they could be exposed to *radiation* while helping in response to a *nuclear or radiological emergency*.

### **hereditary effect**

See *health effects (of radiation)*.

### **high energy radiation therapy equipment**

X ray equipment and other types of *radiation generators* capable of operating at generating potentials above 300 kV, and radionuclide teletherapy equipment.

### **high enriched uranium (HEU)**

See *uranium*.

### **high level waste (HLW)**

See *waste classes*.

### **high linear energy transfer (LET) radiation**

See *radiation*.

### **Holocene**

The most recent epoch of the geological Quaternary period, defined as the interval from 10,000 years before the present to the present.

## H

***Holocene volcano.*** See *volcano*.

### **human factors engineering**

Engineering in which factors that could influence human performance and that could affect *safety* are understood and are taken into account, especially in the *design* and *operation* of *facilities*.

### **human intrusion**

See *intrusion (human)*.

### **hydrodynamic dispersion**

See *dispersion*.

### **hypocentre**

The point (focus) within the Earth at which an earthquake is initiated.

### **hypothetical critical group**

See *critical group*.

## I

**IAEA publication**

An IAEA copyrighted hard copy or electronic product issued with unlimited distribution and bearing the IAEA emblem (logo) on the front and officially approved by the Publications Committee on behalf of the Director General.

- ① An IAEA document is an official non-copyrighted hard copy or electronic product issued with limited distribution and bearing the IAEA emblem (logo) on the front.
- ① A manuscript is an unissued copy of a draft publication or a draft document.
- ① A TECDOC is a publication, not a document.

**ICRU sphere**

A sphere of 30 cm diameter made of *tissue equivalent material* with a density of 1 g/cm<sup>3</sup> and a mass composition of 76.2% oxygen, 11.1% carbon, 10.1% hydrogen and 2.6% nitrogen.

- ① The ICRU (International Commission on Radiation Units and Measurements) sphere is used as a reference phantom in defining *dose equivalent quantities*.  
See Ref. [30].

**igneous rock**

See *rock, igneous*.

**immobilization**

See *waste management, radioactive* (1).

**in-service inspection**

See *inspection*.

**in situ leaching**

See [*mining and milling*].

**incident**

Any unintended *event*, including operating errors, equipment *failures*, *initiating events*, *accident precursors*, *near misses* or other mishaps, or unauthorized act, *malicious* or non-malicious, the consequences or potential consequences of which are not negligible from the point of view of *protection and safety*.

See *event* and *INES*.

- ! The word *incident* is used, in *INES* and elsewhere, to describe *events* that are, in effect, minor *accidents*, i.e. that are distinguished from *accidents* only in terms of being less severe.
- ! This is a distinction with little basis in general usage, in which an *incident* can be minor or major, just as an *accident* can; however, unlike an *accident*, an *incident* can be caused intentionally.

- ① The definition of *incident* given was derived on the basis of the entries for *accident* and *event* and the explanation of the term *incident* given in Ref. [15].

**[nuclear incident].** [Any occurrence or series of occurrences having the same origin which causes nuclear damage or, but only with respect to preventive measures, creates a grave and imminent threat of causing such damage.] (From Ref. [31].)

- ! This usage is specific to the Convention on Supplementary Compensation for Nuclear Damage [31], for the purposes of the Convention, and should otherwise be avoided.

See *nuclear damage*.

### **independent assessment**

See *assessment* (2).

### **independent equipment**

Equipment that possesses both of the following characteristics:

- (a) The ability to perform its required function is unaffected by the *operation* or *failure* of other equipment;
- (b) The ability to perform its required function is unaffected by the occurrence of the effects resulting from the *initiating event* for which it is required to function.

### **indicator**

**condition indicator.** Characteristic of a *structure, system or component* that can be observed, measured or trended to infer or directly indicate the current and future ability of the *structure, system or component* to function within *acceptance criteria*.

**functional indicator.** *Condition indicator* that is a direct indication of the current ability of a *structure, system or component* to function within *acceptance criteria*.

**performance indicator.** Characteristic of a *process* that can be observed, measured or trended to infer or directly indicate the current and future performance of the *process*, with particular emphasis on satisfactory performance for *safety*.

### **individual dose**

See *dose concepts*.

### **individual dose equivalent, penetrating**

See *dose equivalent quantities: personal dose equivalent*.

### **individual dose equivalent, superficial**

See *dose equivalent quantities: personal dose equivalent*.

### **individual monitoring**

See *monitoring* (1).



## industrial package

See *package*.

## INES

See *International Nuclear and Radiological Event Scale (INES)*.

## infant

- ① In dosimetry, unless otherwise stated, an *infant* is assumed to be three months old, and annual quantities (e.g. *annual dose*, *annual intake*) relating to an *infant* refer to the year starting at birth.
- ① The values for the three month old *infant* are intended to be valid for the first year of life.
- ① In common usage for internal dosimetry an *infant* is taken to be 100 days old.  
See also *child* and *reference individual*.

## ‘informed customer’ capability

- ① The capability of an organization to have a clear knowledge and understanding of the product being supplied or the service being provided.

## ingestion and commodities planning distance (ICPD)

See (*emergency*) *planning distance*.

## [inhalation class]

See *lung absorption type*.

## initiating event

An identified *event* that leads to *anticipated operational occurrences* or *accident conditions*.

- ① This term (often shortened to ***initiator*** is used in relation to *event* reporting and *analysis*, i.e. when such *events* have occurred.
- ① For the consideration of hypothetical *events* at the *design* stage, the term *postulated initiating event* is used.  
***postulated initiating event (PIE)***. A postulated *event* identified in *design* as capable of leading to *anticipated operational occurrences* or *accident conditions*.
  - ① The primary causes of *postulated initiating events* may be credible equipment *failures* and *operator* errors (both within and external to the *facility*), human induced *events* or natural *events*.

## initiator

See *initiating event*.

### inner cordoned off area (in an emergency)

- ① An area established by *first responders* in an *emergency* around a potential *radiation* hazard, within which *protective actions* and *other emergency response actions* are taken to protect *first responders* and the public from possible *exposure* and *contamination*.

### inspection

1. An examination, observation, *surveillance*, measurement or test undertaken to assess *structures, systems and components* and materials, as well as operational *activities*, technical *processes*, organizational *processes*, *procedures* and personnel competence.

***in-service inspection.*** *Inspection of structures, systems and components* undertaken over the *operating lifetime* by or on behalf of the *operating organization* for the purpose of identifying age related degradation or conditions that, if not addressed, might lead to the *failure of structures, systems or components*.

- ① *Inspection of operational activities, processes, etc., by or on behalf of the operating organization* would normally be described by terms such as *self-assessment* and *audit*.

***regulatory inspection.*** *Inspection* undertaken by or on behalf of the *regulatory body*.

2. An evaluation of the conformity to a *requirement*.

### inspection imaging device

An imaging device designed specifically for imaging persons or cargo *conveyances* for the purpose of detecting concealed objects on or within the human body or within cargo or a vehicle.

- ① In some types of *inspection imaging device* ionizing *radiation* is used to produce images by backscatter, transmission or both.
- ① Other types of *inspection imaging device* utilize imaging by means of electrical and magnetic fields, ultrasound and sonar waves, nuclear magnetic resonance, microwaves, terahertz rays, millimetre waves, infrared radiation or visible light.

### institutional control

See *control* (1).

### intake

1. The act or *process* of taking radionuclides into the body by inhalation or ingestion or through the skin.

- ① Other *exposure pathways* by *intake* are injection (e.g. in nuclear medicine) and *intake* via a wound, as distinguished from *intake* through (intact) skin.

2. The *activity* of a radionuclide taken into the body in a given time period or as a result of a given *event*.

***acute intake.*** An *intake* occurring within a time period short enough that it can be treated as instantaneous for the purposes of assessing the resulting *committed dose*.

- ! The *exposure* that results from an *acute intake* is not necessarily *acute exposure*. For a long lived radionuclide that is retained in the body, an *acute intake* will result in chronic (i.e. long term) *exposure*.

**chronic intake.** An *intake* over an extended period of time, such that it cannot be treated as a single instantaneous *intake* for the purposes of assessing the resulting *committed dose*.

- ① *Chronic intake* may, however, be treated as a series of *acute intakes*.

### interacting event

An *event* or a sequence of associated *events* that, interacting with a *facility*, affect *site personnel* or *items important to safety* in a manner that could adversely influence *safety*.

### interested party

A person, company, etc., with a concern or interest in the activities and performance of an organization, business, system, etc.

- ① The term *interested party* is used in a broad sense to mean a person or group having an interest in the performance of an organization.
- ① Those who can influence events may effectively become interested parties — whether their ‘interest’ is regarded as ‘genuine’ or not — in the sense that their views need to be considered.
- ① *Interested parties* would need to be specified as relevant.
- ① Interested parties have typically included the following: customers, owners, *operators*, employees, *suppliers*, partners, trade unions; the regulated industry or professionals; scientific bodies; governmental agencies or *regulatory bodies* (national, regional and local) whose responsibilities may cover nuclear energy; the media; the public (individuals, community groups and interest groups); and other States, especially neighbouring States that have entered into agreements providing for an exchange of information concerning possible transboundary impacts, or States involved in the export or import of certain technologies or materials. [32]
- ! The term *stakeholder* is used in the same broad sense as *interested party* and the same provisos are necessary.
- ! The term *stakeholder* has disputed usage, and it is misleading and too all-encompassing for clear use. In view of the potential for misunderstanding and misrepresentation, use of the term is discouraged in favour of *interested party*.
- ① The Handbook on Nuclear Law [32] states that: “Owing to the differing views on who has a genuine interest in a particular nuclear related activity, no authoritative definition of *stakeholder* has yet been offered, and no definition is likely to be accepted by all parties.”

### [interim storage]

See *storage*.

**intermediate bulk container (IBC)**

A portable *packaging* that:

- (a) Has a capacity of not more than 3 m<sup>3</sup>;
- (b) Is designed for mechanical handling;
- (c) Is resistant to the stresses produced in handling and *transport*, as determined by tests.

(From Ref. [2].)

**intermediate level waste (ILW)**

See *waste classes*.

**internal exposure**

See *exposure* (1).

**International Nuclear and Radiological Event Scale (INES)**

- ① The INES scale is intended for use by Member States in promptly informing the public about the relative severity of *events* at *nuclear facilities* and with other *sources of radiation*.
- ! The *INES* event scale should not be confused with *emergency classification* systems, and should not be used as a basis for *emergency response actions*.
- ! There is a fundamental mismatch between the terminology and usage in *safety standards* and the designations used in *INES*.
- ! The *INES* terminology — in particular the use of the terms *incident* and *accident* — is different from that in *safety standards* and from the usual English meanings of the words, and great care should be taken to avoid confusion between the two areas.
- ! In short, *events* that would be considered *accidents* according to the *safety standards* definition may be *accidents* or *incidents* (i.e. not *accidents*) in *INES* terminology (see *incident* and *accident* (1) and see under *event*).
- ① This is not a serious day to day problem because the two areas are quite separate and have quite different purposes. However, it is a potential cause of confusion in communication with the news media and the public.

**international nuclear transport**

See *transport* (1).

**interplate tectonic processes**

Tectonic processes occurring at the interfaces between the Earth's tectonic plates.

**intervention**

Any action intended to reduce or avert *exposure* or the likelihood of *exposure* due to *sources* that are not part of a controlled *practice* or that are out of *control* as a consequence of an *accident*.

- ① This definition is somewhat more explicit than (though not necessarily inconsistent with) that of Ref. [33].

### **intraplate**

Of tectonic processes, within the Earth's tectonic plates.

### **intrusion (human)**

- ① The term *human intrusion* is used for human activities that could affect the integrity of a *disposal facility* and which could potentially give rise to radiological consequences.
- ① Only those human activities (such as construction work, mining or drilling) that could result in direct disturbance of the *disposal facility* (i.e. disturbance of the *waste* itself, of the contaminated near field or of materials of the engineered *barrier*) are included.

### **intrusion barrier**

See *barrier*.

### **investigation level**

See *level*.

### **iodine thyroid blocking**

The administration of a compound of stable iodine (usually potassium iodide) to prevent or reduce the *uptake* of *radioactive* isotopes of iodine by the thyroid in the event of an *accident* involving *radioactive* iodine.

- ① An *urgent protective action*.
- ① The term 'thyroid blocking' is sometimes used.

### **ionizing radiation**

See *radiation*.

### **irradiation installation**

A structure or an installation that houses a particle accelerator, X ray apparatus or large *radioactive source* and that can produce high *radiation* fields.

- ① *Irradiation installations* include installations for external beam *radiation* therapy, installations for sterilization or preservation of commercial products and some installations for industrial radiography.

### **isolation (of radioactive waste in a disposal facility)**

The physical separation and retention of *radioactive waste* away from people and from the *environment*.

- ① *Isolation* of radioactive waste with its associated hazards in a disposal facility involves the minimization of the influence of factors that could reduce the integrity of the *disposal facility*; provision for a very low mobility of most long lived radionuclides to

impede their migration from the *disposal facility*; and making access to the waste by people difficult without special technical capabilities.

- ① Design features are intended to provide *isolation* (a *confinement* function) for several hundreds of years for *short lived waste* and for at least several thousand years for *intermediate level waste* and *high level waste*. *Isolation* is an inherent feature of geological disposal.

**item important to safety**

See *plant equipment*.

## J

**justification**

1. The *process* of determining for a *planned exposure situation* whether a *practice* is, overall, beneficial; i.e., whether the expected benefits to individuals and to society from introducing or continuing the *practice* outweigh the harm (including *radiation detriment*) resulting from the *practice*. [1]
2. The *process* of determining for an *emergency exposure situation* or an *existing exposure situation* whether a proposed *protective action* or *remedial action* is likely, overall, to be beneficial; i.e., whether the expected benefits to individuals and to society (including the reduction in *radiation detriment*) from introducing or continuing the *protective action* or *remedial action* outweigh the cost of such action and any harm or damage caused by the action. [1]



**kerma,  $K$** 

The quantity  $K$ , defined as:

$$K = \frac{dE_{tr}}{dm}$$

where  $dE_{tr}$  is the sum of the initial kinetic energies of all charged ionizing particles liberated by uncharged ionizing particles in a material of mass  $dm$ .

- ① The SI unit for *kerma* is joules per kilogram (J/kg), termed the *gray* (Gy).
- ① *Kerma* was originally an acronym for kinetic energy released in matter but is now accepted as a word.

**air *kerma*.** The *kerma* value for air.

- ① Under charged particle equilibrium conditions, the *air kerma* (in *gray*) is numerically approximately equal to the *absorbed dose* in air (in *gray*).

**reference air *kerma rate*.** The *kerma* rate to air, in air, at a reference distance of 1 m, corrected for air *attenuation* and scattering.

- ① This quantity is expressed in  $\mu\text{Gy/h}$  at 1 m.

**kerma factor**

The *kerma* per unit *particle fluence*.

**knowledge management**

An integrated, systematic approach to identifying, managing and sharing an organization's knowledge and enabling groups of people to create new knowledge collectively to help in achieving the organization's objectives.

- ① In the context of *management systems*, *knowledge management* helps an organization to gain insight and understanding from its own experience.
- ① Specific *activities* in *knowledge management* help the organization to better acquire, record, store and utilize knowledge.
- ① The term 'knowledge' is often used to refer to bodies of facts and principles accumulated by humankind over the course of time.
- ① Explicit knowledge is knowledge that is contained in, for example, documents, drawings, calculations, designs, databases, procedures and manuals.
- ① Tacit knowledge is knowledge that is held in a person's mind and has typically not been captured or transferred in any form (if it were, it would become explicit knowledge).
- ① Knowledge is distinct from information: data yield information and knowledge is gained by acquiring, understanding and interpreting information.
- ① Knowledge and information each consist of true statements, but knowledge serves a purpose: knowledge confers a capacity for effective action.
- ① Knowledge for an organization is the acquiring, understanding and interpreting of information.

- ① Knowledge may be applied for such purposes as: problem solving and learning; forming judgements and opinions; decision making, forecasting and strategic planning; generating feasible options for action and taking actions to achieve desired results.
- ① Knowledge also protects intellectual assets from decay, augments intelligence and provides increased flexibility.

**large freight container**

See *freight container*.

**late effect**

See *health effects (of radiation)*.

**latent weakness**

See *cause*.

**lava**

Molten rock erupted at the Earth's surface by a *volcano* or by an eruptive fissure as an effusive dome or flow.

- ① When first emitted from a *volcanic vent*, *lava* is a liquid at very high temperature, typically 700–1200°C.
- ① *Lava* flows vary by many orders of magnitude in their viscosities and this strongly influences their flow properties.

**[legal person]**

Any organization, corporation, partnership, firm, association, trust, estate, public or private institution, group, political or administrative entity or other person designated in accordance with national legislation who or which has responsibility and authority for any action having implications for *protection and safety*.

- ① Contrasted in legal texts with 'natural person', meaning an individual.
- ① Superseded by the term *person or organization*, which should be used.

See also *applicant, licence and registration*.

**level**

***clearance level.*** A value, established by a *regulatory body* and expressed in terms of *activity concentration* and/or *total activity*, at or below which *regulatory control* may be removed from a *source of radiation*.

See also *clearance* (1).

***diagnostic reference level.*** A level used in *medical imaging* to indicate whether, in routine conditions, the *dose* to the *patient* or the amount of radiopharmaceuticals administered in a specified radiological procedure for medical imaging is unusually high or unusually low for that procedure [1].

- ① For the use of radiopharmaceuticals, the *diagnostic reference level* is a level of *activity* for typical examinations for groups of standardized patients or for a standard phantom and for broadly defined types of equipment.

- ① The *diagnostic reference levels* are indicative of good practice, when not exceeded, for standard procedures in which good practices and normal practices are applied with regard to diagnostic performance and technical performance.

**emergency action level (EAL).** A specific, predetermined, observable criterion used to detect, recognize and determine the *emergency class*.

- ① An *emergency action level* could represent an instrument reading, the status of a piece of equipment or any observable *event*, such as a fire.
- ① In this sense, it is not strictly an action level, but it has essentially the same function.

**exemption level.** A value, established by a *regulatory body* and expressed in terms of *activity concentration*, total activity, *dose rate* or *radiation energy*, at or below which a *source of radiation* may be granted *exemption* from being subject to some or all aspects of *regulatory control* without further consideration.

- ① A *regulatory body* may also grant *exemption* on a case by case basis, following *notification*.
- ① Although the term *exemption level* does not strictly apply in such a situation, a criterion for *exemption* may nevertheless be established by the *regulatory body*, expressed in similar terms or, alternatively, expressed in terms of *annual dose* on the basis of an appropriate *dose assessment*. (See Ref. [1] and para. 5.12 of Ref. [12].)
- ① Values of *exemption levels* are specified in Table I-1 and Table I-2 of Schedule I of Ref. [1].

**investigation level.** The value of a quantity such as *effective dose*, *intake* or *contamination* per unit area or volume at or above which an investigation would be conducted.

**level of defence in depth.** See *defence in depth*.

**operational intervention level (OIL).** A set *level* of a measurable quantity that corresponds to a generic criterion.

- ① *Operational intervention levels* are typically expressed in terms of *dose rates* or of *activity of radioactive material* released, time integrated air *activity concentrations*, ground or surface concentrations, or *activity concentrations* of radionuclides in environmental, *food* or water samples.
- ① *Operational intervention levels* are used immediately and directly (without further *assessment*) to determine the appropriate *protective actions* on the basis of an environmental measurement.

**recording level.** A level of *dose*, *exposure* or *intake* specified by the *regulatory body* at or above which values of *dose* to, *exposure* of or *intake* by *workers* are to be entered in their individual *exposure records*.

**reference level.** In an *emergency exposure situation* or an *existing exposure situation*, the level of *dose*, *risk* or *activity concentration* above which it is not appropriate to plan to allow *exposures* to occur and below which *optimization of protection and safety* would continue to be implemented.

- ① The chosen value for a *reference level* will depend upon the prevailing circumstances for the exposure under consideration.

## licence

1. A legal document issued by the *regulatory body* granting *authorization* to perform specified *activities* relating to a *facility or activity*.

**licensee.** The holder of a current *licence*.

- ① Other derivative terms should not be needed; a *licence* is a product of the *authorization process* (although the term **licensing process** is sometimes used), and a *practice* with a current *licence* is an authorized *practice*.
  - ① *Authorization* may take other forms, such as *registration* or *certification*.
  - ① The *licensee* is the *person or organization* having overall responsibility for a *facility or activity*.
2. [Any authorization granted by the regulatory body to the applicant to have the responsibility for the siting, design, construction, commissioning, operation or decommissioning of a nuclear installation.] (From Ref. [4].)
3. [Any authorization, permission or certification granted by a regulatory body to carry out any activity related to management of spent fuel or of radioactive waste.] (From Ref. [5].)
- ! The definitions (2) and (3) from the Conventions [4, 5] are somewhat more general in scope than the usual IAEA usage in definition (1).
  - ! In IAEA usage, a *licence* is a particular type of *authorization*, normally representing the primary *authorization* for the *operation* of a whole *facility or activity*.
  - ① The conditions attached to the *licence* may require that further, more specific, *authorization* or *approval* be obtained by the *licensee* before carrying out particular *activities*.

## licensee

See *licence* (1).

## licensing basis

A set of regulatory *requirements* applicable to a *nuclear installation*.

- ① The *licensing basis*, in addition to a set of regulatory *requirements*, may also include agreements and commitments made between the *regulatory body* and the *licensee* (e.g. in the form of letters exchanged or of statements made in technical meetings).

## licensing process

See *licence* (1).

## life, lifetime

**design life.** The period of time during which a *facility* or *component* is expected to perform according to the technical specifications to which it was produced.

**operating lifetime, operating life**

1. The period during which an authorized facility is used for its intended purpose, until decommissioning or *closure*.

① The synonyms *operating period* and *operational period* are also used.

**2.** [The period during which a spent fuel or a radioactive waste management facility is used for its intended purpose. In the case of a disposal facility, the period begins when spent fuel or radioactive waste is first emplaced in the facility and ends upon closure of the facility.] (From Ref. [5].)

**qualified life.** Period for which a *structure, system or component* has been demonstrated, through testing, *analysis* or experience, to be capable of functioning within *acceptance criteria* during specific *operating conditions* while retaining the ability to perform its *safety functions* in *accident conditions* for a *design basis accident* or a *design basis earthquake*.

**service life.** The period from initial *operation* to final withdrawal from service of a *structure, system or component*.

### life cycle management

*Life management* (or *lifetime management*) in which due recognition is given to the fact that at all stages in the *lifetime* there may be effects that need to be taken into consideration.

① An example is the approach to products, *processes* and services in which it is recognized that at all stages in the *lifetime* of a product (extraction and processing of raw materials, manufacturing, *transport* and distribution, use and *reuse*, and *recycling* and *waste management*) there are environmental impacts and economic consequences.

① The term ‘life cycle’ (as opposed to *lifetime*) implies that the life is genuinely cyclical (as in the case of *recycling* or *reprocessing*).

See ‘*cradle to grave*’ approach, *ageing management*.

### life management, lifetime management

See *ageing management*.

### lifetime

See *life, lifetime*.

### lifetime dose

See *dose concepts*.

### lifetime risk

See *risk* (3).

### limit

The value of a quantity used in certain specified *activities* or circumstances that must not be exceeded.

! The term *limit* should only be used for a criterion that must not be exceeded, e.g. where exceeding the *limit* would cause some form of legal sanction to be invoked.

- ! Criteria used for other purposes — e.g. to indicate a need for closer investigation or a review of *procedures*, or as a threshold for reporting to a *regulatory body* — should be described using other terms, such as *reference level*.

**acceptable limit.** A *limit* acceptable to the *regulatory body*.

- ① The term *acceptable limit* is usually used to refer to a *limit* on the predicted radiological consequences of an *accident* (or on *potential exposures* if they occur) that is acceptable to the relevant *regulatory body* when the probability of occurrence of the *accident* or *potential exposures* has been taken into account (i.e. on the basis that it is unlikely to occur).
- ① The term *authorized limit* should be used to refer to *limits* on *doses* or *risks*, or on *releases* of radionuclides, which are acceptable to the *regulatory body* on the assumption that they are likely to occur.

**annual limit on exposure (ALE).** The *potential alpha energy exposure* in a year that would result in inhalation of the *annual limit on intake (ALI)*.

- ① Used for *exposure* due to decay products of  $^{222}\text{Rn}$  or  $^{220}\text{Rn}$ .
- ① In units of  $\text{J}\cdot\text{h}/\text{m}^3$ .

**annual limit on intake (ALI).** The *intake* by inhalation or ingestion or through the skin of a given radionuclide in a year by the *reference individual* which would result in a *committed dose* equal to the relevant *dose limit*.

- ① The *annual limit on intake* is expressed in units of *activity*.

See Refs [19, 20].

**authorized limit.** A *limit* on a measurable quantity, established or formally accepted by a *regulatory body*.

- ! Wherever possible, *authorized limit* should be used in preference to *prescribed limit*.
- ① Equivalent in meaning to *prescribed limit*, *authorized limit* has been more commonly used in *radiation safety* and the *safety* of *radioactive waste management*, in particular in the context of *limits* on *discharges*.

**derived limit.** A *limit* on a measurable quantity set, on the basis of a *model*, such that compliance with the *derived limit* may be assumed to ensure compliance with a *primary limit*.

**dose limit.** The value of the *effective dose* or the *equivalent dose* to individuals in *planned exposure situations* that is not to be exceeded.

**operational limits and conditions.** A set of rules setting forth parameter *limits*, the functional capability and the performance levels of equipment and personnel approved by the *regulatory body* for safe *operation* of an *authorized facility*.

[**prescribed limit**]. A *limit* established or accepted by the *regulatory body*.

- ① The term *authorized limit* is preferred.

**primary limit.** A *limit* on the *dose* or *risk* to an individual.

**safety limits.** *Limits* on operational parameters within which an *authorized facility* has been shown to be safe.



- ① *Safety limits* are *operational limits and conditions* beyond those for *normal operation*.

[*secondary limit*]. A *limit* on a measurable quantity that corresponds to a *primary limit*.

- ! Such a *limit* meets the definition of *derived limit*, and *derived limit* should be used.

- ① For example, the *annual limit on intake*, a *derived limit*, corresponds to the *primary limit* on *annual effective dose* for a worker.

### linear energy transfer (LET), $L_{\Delta}$

Defined generally as:

$$L_{\Delta} = \left( \frac{dE}{d\ell} \right)_{\Delta}$$

where  $dE$  is the energy lost in traversing distance  $d\ell$  and  $\Delta$  is an upper bound on the energy transferred in any single collision.

- ① A measure of how, as a function of distance, energy is transferred from *radiation* to the exposed matter. A high value of *linear energy transfer* indicates that energy is deposited within a small distance.
- ①  $L_{\infty}$  (i.e. with  $\Delta = \infty$ ) is termed the *unrestricted linear energy transfer* in defining the *quality factor*.
- ①  $L_{\Delta}$  is also known as the *restricted linear collision stopping power*.

### linear–no threshold (LNT) hypothesis

The hypothesis that the *risk* of *stochastic effects* is directly proportional to the *dose* for all levels of *dose* and *dose rate* below those levels for which *deterministic effects* occur.

- ① I.e. that any non-zero *dose* implies a non-zero *risk* of *stochastic effects*.
- ① This is the working hypothesis on which the IAEA's *safety standards* (and the International Commission on Radiological Protection's recommendations) are based.
- ① The hypothesis is not proven — indeed it is probably not provable — for low *doses* and *dose rates*, but it is considered the most defensible assumption in radiobiological terms on which to base *safety standards*.
- ① Other hypotheses conjecture that the *risk* of *stochastic effects* at low *doses* and/or *dose rates* is:
  - (a) Greater than that implied by the *linear–no threshold hypothesis* (superlinear hypotheses);
  - (b) Less than that implied by the *linear–no threshold hypothesis* (sublinear hypotheses);
  - (c) Zero below some threshold value of *dose* or *dose rate* (threshold hypotheses); or
  - (d) Negative below some threshold value of *dose* or *dose rate*, i.e. that low *doses* and *dose rates* protect individuals against *stochastic effects* and/or other types of harm (hormesis hypotheses).

## L

### **‘living’ probabilistic safety assessment**

See *probabilistic safety assessment (PSA)*.

### **logic**

The generation of a required binary output signal from a number of binary input signals according to predetermined rules.

- ① The term is also applied to the types of equipment used for generating this signal (e.g. *logic gate, logic board*).

### **long lived waste**

See *waste classes*.

### **low dispersible radioactive material**

Either solid *radioactive material*, or solid *radioactive material* in a sealed capsule, that has limited dispersibility and is not in powder form. (From Ref. [2].)

- ! This usage is specific to the Transport Regulations, and should otherwise be avoided.

### **low enriched uranium (LEU)**

See *uranium*.

### **low level waste (LLW)**

See *waste classes*.

### **low linear energy transfer (LET) radiation**

See *radiation*.

### **low specific activity (LSA) material**

*Radioactive material* that by its nature has a limited *specific activity*, or *radioactive material* for which *limits* of estimated average *specific activity* apply. (From Ref. [2].)

- ! External shielding materials surrounding the *low specific activity material* is required not to be considered in determining the estimated average *specific activity*.
- ! This usage is specific to the Transport Regulations, and should otherwise be avoided.

### **low toxicity alpha emitters**

*Natural uranium; depleted uranium; natural thorium; uranium-235 or uranium-238; thorium-232; thorium-228 and thorium-230* when contained in ores or physical and chemical concentrates; or alpha emitters with a *half-life* of less than 10 days. (From Ref. [2].)

### **lower limit of detection**

See *minimum detectable activity (MDA)*.

### lung absorption type

A classification used to distinguish between the different rates at which inhaled radionuclides are transferred from the respiratory tract to the blood.

① Reference [34] classifies materials into four *lung absorption types*:

- (a) Type V (very fast) are materials that, for dosimetric purposes, are assumed to be instantaneously absorbed into the blood;
- (b) Type F (fast) are materials that are readily absorbed into the blood;
- (c) Type M (moderate) are materials that have intermediate rates of absorption into the blood;
- (d) Type S (slow) are materials that are relatively insoluble and are only slowly absorbed into the blood.

① The *lung absorption types* supersede the ***inhalation classes*** D (days), M (months) and Y (years) previously recommended in Refs [18–20] (often referred to informally as ‘lung classes’).

① There is an approximate correspondence between *lung absorption type* F and *inhalation class* D, between *lung absorption type* M and *inhalation class* M and between *lung absorption type* S and *inhalation class* Y.

See also *gut transfer factor*, a similar concept for ingested radionuclides in the gastrointestinal tract.

## magma

A mixture of molten rock (800–1200°C) which can also contain suspended crystals, dissolved gases and sometimes gas bubbles.

- ① *Magma* forms by the melting of existing rock in the *Earth's crust* or in the *Earth's mantle*.
- ① *Magma* composition and gas content generally control the type of *eruption* at a *volcano*.
- ① In general terms, hotter, less viscous *magma* (e.g. basalt) allows gas to separate more efficiently, limiting the explosivity of the *eruption*, while cooler, more viscous *magma* (e.g. andesite, dacite and rhyolite) is more likely to fragment violently during *eruption*.

***magma chamber.*** An underground reservoir that is filled with *magma* and tapped during a *volcanic eruption*.

- ① *Magma* in these reservoirs can partially crystallize or mix with new *magma*, which can change the *eruption* composition or *hazard* over time.

## main safety function

See *safety function*.

## maintenance

The organized activity, both administrative and technical, of keeping *structures, systems and components* in good operating condition, including both preventive and corrective (or *repair*) aspects.

***corrective maintenance.*** Actions that restore, by *repair*, overhaul or replacement, the capability of a failed *structure, system or component* to function within *acceptance criteria*.

- ① *Corrective maintenance* does not necessarily result in a significant extension of the expected useful *life* of a functional *structure, system or component*.
- ① Contrasted with *preventive maintenance*.

***periodic maintenance.*** Form of *preventive maintenance* consisting of servicing, parts replacement, *surveillance* or testing at predetermined intervals of calendar time, operating time or number of cycles.

- ① Also termed *time based maintenance*.

***planned maintenance.*** Form of *preventive maintenance* consisting of refurbishment or replacement that is scheduled and performed prior to unacceptable degradation of a *structure, system or component*.

***predictive maintenance.*** Form of *preventive maintenance* performed continuously or at intervals governed by observed condition to monitor, diagnose or trend a *structure, system or component's condition indicators*; results indicate present and future functional ability or the nature of and schedule for *planned maintenance*.

- ① Also termed *condition based maintenance*.

**preventive maintenance.** Actions that detect, preclude or mitigate degradation of a functional *structure, system or component* to sustain or extend its useful *life* by controlling degradation and *failures* to an acceptable level.

① *Preventive maintenance* may be *periodic maintenance, planned maintenance* or *predictive maintenance*.

① Contrasted with *corrective maintenance*.

**reliability centred maintenance (RCM).** A process for specifying applicable *preventive maintenance requirements* for *safety related systems* and equipment in order to prevent potential *failures* or to control the *failure modes* optimally.

① *RCM* utilizes a decision *logic tree* to identify the *maintenance requirements* according to the *safety* consequences and operational consequences of each *failure* and the degradation mechanism responsible for the *failures*.

### **magnitude (of an earthquake)**

Measure of the size of an earthquake relating to the energy released in the form of seismic waves.

① Seismic *magnitude* means the numerical value on a standardized scale such as, but not limited to, *moment magnitude, surface wave magnitude, body wave magnitude, local magnitude* or *duration magnitude*.

**maximum potential magnitude.** Reference value used in seismic *hazard* analysis characterizing the potential of a seismic source to generate earthquakes.

① The way in which the *maximum potential magnitude* is calculated depends on the type of seismic source considered and the approach to be used in the seismic *hazard* analysis.

### **maintenance bypass**

See *bypass* (1).

### **management (of sealed radioactive sources)**

[The administrative and operational activities that are involved in the manufacture, supply, receipt, possession, storage, use, transfer, import, export, transport, maintenance, recycling or disposal of radioactive sources.] (From Ref. [13].)

! This usage is specific to the Code of Conduct on the Safety and Security of Radioactive Sources [13].

### **management self-assessment**

See *assessment* (2).

### **management system**

A set of interrelated or interacting elements (a system) for establishing policies and objectives and enabling the objectives to be achieved in an efficient and effective manner.

① The component parts of the *management system* include the organizational structure, resources and organizational *processes*.

## M

- ❶ Management is defined (in ISO 9000) as coordinated *activities* to direct and *control* an organization.
- ❶ The *management system* integrates all elements of an organization into one coherent system to enable all of the organization's objectives to be achieved. These elements include the organizational structure, resources and *processes*.
- ❶ Personnel, equipment and organizational culture as well as the documented policies and *processes* form parts of the *management system*.
- ❶ The organization's *processes* have to address the totality of the *requirements* on the organization as established in, for example, IAEA *safety standards* and other international codes and standards.

***integrated management system.*** A single coherent *management system* for *facilities and activities* in which all the component parts of an organization are integrated to enable the organization's objectives to be achieved.

- ❶ These component parts of an organization that are integrated include the organizational structure, resources and organizational *processes*.

### **management system review**

A regular and systematic evaluation by *senior management* of an organization of the suitability, adequacy, effectiveness and efficiency of its *management system* in executing the policies and achieving the goals and objectives of the organization.

***senior management.*** The person or persons who direct, control and assess an organization at the highest level.

### **mantle, Earth's**

A solid layer of the Earth, approximately 2300 km thick, located between the *Earth's crust* and the Earth's core.

- ❶ Basaltic *magma* forms from the partial melting of *mantle* rocks.

### **material ageing**

See *ageing*.

### **mathematical model**

See *model*.

### **maximum normal operating pressure**

The maximum pressure above atmospheric pressure at mean sea level that would develop in the *containment system* in a period of one year under the conditions of temperature and solar *radiation* corresponding to environmental conditions in the absence of venting, external cooling by an ancillary *system* or operational *controls* during *transport*. (From Ref. [2].)

- ! This usage is specific to the Transport Regulations.



### medical exposure

See *exposure*, *categories of*.

### medical physicist

A *health professional* with specialist education and training in the concepts and techniques of applying physics in medicine and competent to practise independently in one or more of the subfields (specialties) of medical physics.

- ① Competence of persons is normally assessed by the State by having a formal mechanism for registration, accreditation or *certification* of medical physicists in the various specialties (e.g. diagnostic radiology, radiation therapy, nuclear medicine).
- ① States that have yet to develop such a mechanism would need to assess the education, training and competence of any individual proposed by the *licensee* to act as a medical physicist and to decide, on the basis of either international accreditation standards or standards of a State where such an accreditation system exists, whether such an individual could undertake the functions of a medical physicist, within the required specialty.

### medical radiation facility

A medical *facility* in which *radiological procedures* are performed.

### medical radiation technologist

A *health professional*, with specialist education and training in medical radiation technology, competent to perform *radiological procedures*, on delegation from the *radiological medical practitioner*, in one or more of the specialties of medical radiation technology.

- ① Competence of persons is normally assessed by the State by having a formal mechanism for registration, accreditation or *certification* of medical radiation technologists in the various specialties (e.g. diagnostic radiology, radiation therapy, nuclear medicine).
- ① States that have yet to develop such a mechanism would need to assess the education, training and competence of any individual proposed by the *licensee* to act as a medical radiation technologist and to decide, on the basis of either international standards or standards of a State where such a system exists, whether such an individual could undertake the functions of a medical radiation technologist, within the required specialty.

### medical radiological equipment

Radiological equipment used in *medical radiation facilities* to perform *radiological procedures* that either delivers an *exposure* to an individual or directly controls or influences the extent of such exposure.

- ① The term applies to radiation generators, such as X ray machines or medical linear accelerators; to devices containing *sealed sources*, such as  $^{60}\text{Co}$  teletherapy units; to devices used in a medical imaging procedure involving *ionizing radiation* to capture images, such as gamma cameras, image intensifiers or flat panel detectors, and to hybrid systems such as positron emission tomography–computed tomography scanners.



## M

### member of the public

For purposes of *protection and safety*, in a general sense, any individual in the population except when subject to occupational exposure or medical exposure.

- ① For the purpose of verifying compliance with the *annual dose limit* for *public exposure*, this is the *representative person*.

### migration

The movement of radionuclides in the *environment* as a result of natural *processes*.

- ① Most commonly, movement of radionuclides in association with groundwater flow.

### mill

See [*mine or mill processing radioactive ores*].

### milling

See [*mining and milling*].

### [mine or mill processing radioactive ores]

[Installation for mining, milling or processing ores containing *uranium series* or *thorium series* radionuclides.]

- ① [A mine processing *radioactive ores* is any mine that yields ores containing *uranium series* or *thorium series* radionuclides, either in amounts or concentrations sufficient to warrant exploitation or, when present in conjunction with other substances being mined, in amounts or concentrations that require *radiation protection* measures to be taken as determined by the *regulatory body*.]
- ① [A mill processing *radioactive ores* is any *facility* for processing *radioactive ores* from a mine processing *radioactive ores* as here defined to produce a physical or chemical concentrate.]
- ① This entry was restricted to those mining and processing *operations* aimed at extracting *uranium series* or *thorium series* radionuclides and those aimed at the extraction of other substances from ore where this represents a significant radiological *hazard*.
- ① Strictly speaking, a mill in the context of the processing of minerals is a *facility* for the processing of ore to reduce its particle size, especially by crushing or grinding. However, the term *mill* was used in a broader sense to denote a *facility* in which additional processing (e.g. hydrometallurgical processing) may also be carried out.
- ! Owing to the possibility of confusion, the use of the word *mill* in this broader sense, in this expression or elsewhere, is discouraged.
- ① This entry has been included for information only. Words are used with their usual dictionary meanings except for the term *radioactive*. See *radioactive* (2).

### minimization (of waste)

The *process* of reducing the amount and *activity* of *radioactive waste* to a level as low as reasonably achievable, at all stages from the *design* of a *facility* or *activity* to *decommissioning*, by reducing the amount of *waste* generated and by means such as *recycling*

and *reuse*, and *treatment* to reduce its *activity*, with due consideration for *secondary waste* as well as *primary waste*.

- ① *Minimization of waste* is not to be confused with *volume reduction*.

See *waste management, radioactive*.

**recycling.** The process of converting *waste* materials into new products.

- ① *Recycling* reduces the wastage of useful materials, the use of raw materials and energy use.
- ① *Recycling* contributes to reducing air pollution (caused by incineration) and reducing water pollution (caused by use of landfill sites) by reducing the need for disposal of conventional waste, and also contributes to reducing emissions of ‘greenhouse’ gases.

**reuse.** The use of an item again after it has been used before.

- ① *Reuse* includes conventional *reuse*, in which an item is used again to perform the same functions, and *reuse* in which an item is used again to perform a different function.

### minimum detectable activity (MDA)

The *radioactivity* which, if present in a sample, produces a counting rate that will be detected (i.e. considered to be above *background*) with a certain level of confidence.

- ① The ‘certain level of confidence’ is normally set at 95%, i.e. a sample containing exactly the *minimum detectable activity* will, as a result of random fluctuations, be taken to be free of *radioactivity* 5% of the time.
- ① The *minimum detectable activity* is sometimes referred to as the **detection limit** or **lower limit of detection**.
- ① The counting rate from a sample containing the *minimum detectable activity* is termed the **determination level**.

### minimum significant activity (MSA)

The *radioactivity* which, if present in a sample, produces a counting rate that can be reliably distinguished from *background* with a certain level of confidence.

- ① A sample containing exactly the *minimum significant activity* will, as a result of random fluctuations, be taken to be free of *radioactivity* 50% of the time, whereas a true *background* sample will be taken to be free of *radioactivity* 95% of the time.
- ① The *minimum significant activity* is sometimes referred to as the **decision limit**. The counting rate from a sample containing the *minimum significant activity* is termed the **critical level**.

### [mining and milling]

[Mining in a mine that yields *radioactive* ores containing *uranium series* or *thorium series* radionuclides, either in amounts or concentrations sufficient to warrant exploitation or, when present in conjunction with other substances being mined, in amounts or concentrations that require *radiation protection* measures to be taken as determined by the *regulatory body*; and processing of *radioactive* ores from such mines to produce a chemical concentrate.]

- ① This entry was restricted to those mining and processing *operations* aimed at extracting *uranium series* or *thorium series* radionuclides and those aimed at the extraction of other substances from ore where this represents a significant radiological *hazard*.
- ① Strictly speaking, milling in the context of the processing of minerals is the processing of ore to reduce its particle size, especially by crushing or grinding.
- ① However, in the context of this entry, the term *milling* was used in a broader sense to include additional processing (e.g. hydrometallurgical processing).
- ! Owing to the possibility of confusion, the use of the word *milling* in this broader sense, in this expression or elsewhere, is discouraged.
- ① Mining includes in situ leaching, also known as solution mining or in situ recovery, which involves recovering minerals from ores in the ground by dissolving them and pumping the resultant solution to the surface so that the minerals can be recovered.
- ① This entry has been included for information only. The terms *mining* and *milling* should be used with their usual dictionary meanings, qualified where necessary (e.g. by use of the term *radioactive ores*).

See *mine or mill processing radioactive ores*.

#### [mining and milling waste (MMW)]

See *waste*.

#### mitigatory action

See *protective action* (1).

#### mixed waste

See *waste*.

#### model

An analytical or physical representation or quantification of a real system and the ways in which phenomena occur within that system, used to predict or assess the behaviour of the real system under specified (often hypothetical) conditions.

***computational model.*** A calculational tool that implements a *mathematical model*.

***conceptual model.*** A set of qualitative assumptions used to describe a system (or part thereof).

- ① These assumptions would normally cover, as a minimum, the geometry and dimensionality of the system, initial and boundary conditions, time dependence, and the nature of the relevant physical, chemical and biological *processes* and phenomena.

***mathematical model.*** A set of mathematical equations designed to represent a *conceptual model*.

***mechanistic model (biophysical model).*** Representation of an assumed or proven *radiation* induced biophysical process occurring on the molecular level, cellular level, organ level or level of the whole organism.

**physical model.** A physical representation, at different scale and/or using different materials, of a structure or component, the performance of which may be related to that of the real structure or component.

**risk projection model.** A *conceptual model* such as that for estimating the *risk* from *radiation exposure* at low *doses* and *dose rates* on the basis of epidemiological evidence concerning the *risk* from high *doses* and/or *dose rates*.

**additive risk projection model.** A *risk projection model* in which *exposure* is assumed to lead to an *attributable risk* that is proportional to the *dose* but independent of the natural probability of the effect.

**multiplicative risk projection model.** A *risk projection model* in which *exposure* is assumed to lead to an *attributable risk* that is proportional to the *dose* and to the natural probability of the effect.

**seismotectonic model.** A *model* that characterizes seismic sources in the region around a site of interest, including the *aleatory uncertainties* and the *epistemic uncertainties* in the seismic source characteristics.

### model calibration

See *calibration*.

### model validation

See *validation* (1).

### model verification

See *verification* (1).

### monitoring

1. The measurement of *dose*, *dose rate* or *activity* for reasons relating to the *assessment* or *control* of *exposure* to *radiation* or exposure due to *radioactive substances*, and the interpretation of the results.

- ① ‘Measurement’ is used somewhat loosely. The ‘measurement’ of *dose* often means the measurement of a *dose equivalent quantity* as a proxy (i.e. substitute) for a *dose quantity* that cannot be measured directly. Also, sampling may be involved as a preliminary step to measurement.
- ① Measurements may actually be of *radiation* levels, airborne *activity concentrations*, levels of *contamination*, quantities of *radioactive material* or individual *doses*.
- ① The results of these measurements may be used to assess radiological hazards or doses resulting or potentially resulting from *exposure*.
- ① *Monitoring* may be subdivided in two different ways: according to where the measurements are made, into *individual monitoring*, *workplace monitoring*, *source monitoring* and *environmental monitoring*; and, according to the purpose of the *monitoring*, into *routine monitoring*, *task related monitoring* and *special monitoring*.

**area monitoring.** A form of *workplace monitoring* in which an area is monitored by taking measurements at different points in that area.

- ① As opposed to measurements by a static monitor.

**environmental monitoring.** The measurement of *external dose* rates due to *sources* in the *environment* or of radionuclide concentrations in environmental media.

- ① Contrasted with *source monitoring*.

**individual monitoring.** *Monitoring* using measurements by equipment worn by individuals, or measurements of quantities of *radioactive substances* in or on or taken into the bodies of individuals, or measurements of quantities of *radioactive substances* excreted from the body by individuals.

- ① Also called *personal monitoring*.
- ① For *workers*, usually contrasted with *workplace monitoring*.
- ① It includes, for example, measurements of quantities of *radioactive substances* taken into the body made using breathing zone air samplers.

[**personal monitoring**]. Synonymous with *individual monitoring*.

- ① This usage may be confusing and is discouraged in favour of *individual monitoring*.

[**personnel monitoring**]. A combination of *individual monitoring* and *workplace monitoring*.

- ① This usage may be confusing and is discouraged in favour of *individual monitoring* and/or *workplace monitoring*, as appropriate.

**routine monitoring.** *Monitoring* associated with continuing *operations* and intended: (1) to demonstrate that working conditions, including the levels of *individual dose*, remain satisfactory; and (2) to meet regulatory *requirements*.

- ① *Routine monitoring* can be *individual monitoring* or *workplace monitoring*.
- ① Contrasting terms: *task related monitoring* and *special monitoring*.

**source monitoring.** The measurement of *activity* in radionuclides being released to the *environment* or of *external dose* rates due to *sources* within a *facility* or *activity*.

- ① Contrasted with *environmental monitoring*.

**special monitoring.** *Monitoring* designed to investigate a specific situation in the workplace for which insufficient information is available to demonstrate adequate *control*, by providing detailed information to elucidate any problems and to define future *procedures*.

- ① *Special monitoring* would normally be undertaken at the *commissioning* stage of new *facilities*, following major modifications either to *facilities* or to *procedures*, or when *operations* are being carried out under abnormal circumstances, such as following an *accident*.
- ① *Special monitoring* can be *individual monitoring* or *workplace monitoring*.
- ① Contrasting terms: *routine monitoring* and *task related monitoring*.

**task related monitoring.** *Monitoring* in relation to a specific *operation*, to provide data to support immediate decisions on the management of the *operation*.

① *Task related monitoring* can be *individual monitoring* or *workplace monitoring*.

① Contrasting terms: *routine monitoring* and *special monitoring*.

**workplace monitoring.** Monitoring using measurements made in the working environment.

① Usually contrasted with *individual monitoring*.

**2.** Continuous or periodic measurement of radiological or other parameters or determination of the status of a *structure, system or component*.

① Sampling may be involved as a preliminary step to measurement.

① Although the concept is not fundamentally different from definition (1), this definition is more suited to the types of *monitoring* concerned primarily with *safety* (i.e. keeping *sources* under *control*) rather than with *protection* (i.e. controlling *exposure*).

① This definition is particularly relevant to *monitoring* the status of a *nuclear installation* by tracking plant variables, or *monitoring* the long term performance of a *waste disposal facility* by tracking variables such as water fluxes.

① These examples differ from definition (1) in that the routine measurements are themselves of no particular interest; the *monitoring* is only intended to detect unexpected *deviations* if they occur.

**condition monitoring.** Continuous or periodic tests, *inspections*, measurement or trending of the performance or physical characteristics of *structures, systems and components* to indicate current or future performance and the potential for *failure*.

① *Condition monitoring* is usually conducted on a non-intrusive basis.

### **multilateral approval**

See *approval*.

### **multiple barriers**

See *barrier*.

### **multiplexing**

Transmission and reception of two or more signals or messages over a single data *channel*, e.g. by the use of time division, frequency division or pulse code techniques.

### **multiplicative risk projection model**

See *model: risk projection model*.

### **multiple safety functions**

See *barrier*.



**natural analogue**

A situation in nature used as a *model* for *processes* affecting human made systems.

- ① The use of a *natural analogue* allows conclusions to be drawn that are relevant in making judgements about the *safety* of an existing or planned *nuclear facility*.
- ① particular, mineral deposits containing radionuclides whose *migration* history over very long time periods can be analysed and the results used in modelling the potential behaviour of these or similar radionuclides in the *geosphere* over a long period of time can be used as *natural analogues*.

**natural background**

See *background*.

**natural source**

See *source* (1).

**natural uranium**

See *uranium*.

**naturally occurring radioactive material (NORM)**

*Radioactive material* containing no significant amounts of radionuclides other than *naturally occurring radionuclides*.

- ① The exact definition of ‘significant amounts’ would be a regulatory decision.
- ① Material in which the *activity concentrations* of the *naturally occurring radionuclides* have been changed by a *process* is included in *naturally occurring radioactive material (NORM)*.
- ① *Naturally occurring radioactive material* or *NORM* should be used in the singular unless reference is explicitly being made to various materials.

**naturally occurring radionuclides**

See *radionuclides of natural origin*.

**near field**

The excavated area of a *disposal facility* near or in contact with the *waste packages*, including filling or sealing materials, and those parts of the host medium/rock whose characteristics have been or could be altered by the *disposal facility* or its contents.

See also *far field*.



**near miss**

A potential significant *event* that could have occurred as the consequence of a sequence of actual occurrences but did not occur owing to the conditions prevailing at the time.

See *event, incident, safety*.

**near surface disposal**

See *disposal* (1).

**near surface disposal facility**

See *disposal facility*.

**new fuel**

See *nuclear fuel*.

**non-fixed contamination**

See *contamination* (2).

**non-physical ageing**

See *ageing*.

**non-radiological consequences (of an emergency)**

Adverse psychological, societal or economic consequences of a *nuclear or radiological emergency* or of the *response* to an *emergency* affecting human life and health, property or the *environment*.

① The definition relates to *emergency preparedness and response* only [25].

**[non-stochastic effect]**

See *health effects (of radiation): deterministic effect*.

**NORM**

See *naturally occurring radioactive material*.

**NORM residue**

Material that remains from a *process* and comprises or is contaminated by *naturally occurring radioactive material (NORM)*.

① A *NORM residue* may or may not be *waste*.

**NORM waste**

See *waste*.

## N

### normal operation

See *plant states (considered in design)*.

### notification

1. A document submitted to the *regulatory body* by a *person or organization* to notify an intention to carry out a *practice* or other use of a *source*.

- ① This includes the *notification* of appropriate *competent authorities* by a *consignor* that a *shipment* will pass *through or into* their countries, as required in Section V of the Transport Regulations [2].

2. A report submitted promptly to a national or international authority providing details of an *emergency* or a possible *emergency*; for example, as required by the Convention on Early Notification of a Nuclear Accident [6].

3. A set of actions taken upon detection of *emergency* conditions with the purpose of alerting all organizations with responsibility for *emergency response* in the event of such conditions.

### notification point

A designated organization with which *arrangements* have been made to receive *notification* (3) and to initiate promptly the predetermined actions to activate a part of the *emergency response*.

### notifying State

The State that is responsible for notifying (see *notification* (2)) potentially affected States and the IAEA of an *event* or situation of actual, potential or perceived radiological significance for other States.

- ① This includes:

- (a) The State Party that has jurisdiction or *control* over the *facility* or *activity* (including space objects) in accordance with Article 1 of the Convention on Early Notification of a Nuclear Accident [6]; or
- (b) The State that initially declares, or discovers evidence of, a *transnational emergency*, for example by: detecting significant increases in atmospheric *radiation levels* of unknown origin; detecting *contamination* in transboundary *shipments*; discovering a *dangerous source* that may have originated in another State; or diagnosing medical symptoms that may have resulted from *exposure* outside the State.

### nuclear

- ① Strictly: relating to a nucleus; relating to or using energy released in nuclear fission or fusion. (adjective)
- ! The adjective '*nuclear*' is used in many phrases to modify a noun that it cannot logically modify. It must be borne in mind that the meaning of such phrases may be unclear (as opposed to *nuclear*).
- ! The phrases may therefore need to be explained, and their usage may be open to misunderstanding, misrepresentation or mistranslation.

- ! Such phrases include: *nuclear accident*; nuclear community; *nuclear emergency*; *nuclear facility*; *nuclear fuel*; *nuclear incident*; *nuclear installation*; *nuclear material*; nuclear medicine; [a] nuclear power; *nuclear safety*; and *nuclear security*.

### **nuclear accident**

See *accident* (1).

### **[nuclear damage]**

[(i) Loss of life or personal injury;

[(ii) loss of or damage to property;

and each of the following to the extent determined by the law of the competent court:

- (iii) economic loss arising from loss or damage referred to in sub-paragraph (i) or (ii), insofar as not included in those sub-paragraphs, if incurred by a person entitled to claim in respect of such loss or damage;
- (iv) the costs of measures of reinstatement of impaired environment, unless such impairment is insignificant, if such measures are actually taken or to be taken, and insofar as not included in sub-paragraph (ii);
- (v) loss of income deriving from an economic interest in any use or enjoyment of the environment, incurred as a result of a significant impairment of that environment, and insofar as not included in sub-paragraph (ii);
- (vi) the costs of preventive measures, and further loss or damage caused by such measures;
- (vii) any other economic loss, other than any caused by the impairment of the environment, if permitted by the general law on civil liability of the competent court,

in the case of sub-paragraphs (i) to (v) and (vii) above, to the extent that the loss or damage arises out of or results from ionizing radiation emitted by any source of radiation inside a nuclear installation, or emitted from nuclear fuel or radioactive products or waste in, or of nuclear material coming from, originating in, or sent to, a nuclear installation, whether so arising from the radioactive properties of such matter, or from a combination of radioactive properties with toxic, explosive or other hazardous properties of such matter.] (From Ref. [31].)

- ① In this context, ‘preventive measures’ are any reasonable measures taken by any person after a nuclear incident has occurred to prevent or minimize damage referred to in sub-paragraphs (i) to (v) or (vii), subject to any approval of the competent authorities required by the law of the State where the measures were taken.

### **nuclear emergency**

See *emergency*.

### **nuclear facility**

1. A *facility* (including associated buildings and equipment) in which *nuclear material* is produced, processed, used, handled, stored or disposed of.

① Also *nuclear fuel cycle facility*.

See *facilities and activities* and *nuclear installation*.

2. [A facility (including associated buildings and equipment) in which nuclear material is produced, processed, used, handled, stored or disposed of, if damage to or interference with such facility could lead to the release of significant amounts of radiation or radioactive material.] (From Refs [35–38].)

! This usage is specific to the revised Convention on the Physical Protection of Nuclear Material and Nuclear Facilities [35–38], for the purposes of the Convention, and should otherwise be avoided.

① The final act of the Convention on the Physical Protection of Nuclear Material and Nuclear Facilities was approved on 8 July 2005.

3. [A civilian facility and its associated land, buildings and equipment in which radioactive materials are produced, processed, used, handled, stored or disposed of on such a scale that consideration of safety is required.] (From Ref. [5].)

! This usage is specific to the Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management [5], for the purposes of the Joint Convention, and should otherwise be avoided.

① Essentially synonymous with *authorized facility*, and hence more general than *nuclear installation*.

① Note that this differs from safeguards terminology, in which *installation* is more general than *facility*.

## nuclear fuel

*Fissionable nuclear material* in the form of fabricated elements for loading into the reactor core of a civil nuclear power plant or *research reactor*.

**fresh fuel.** *New fuel* or *unirradiated fuel*, including *fuel* fabricated from *fissionable* material recovered by *reprocessing* previously irradiated *fuel*.

## nuclear fuel cycle

All *operations* associated with the production of nuclear energy.

① *Operations* in the *nuclear fuel cycle* associated with the production of nuclear energy include the following:

- (a) Mining and processing of uranium ores or thorium ores;
- (b) Enrichment of uranium;
- (c) Manufacture of *nuclear fuel*;
- (d) *Operation* of nuclear reactors (including *research reactors*);
- (e) *Reprocessing* of *spent fuel*;
- (f) All *waste management activities* (including *decommissioning*) relating to *operations* associated with the production of nuclear energy;
- (g) Any related research and development *activities*.

**closed nuclear fuel cycle.** Mining, processing, conversion, enrichment of uranium, *nuclear fuel* fabrication, reactor *operation*, electrical generation or other energy products, *reprocessing* to recover *fissile material*, *storage* of reprocessed *fissile*

*material, disposal* (for highly *radioactive fission products*) and *final end states* for all *waste*.

***open nuclear fuel cycle***. Mining, processing, conversion, enrichment of uranium, *nuclear fuel* fabrication, reactor *operation*, electrical generation or other energy products, *storage of spent fuel, disposal* and *final end states* for all *waste*.

### [nuclear incident]

See *incident*.

### nuclear installation

**1.** Any *nuclear facility* subject to *authorization* that is part of the *nuclear fuel cycle*, except *facilities* for the mining or processing of uranium ores or thorium ores and *disposal facilities* for *radioactive waste*.

- ① This definition thus includes: nuclear power plants; *research reactors* (including subcritical and *critical assemblies*) and any adjoining radioisotope production facilities; storage facilities for *spent fuel*; facilities for the enrichment of uranium; *nuclear fuel* fabrication facilities; conversion facilities; facilities for the *reprocessing* of *spent fuel*; facilities for the *predisposal* management of *radioactive waste* arising from *nuclear fuel cycle* facilities; and *nuclear fuel cycle* related research and development facilities.

**2.** [For each Contracting Party, any land based civil nuclear power plant under its jurisdiction, including such storage, handling and treatment facilities for radioactive materials as are on the same site and are directly related to the operation of the nuclear power plant. Such a plant ceases to be a nuclear installation when all nuclear fuel elements have been removed permanently from the reactor core and have been stored safely in accordance with approved procedures, and a decommissioning programme has been agreed to by the regulatory body.] (From Ref. [4].)

### nuclear material

Plutonium except that with isotopic concentration exceeding 80% in plutonium-238; uranium-233; uranium enriched in the isotope 235 or 233; uranium containing the mixture of isotopes as occurring in nature other than in the form of ore or ore residue; any material containing one or more of the foregoing. (From Refs [35–38].)

- ① *Nuclear material* is necessary for the production of nuclear weapons or other nuclear explosive devices. Under comprehensive *safeguards agreements*, the IAEA verifies that all *nuclear material* subject to safeguards has been declared and placed under safeguards.
- ① Certain non-nuclear materials are essential for the use or production of *nuclear material* and may also be subject to IAEA safeguards under certain agreements.
- ① The final act of the Convention on the Physical Protection of Nuclear Material and Nuclear Facilities was approved on 8 July 2005.
- ① The Statute of the IAEA [39] uses the term ***special fissionable material***, with the meaning essentially of *nuclear material* as defined here, but explicitly excluding *source material*.
- ① For the purposes of IAEA *safeguards agreements*, *nuclear material* is defined as “any *source material* or *special fissionable material* as defined in Article XX of the Statute

of the IAEA”. The meaning is essentially the same as that of *nuclear material* as defined here. See Ref. [40].

- ① The Paris Convention on Third Party Liability in the Field of Nuclear Energy [41] uses the term ‘nuclear substances’, which means *nuclear fuel* (other than *natural uranium* and *depleted uranium*) and *radioactive products* or *radioactive waste*.

See also *source material*.

## **nuclear or radiological emergency**

See *emergency*.

## **(nuclear) safety**

The achievement of proper *operating conditions*, prevention of *accidents* and mitigation of *accident* consequences, resulting in *protection* of *workers*, the public and the *environment* from undue *radiation risks*.

- ① Often abbreviated to *safety* in IAEA publications on *nuclear safety*. *Safety* means *nuclear safety* unless otherwise stated, in particular when other types of *safety* (e.g. fire *safety*, conventional industrial *safety*) are also being discussed.

See *protection and safety* for a discussion of the relationship between *nuclear safety* and *radiation protection*.

## **(nuclear) security**

1. The prevention and detection of, and response to, criminal or intentional unauthorized acts involving nuclear material, other radioactive material, associated facilities or associated activities.

See Ref. [42].

- ① Often abbreviated to *security* in IAEA publications on *nuclear security*.
- ① *Security of nuclear material* for reasons relating to non-proliferation of nuclear weapons is outside the scope of the IAEA *safety standards* and of the IAEA Nuclear Security Series.

2. The prevention and detection of, and response to, theft, *sabotage*, unauthorized access, illegal transfer or other *malicious* acts involving *nuclear material*, other *radioactive material* or their associated *facilities*.

See IAEA GOV/2005/50.

- ① This includes, but is not limited to, the prevention and detection of, and response to, the theft of *nuclear material* or other *radioactive material* (with or without knowledge of the nature of the material), *sabotage*, and other *malicious* acts, *illicit trafficking* and unauthorized transfer.
- ① The response element of the definition refers to those actions aimed at ‘reversing’ the immediate consequences of unauthorized access or actions (e.g. recovering material). Response to radiological consequences that might ensue is considered part of *safety*.
- ① There is not an exact distinction between the general terms *safety* and *security*. In general, *security* is concerned with intentional actions by people that could cause or threaten harm to other people; *safety* is concerned with the broader issue of harmful



consequences to people (and to the *environment*) arising from *exposure to radiation*, whatever the cause.

- ① The interaction between arrangements for *security* and arrangements for *safety* depends on the context. Areas in which arrangements for *safety* and arrangements for security interact include, for example: the regulatory infrastructure; engineering provisions in the *design and construction of nuclear installations and other facilities*; *controls* on access to *nuclear installations and other facilities*; the categorization of *radioactive sources*; *source design*; the *security* of the management of *radioactive sources and radioactive material*; the recovery of *sources* that are not under *regulatory control*; *emergency response plans*; and *radioactive waste management*.
- ① ‘Security’ in a general sense encompasses related issues of global security — the sustainability of human life — in terms of energy security, environmental security, *food* security and water security, as well as nuclear security — to all of which the use of nuclear energy is related.
- ① Joint sponsorship of *safety standards*, and in particular of IAEA Safety Standards Series Nos SF-1, GSR Part 3 and GSR Part 7, reinforces a global view of protection of people and *protection of the environment*.



**observed cause**

See *cause*.

**occupancy factor**

A typical fraction of the time for which a location is occupied by an individual or group.

**occupational exposure**

See *exposure, categories of*.

**off-site**

Outside the *site area*.

***off-site decision maker.*** A person off the site with the authority and responsibility immediately, without consultation, to implement actions to protect the public within the *precautionary action zone, urgent protective action planning zone, extended planning distance and ingestion and commodities planning distance*.

**on-site**

Within the *site area*.

**operating conditions**

See *plant states (considered in design): operational states*.

**operating lifetime, operating life**

See *life, lifetime*.

**operating organization**

**1.** Any *person or organization* applying for *authorization* or *authorized* to operate an *authorized facility* and responsible for its *safety*.

! Note that such an organization may be the *operating organization* before *operation* starts.

① In practice, for an *authorized facility*, the *operating organization* is normally also the *registrant* or *licensee*. However, the separate terms are retained to refer to the two different capacities.

See also *operator*.

**2.** The organization (and its contractors) which undertakes the *siting, design, construction, commissioning* and/or *operation* of a *nuclear facility*.

! This usage is peculiar to documentation relating to the *safety* of *radioactive waste management*, with the corresponding understanding of *siting* as a multistage *process*.

- ! This difference is partly a reflection of the particularly crucial role of *siting* in the *safety* of *repositories*.

### operating period

See *life, lifetime: operating lifetime, operating life* (1).

### operating personnel

Individual *workers* engaged in the *operation* of an *authorized facility* or the conduct of an *authorized activity*.

- ! This may be shortened to *operator(s)*, provided that there is no danger of confusion with *operator* in the sense of *operating organization*.

### operation<sup>6</sup>

All *activities* performed to achieve the purpose for which an *authorized facility* was constructed.

- ① For a nuclear power plant, this includes *maintenance*, *refuelling*, *in-service inspection* and other associated *activities*.

***abnormal operation.*** See *plant states (considered in design): anticipated operational occurrence*.

***assisted operation.*** See *assisted operation*.

***normal operation.*** See *plant states (considered in design)*.

### operational bypass

See *bypass* (1).

### operational criteria (used in an emergency)

Values of measurable quantities or observables to be used in the *emergency response* to a *nuclear or radiological emergency* in order to determine the need for appropriate *protective actions* and other *emergency response actions*.

- ① *Operational criteria* used in an *emergency* include *operational intervention levels (OILs)*, *emergency action levels (EALs)*, specific observables and other indicators of conditions on the site.

### operational intervention level (OIL)

See *level: operational intervention level*.

### operational limits and conditions

See *limit*.

### operational period

See *life, lifetime: operating lifetime, operating life* (1).

### operational quantities

Quantities used in practical applications for monitoring and investigations that involve *external exposure*.

- ① *Operational quantities* are defined for the purpose of measurement and assessment of *doses* in the human body.
- ① In internal dosimetry, no operational dose quantities have been defined that directly provide an assessment of *equivalent dose* or *effective dose*.
- ① Different methods are applied to assess the *equivalent dose* or *effective dose* from *exposure* due to radionuclides in the human body.
- ① These methods are mostly based on various activity measurements and the application of biokinetic *models* (*computational models*).
- ① It is possible to use the measurable properties of radiation fields and of radionuclides associated with *external exposure* or with *intake* of radionuclides to estimate *protection quantities* and to demonstrate compliance with *requirements* involving *protection quantities*. These measurable quantities are called *operational quantities*.

### operational states

See *plant states* (*considered in design*).

### operations area

See *area*.

### operations boundary

See *operations area*.

### operator

Any *person or organization* applying for *authorization* or authorized and/or responsible for *safety* when undertaking *activities* or in relation to any *nuclear facilities* or *sources* of *ionizing radiation*.

- ① *Operator* includes, inter alia, private individuals, governmental bodies, *consignors* or *carriers*, *licensees*, hospitals, self-employed persons, etc.
- ! *Operator* is sometimes used to refer to *operating personnel* (e.g. control room operators). If used in this way, particular care should be taken to ensure that there is no possibility of confusion.
- ① *Operator* includes either those who are directly in *control* of a *facility* or an *activity* during use or transport of a *source* (such as radiographers or *carriers*) or, in the case of a *source* not under *control* (such as a lost or illicitly removed *source* or a re-entering satellite), those who were responsible for the *source* before *control* over it was lost.
- ① Synonymous with *operating organization*.

### **optimization (of protection and safety)**

1. The *process* of determining what level of *protection and safety* would result in the magnitude of individual *doses*, the number of individuals (*workers* and *members of the public*) subject to *exposure* and the likelihood of *exposure* being as low as reasonably achievable (ALARA), economic and social factors being taken into account.
2. The management of the radiation *dose* to the *patient* commensurate with the medical purpose.
  - ① For *medical exposures of patients*.
  - ① ‘*Optimization of protection and safety* has been implemented’ means that *optimization of protection and safety* has been applied and the results of that process have been implemented.
  - ! This is not the same as optimization of the *process* or *practice* concerned. An explicit term such as *optimization of protection and safety* should be used.
  - ! The acronym ALARA should not be used to mean *optimization of protection and safety*.

### **organ dose**

See *dose quantities*.

### **orphan source**

See *source* (2).

### **overall emergency plan**

See *emergency plan* (1).

### **overpack**

1. See *waste management, radioactive* (1).
2. An enclosure used by a single *consignor* to contain one or more *packages* and to form one unit for convenience of handling and stowage during *transport*. (From Ref. [2].)

**package**

The complete product of the packing operation, consisting of the *packaging* and its contents prepared for *transport*.

① The types of *packages* covered by the [Transport] Regulations [2] that are subject to the *activity limits* and material restrictions of Section IV [of the Transport Regulations [2]] and meet the corresponding *requirements* are:

- (a) Excepted package;
- (b) Industrial package Type 1 (Type IP-1);
- (c) Industrial package Type 2 (Type IP-2);
- (d) Industrial package Type 3 (Type IP-3);
- (e) Type A package;
- (f) Type B(U) package;
- (g) Type B(M) package;
- (h) Type C package.

*Packages* containing *fissile material* or uranium hexafluoride are subject to additional *requirements*. (From Ref. [2].)

① The detailed specifications and *requirements* for these *package* types are specified in Ref. [2].

**package, waste**

The product of *conditioning* that includes the *waste form* and any *container(s)* and internal *barriers* (e.g. absorbing materials and liner), as prepared in accordance with *requirements* for handling, *transport*, *storage* and/or *disposal*.

**packaging (of waste)**

1. One or more receptacles and any other *components* or materials necessary for the receptacles to perform the *containment* and other *safety functions*. (From Ref. [2].)
2. See *waste management, radioactive* (1).

**palaeoseismicity**

The evidence of a prehistoric or historical earthquake manifested as displacement on a fault or secondary effects such as ground deformation (i.e. liquefaction, tsunami, landslides).

**particle fluence**

See *fluence*.

**passenger aircraft**

See *aircraft*.

### passive component

A *component* whose functioning does not depend on an external input such as actuation, mechanical movement or supply of power.

- ① A *passive component* has no moving part, and, for example, only experiences a change in pressure, in temperature or in fluid flow in performing its functions. In addition, certain *components* that function with very high *reliability* based on irreversible action or change may be assigned to this category.
- ① Examples of *passive components* are heat exchangers, pipes, vessels, electrical cables and structures. It is emphasized that this definition is necessarily general in nature, as is the corresponding definition of *active component*.
- ① Certain *components*, such as rupture discs, check valves, *safety* valves, injectors and some solid state electronic devices, have characteristics which require special consideration before designation as an *active* or *passive component*.
- ① Any *component* that is not a *passive component* is an *active component*.

See also *component*, *core components* and *structures, systems and components*.

### pathway

See *exposure pathway*.

### patient

See *exposure, categories of: medical exposure*.

### peak ground acceleration

The maximum absolute value of ground acceleration displayed on an *accelerogram*; the greatest ground acceleration produced by an earthquake at a site.

### peer review

An examination or review of commercial, professional or academic efficiency, competence, etc., by others in the same occupation.

- ① *Peer review* is also: the evaluation, by experts in the relevant field, of a scientific research project for which a grant is sought; the process by which a learned journal passes a paper received for publication to outside experts for their comments on its suitability and worth; refereeing.

### performance assessment

See *assessment* (1).

### performance indicator

See *indicator*.

## P

### **performance standard**

Description of the performance required of a *structure, system or component* or other item of equipment, a person or a procedure with the aim of ensuring a high level of *safety*.

### **periodic maintenance**

See *maintenance*.

### **periodic safety review**

A systematic reassessment of the *safety* of an existing *facility (or activity)* carried out at regular intervals to deal with the cumulative effects of *ageing*, modifications, operating experience, technical developments and *siting* aspects, and aimed at ensuring a high level of *safety* throughout the *service life* of the *facility (or activity)*.

### **permanent relocation**

See *relocation*.

### **person or organization**

Any organization, corporation, partnership, firm, association, trust, estate, public or private institution, group, political or administrative entity, or other persons designated in accordance with national legislation who or which has responsibility and authority for any action having implications for *protection and safety*.

- ❏ Supersedes the term *legal person*, which is contrasted in legal texts with ‘natural person’, meaning an individual.

### **personal dose equivalent, $H_p(d)$**

See *dose equivalent quantities*.

### **[personal monitoring]**

See *monitoring* (1).

### **[personnel monitoring]**

See *monitoring* (1).

### **physical ageing**

See *ageing*.

### **physical diversity**

See *diversity*.

### **physical half-life**

See *half-life* (2): *radioactive half-life*.



### physical protection

See *protection* (3).

### physical separation

Separation by geometry (distance, orientation, etc.), by appropriate *barriers*, or by a combination thereof.

### physisorption

See *sorption*.

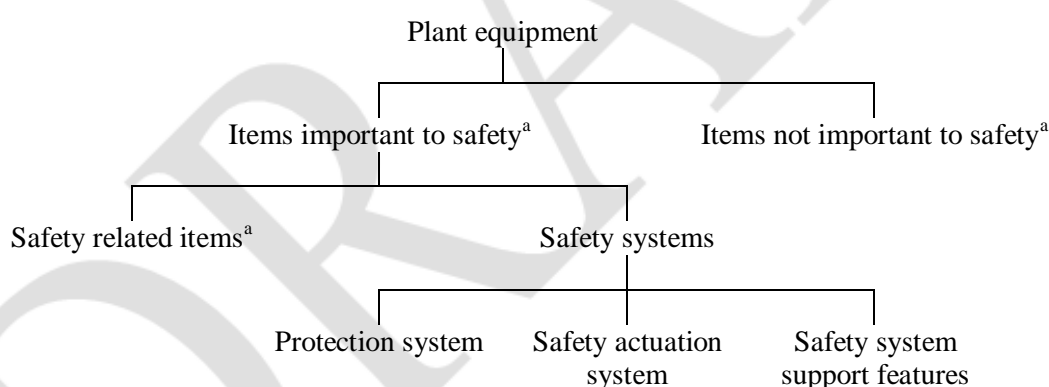
### planned maintenance

See *maintenance*.

### planning target volume

A geometrical concept used in radiation therapy for planning medical treatment with consideration of the net effect of movements of the *patient* and of the tissues to be irradiated, variations in size and shape of the tissues, and variations in beam geometry such as beam size and beam direction.

### plant equipment (for a nuclear power plant)



<sup>a</sup> In this context, an 'item' is a *structure, system or component*.

***item important to safety.*** An item that is part of a *safety group* and/or whose malfunction or *failure* could lead to *radiation exposure* of the *site personnel* or *members of the public*.

① *Items important to safety* include:

- Those *structures, systems and components* whose malfunction or *failure* could lead to undue *radiation exposure* of *site personnel* or *members of the public*;
- Those *structures, systems and components* that prevent *anticipated operational occurrences* from leading to *accident conditions*;
- Those features that are provided to mitigate the consequences of malfunction or *failure* of *structures, systems and components*.

**protection system.** System that monitors the *operation* of a reactor and which, on sensing an abnormal condition, automatically initiates actions to prevent an unsafe or potentially unsafe condition.

! This use of the term *protection* refers to *protection* of the plant (*protection* (2)).

① The *system* in this case encompasses all electrical and mechanical devices and circuitry, from sensors to *actuation device* input terminals.

**safety actuation system.** The collection of equipment required to accomplish the necessary *safety actions* when initiated by the *protection system*.

**safety related item.** An *item* important to *safety* that is not part of a *safety system*.

**safety related system.** A *system* important to *safety* that is not part of a *safety system*.

① A *safety* related instrumentation and *control system*, for example, is an instrumentation and *control system* that is important to *safety* but which is not part of a *safety system*.

**safety system.** A *system* important to *safety*, provided to ensure the safe *shutdown* of the reactor or the *residual heat* removal from the reactor core, or to limit the consequences of *anticipated operational occurrences* and *design basis accidents*.

① *Safety systems* consist of the *protection system*, the *safety actuation systems* and the *safety system support features*.

① *Components* of *safety systems* may be provided solely to perform *safety functions*, or may perform *safety functions* in some plant *operational states* and non-safety functions in other *operational states*.

**safety system settings.** Settings for levels at which *safety systems* are automatically actuated in the event of *anticipated operational occurrences* or *design basis accidents*, to prevent *safety limits* from being exceeded.

**safety system support features.** The collection of equipment that provides services such as cooling, lubrication and energy supply required by the *protection system* and the *safety actuation systems*.

! After an *initiating event*, some required *safety system support features* may be initiated by the *protection system* and others may be initiated by the *safety actuation systems* they serve; other required *safety system support features* may not need to be initiated if they are in *operation* at the time of the *initiating event*.

### plant states (considered in design)

! The entries that follow (terms and definitions) relate to consideration at the *design* stage (i.e. by means of hypothetical scenarios).

! Care needs to be taken to select, use and relate defined terms and other words in such a way that clear distinctions are drawn and may be inferred between, for example: events and situations (see the entry for *event*); *accidents* and other *incidents*; what is actual (i.e. what is), possible (i.e. what might be) or potential (i.e. what could become), and what is hypothetical (i.e. what is postulated or assumed); and what is observed or determined objectively, and what is decided or declared subjectively.

- ! ‘Conditions’, for example, is used in terms in the sense of rules set in *design* (as in *operational limits and conditions*) and also circumstances of *operation* (as in plant conditions); and in terms used in both *design* and *operation* (e.g. in *accident conditions*, *service conditions*).
- ! Drafters and reviewers thus need to bear in mind whether text concerns *design* or *operation*, or both. The, potential, the postulated or the assumed in *design* needs to be distinguished from the observed or the determined in *operation*; and the decided on or declared (such as an *emergency*), in both *design* and *operation*, needs to be distinguished from these former.

See *event*, *model*, *probabilistic safety assessment*, *uncertainty*.

Operational states		Accident conditions	
Normal operation	Anticipated operational occurrences	Design basis accidents	Design extension conditions
			Without significant fuel degradation
			With core melting

**accident conditions.** Deviations from *normal operation* that are less frequent and more severe than *anticipated operational occurrences*

- ① *Accident conditions* comprise *design basis accidents* and *design extension conditions*.
- ① Examples of such *deviations* include a major *fuel failure* or a loss of coolant accident (LOCA).

See *accident*, *event*.

**accident management.** The taking of a set of actions during the evolution of a *beyond design basis accident*:

- (a) To prevent the escalation of the *event* into a *severe accident*;
  - (b) To mitigate the consequences of a *severe accident*;
  - (c) To achieve a long term safe stable state.
- ① The second aspect of *accident management* (to mitigate the consequences of a *severe accident*) is also termed *severe accident management*.

**anticipated operational occurrence.** A deviation of an operational *process* from *normal operation* that is expected to occur at least once during the *operating lifetime* of a *facility* but which, in view of appropriate *design* provisions, does not cause any significant damage to *items important to safety* or lead to *accident conditions*.

- ① Examples of *anticipated operational occurrences* are loss of normal electrical power and faults such as a turbine trip, malfunction of individual items of a normally running plant, *failure to function* of individual items of *control* equipment, and loss of power to the main coolant pump.

- ① Some States and organizations use the term ***abnormal operation*** (for contrast with *normal operation*) for this concept.

***controlled state.*** *Plant state, following an anticipated operational occurrence or accident conditions, in which fulfilment of the main safety functions can be ensured and which can be maintained for a time sufficient to implement provisions to reach a safe state.*

***design basis accident.*** A postulated accident leading to *accident conditions* for which a facility is designed in accordance with established *design* criteria and conservative methodology, and for which *releases of radioactive material* are kept within *acceptable limits*.

***beyond design basis accident.*** Postulated *accident* with *accident conditions* more severe than those of a *design basis accident*.

***design extension conditions.*** Postulated *accident conditions* that are not considered for *design basis accidents*, but that are considered in the *design* process of the *facility* in accordance with best estimate methodology, and for which *releases of radioactive material* are kept within *acceptable limits*.

- ① *Design extension conditions* comprise conditions in *events* without significant fuel degradation and conditions in events with melting of the reactor core.

***safety feature (for design extension conditions).*** Item that is designed to perform a *safety function* for or that has a *safety function* for *design extension conditions*.

***normal operation.*** Operation within specified *operational limits and conditions*.

- ① For a nuclear power plant, this includes startup, power operation, shutting down, shutdown, maintenance, testing and refuelling.

***operational states.*** States defined under *normal operation* and *anticipated operational occurrences*.

- ① Some States and organizations use the term ***operating conditions*** (in contrast to *accident conditions*) for this concept.

***safe state.*** *Plant state, following an anticipated operational occurrence or accident conditions, in which the reactor is subcritical and the main safety functions can be ensured and maintained stable for a long time.*

***severe accident.*** Accident more severe than a *design basis accident* and involving significant core degradation.

***severe accident management.*** (See *severe accident* and *accident management*.)

- ① By extension, *accident management* for a *severe accident* includes the taking of a set of actions during the evolution of the *accident* to mitigate degradation of the reactor core.

## Pliocene

An interval of geological time extending from 5.3 to 2.6 million years ago.

## poison

A substance used to reduce *reactivity* (typically in a reactor core), by virtue of its high neutron *absorption* cross-section.

[*burnable poison*]. A *poison* that becomes less effective as a result of absorbing neutrons.

! The term *burnable absorber* is preferred.

### postulated initiating event (PIE)

See *initiating event*.

### potential alpha energy

The total alpha energy ultimately emitted during the decay of decay products of  $^{222}\text{Rn}$  or  $^{220}\text{Rn}$  through the decay chain.

! Note that the definition of *radon decay products* includes the decay chain up to but not including lead-210.

**potential alpha energy exposure.** The time integral of the *potential alpha energy* concentration in air over the time period for which an individual is exposed to radiation from decay products of  $^{222}\text{Rn}$  or  $^{220}\text{Rn}$ .

! This is not a type of *potential exposure*.

① Used in measuring *exposure* due to decay products of  $^{222}\text{Rn}$  or  $^{220}\text{Rn}$ , in particular for *occupational exposure*.

① Unit:  $\text{J}\cdot\text{h}/\text{m}^3$ .

### potential exposure

See *exposure situations*.

### practical elimination

See *elimination, practical*.

### practice

Any human activity that introduces additional *sources* of *radiation* or additional *exposure pathways*, or that modifies the network of *exposure pathways* from existing *sources*, so as to increase the *exposure* or the likelihood of *exposure* of people or the number of people exposed.

! *Radioactive waste* is generated as a result of *practices* that involve some beneficial effect, such as the generation of electricity by nuclear means or the diagnostic application of radioisotopes. The management of this *waste* is therefore only one part of the overall *practice*.

See also *facilities and activities*.

① Terms such as ‘authorized *practice*’, ‘controlled *practice*’ and ‘regulated *practice*’ are used to distinguish those *practices* that are subject to *regulatory control* from other *activities* that meet the definition of a *practice* but do not need or are not amenable to *control*.

## P

### **precautionary action zone (PAZ)**

See *emergency planning zones*.

### **predictive maintenance**

See *maintenance*.

### **predisposal management (of waste)**

See *waste management, radioactive* (1).

### **preparedness stage**

See *emergency preparedness stage*.

### **[prescribed limit]**

See *limit*.

### **pretreatment (of waste)**

See *waste management, radioactive* (1).

### **preventive maintenance**

See *maintenance*.

### **preventive measures**

See [*nuclear damage*].

### **primary limit**

See *limit*.

### **prime mover**

A *component* that converts energy into action when commanded by an *actuation device*.

- ① Such as a motor, solenoid operator or pneumatic operator.

### **probabilistic analysis**

- ① *Probabilistic analysis* is often taken to be synonymous with *stochastic analysis*. Strictly, however, *stochastic* conveys directly the idea of randomness (or at least apparent randomness), whereas *probabilistic* is directly related to probabilities, and hence only indirectly concerned with randomness.
- ① A natural *event* or *process* might more correctly be described as *stochastic* (as in *stochastic effect*), whereas *probabilistic* would be more appropriate for describing a mathematical *analysis* of *stochastic events* or *processes* and their consequences (such an *analysis* would, strictly, only be *stochastic* if the analytical method itself included an element of randomness, e.g. Monte Carlo *analysis*).



### probabilistic safety assessment (PSA)

A comprehensive, structured approach to identifying *failure scenarios*, constituting a conceptual and mathematical tool for deriving numerical estimates of *risk*.

① Three levels of *probabilistic safety assessment* are generally recognized.

- Level 1 comprises the *assessment* of *failures* leading to determination of the frequency of core damage.
- Level 2 includes the *assessment* of *containment* response, leading, together with Level 1 results, to the determination of frequencies of *failure* of the *containment* and *release* to the *environment* of a given percentage of the reactor core's inventory of radionuclides.
- Level 3 includes the *assessment* of *off-site* consequences, leading, together with the results of Level 2 *analysis*, to estimates of public *risks*.

(See, for example, Ref. [43].)

**'living' probabilistic safety assessment.** A *probabilistic safety assessment* that is updated as necessary to reflect the current *design* and operational features, and is documented in such a way that each aspect of the *PSA model* can be directly related to existing plant information and plant documentation, or to the analysts' assumptions in the absence of such information.

### procedure

A series of specified actions conducted in a certain order or manner.

① The set of actions to be taken to conduct an activity or to perform a *process* is typically specified in a set of instructions.

### process

1. A course of action or proceeding, especially a series of progressive stages in the manufacture of a product or some other *operation*.
2. A set of interrelated or interacting *activities* that transforms inputs into outputs.

① A product is the result or output of a *process*.

### processing (of waste)

See *waste management, radioactive* (1).

### projected dose

See *dose concepts*.

### protection

1. (against *radiation*):

***radiation protection*** (also ***radiological protection***). The *protection* of people from harmful effects of *exposure* to *ionizing radiation*, and the means for achieving this.

See also *protection and safety*.



## P

- ① The International Commission on Radiological Protection and others use the term *radiological protection*, which is synonymous.
- ① The accepted understanding of the term *radiation protection* is restricted to *protection* of people. Suggestions to extend the definition to include the *protection* of non-human species or the *protection of the environment* are controversial.

2. (of a nuclear reactor). See *plant equipment: protection system*.

3. (of nuclear material):

**[physical protection.** Measures for the protection of nuclear material or authorized facilities, designed to prevent unauthorized access or removal of fissile material or sabotage with regard to safeguards, as, for example, in the Convention on the Physical Protection of Nuclear Material.] (From Refs [35–38].)

- ① The final act of the Convention on the Physical Protection of Nuclear Material and Nuclear Facilities was approved on 8 July 2005.

### protection and safety

The *protection* of people against *exposure* to *ionizing radiation* or *exposure* due to *radioactive material* and the *safety* of *sources*, including the means for achieving this, and the means for preventing *accidents* and for mitigating the consequences of *accidents* if they do occur.

- ① *Safety* is primarily concerned with maintaining *control* over *sources*, whereas (*radiation*) *protection* is primarily concerned with controlling *exposure* to *radiation* and its effects.
- ① Clearly the two are closely connected: *radiation protection* (or *radiological protection*) is very much simpler if the *source* in question is under *control*, so *safety* necessarily contributes towards *protection*.
- ① *Sources* come in many different types, and hence *safety* may be termed the *safety* of *nuclear installations*, *radiation safety*, the *safety* of *radioactive waste management* or *safety* in the *transport* of *radioactive material*, but *protection* (in this sense) is primarily concerned with protecting people against *exposure*, whatever the *source*, and so is always *radiation protection*.
- ① For the purposes of the IAEA *safety standards*, *protection and safety* includes the *protection* of people against *ionizing radiation* and *safety*; it does not include non-radiation-related aspects of *safety*.
- ① *Protection and safety* is concerned with both *radiation risks* under normal circumstances and *radiation risks* as a consequence of *incidents*, as well as with other possible direct consequences of a loss of *control* over a nuclear reactor core, nuclear chain reaction, *radioactive source* or any other *source* of radiation.
- ① *Safety measures* include actions to prevent *incidents* and arrangements put in place to mitigate their consequences if they were to occur.

### protection of the environment

See *environment*.

## protection quantities

*Dose quantities* developed for purposes of radiological protection that allow quantification of the extent of exposure of the human body to ionizing radiation due to both whole body and partial body external irradiation and *intakes* of radionuclides.

- ① Dosimetric quantities that are designated as *protection quantities* are intended for specifying and calculating the numerical limits and levels that are used in *safety standards* for radiation protection.
- ① *Protection quantities* relate the magnitude of *exposures* to the *risks* of *health effects* of radiation in a way that is applicable to an individual and that is largely independent of the type of *radiation* and the nature of the *exposure* (internal or external).
- ① *Protection quantities* were developed to provide an index of the *risks* arising from the energy imparted by *radiation* to tissue.

## protection system

See *plant equipment*.

## protective action

1. An *emergency response action* for the purposes of avoiding or reducing *doses* that might otherwise be received in an *emergency exposure situation* or an *existing exposure situation*.

See also *remedial action*.

- ① This is related to *radiation protection* (see definition (1) of *protection*, and *protection and safety*).

***early protective action.*** A *protective action* in the event of a *nuclear or radiological emergency* that can be implemented within days to weeks and can still be effective.

- ① The most commonly considered *early protective actions* are *relocation* and longer term restriction of the consumption of foodstuffs potentially affected by contamination.

***mitigatory action.*** Immediate action by the *operator* or other party:

- (1) To reduce the potential for conditions to develop that would result in *exposure* or a *release* of *radioactive material* requiring *emergency response actions* on the site or off the site; or
- (2) To mitigate *source* conditions that may result in *exposure* or a *release* of *radioactive material* requiring *emergency response actions* on the site or off the site.

***urgent protective action.*** A *protective action* in the event of a *nuclear or radiological emergency* taken promptly (usually within hours) in order to be effective, and the effectiveness of which will be markedly reduced if it is delayed.

- ① The most commonly considered *urgent protective actions* in the event of a *nuclear or radiological emergency* are *evacuation*, *decontamination* of individuals, *sheltering*, *respiratory protection*, *iodine thyroid blocking* and restriction of the consumption of foodstuffs potentially affected by contamination.

## P

***precautionary urgent protective action.*** An *urgent protective action* taken before or shortly after a *release* of *radioactive material*, or before an *exposure*, on the basis of the prevailing conditions as a precaution to avoid or to reduce the *risk* of *severe deterministic effects*.

**2.** A *protection system action* calling for the *operation* of a particular *safety actuation device*.

① This is related to definition (2) of *protection*.

### **protective task**

The generation of at least those *protective actions* necessary to ensure that the *safety task* required by a given *initiating event* is accomplished.

### **public exposure**

See *exposure, categories of*.

### **publication, IAEA**

See *IAEA publication*.

## Q

**qualification**

Process of determining whether a *system* or *component* is suitable for operational use.

- ① *Qualification* is generally performed in the context of a specific set of *qualification requirements* for the specific facility and class of system and for the specific application.
- ① *Qualification* may be accomplished in stages: e.g., first, by the qualification of pre-existing equipment (usually early in the system realization process), then, in a second step, by the qualification of the integrated system (i.e. in the final realized design).
- ① *Qualification* may rely on activities performed outside the framework of a specific facility design (this is called ‘generic *qualification*’ or ‘prequalification’).
- ① Prequalification may significantly reduce the necessary effort in facility specific *qualification*; however, the application specific *qualification requirements* must still be met and be shown to be met.

**equipment qualification.** Generation and *maintenance* of evidence to ensure that equipment will operate on demand, under specified *service conditions*, to meet *system performance requirements*.

See Ref. [9].

- ① More specific terms are used for particular equipment or particular conditions; for example, **seismic qualification** is a form of *equipment qualification* that relates to conditions that could be encountered in the event of earthquakes.
- ① The proof that an item of equipment can perform its function, which is an important part of *equipment qualification*, is sometimes termed **substantiation**.

**qualified equipment**

Equipment certified as having satisfied *equipment qualification requirements* for the conditions relevant to its *safety function(s)*.

**qualified expert**

An individual who, by virtue of *certification* by appropriate boards or societies, professional licence or academic qualifications and experience, is duly recognized as having expertise in a relevant field of specialization, e.g. medical physics, *radiation protection*, occupational health, fire safety, *quality management* or any relevant engineering or *safety* speciality.

**qualified life**

See *life*, *lifetime*.

**quality control (QC)**

Part of *quality management* intended to verify that *structures, systems and components* correspond to predetermined *requirements*.

## Q

- ① This definition is taken from ISO 921:1997 (Nuclear Energy: Vocabulary) [7]. A more general definition of *quality control* and definitions of related terms can be found in ISO 8402:1994 [44].

### quality factor, $Q$

A number by which the *absorbed dose* in a tissue or organ is multiplied to reflect the *relative biological effectiveness* of the *radiation*, the result being the *dose equivalent*.

- ① Superseded by *radiation weighting factor* in the definition of *equivalent dose* in Ref. [33], but still defined, as a function of *linear energy transfer*, for use in calculating the *dose equivalent quantities* used in *monitoring*.
- ① The Basic Safety Standards [1] also state that the mean *quality factor*  $\bar{Q}$  at 10 mm depth in the *ICRU sphere* can be used as a value of *radiation weighting factor* for *radiation* types for which the Basic Safety Standards do not specify a value (see *radiation weighting factor*).

### quality management

1. The function of a *management system* that provides confidence that specified *requirements* will be fulfilled.

- ! The IAEA revised the *requirements* and guidance in the subject area of *quality assurance* for its *safety standards on management systems* for the *safety of facilities and activities* involving the use of *ionizing radiation*.
- ! The terms *quality management* and *management system* were adopted in the revised standards in place of the terms *quality assurance* and *quality assurance programme*.
- ① Planned and systematic actions are necessary to provide adequate confidence that an item, *process* or service will satisfy given *requirements* for quality; for example, those specified in the *licence*.
- ① This statement was slightly modified from that in the International Organization for Standardization's publication ISO 921:1997 [7] to say 'an item, *process* or service' instead of 'a product or service' and to add the example.
- ① A more general definition of *quality assurance* (all those planned and systematic actions necessary to provide confidence that a *structure, system or component* will perform satisfactorily in service) and definitions of related terms can be found in the International Organization for Standardization's publication ISO 8402:1994 [44].

2. See *management system*.

## R

## [rad]

Unit of *absorbed dose*, equal to 0.01 Gy.

- ❶ Superseded by the gray (Gy).
- ❶ Abbreviation of *röntgen absorbed dose* or *radiation absorbed dose*.

**radiation**

! When used in *IAEA publications*, the term *radiation* usually refers to *ionizing radiation* only. The IAEA has no statutory responsibilities in relation to non-ionizing *radiation*.

- ❶ *Ionizing radiation* can be divided into *low linear energy transfer radiation* and *high linear energy transfer radiation* (as a guide to its *relative biological effectiveness*), or into *strongly penetrating radiation* and *weakly penetrating radiation* (as an indication of its ability to penetrate shielding or the human body).

***high linear energy transfer radiation.*** *Radiation* with high *linear energy transfer*, normally assumed to comprise protons, neutrons and alpha particles (or other particles of similar or greater mass).

- ❶ These are the types of *radiation* for which the International Commission on Radiological Protection recommends a *radiation weighting factor* greater than 1.
- ❶ Contrasting term: *low linear energy transfer radiation*.

***ionizing radiation.*** For the purposes of *radiation protection*, *radiation* capable of producing ion pairs in biological material(s).

***low linear energy transfer radiation.*** *Radiation* with low *linear energy transfer*, normally assumed to comprise photons (including X rays and gamma *radiation*), electrons, positrons and muons.

- ❶ These are the types of *radiation* for which the International Commission on Radiological Protection recommends a *radiation weighting factor* of 1.

***strongly penetrating radiation.*** *Radiation* for which *limits* on *effective dose* are generally more restrictive than *limits* on *equivalent dose* to any tissue or organ, i.e. the fraction of the relevant *dose limit* received will, for a given *exposure*, be higher for *effective dose* than for *equivalent dose* to any tissue or organ.

- ❶ For most practical purposes, it may be assumed that *strongly penetrating radiation* includes photons of energy above about 12 keV, electrons of energy more than about 2 MeV, and neutrons.
- ❶ Contrasting term: *weakly penetrating radiation*.

***weakly penetrating radiation.*** The reverse is generally true. *Radiation* for which *limits* on *equivalent dose* to any tissue or organ are generally more restrictive than *limits* on *effective dose*, i.e. the fraction of the relevant *dose limit* received will, for a given *exposure*, be higher for *equivalent dose* to any tissue or organ than for *effective dose*.



① For most practical purposes, it may be assumed that *weakly penetrating radiation* includes photons of energy below about 12 keV, electrons of energy less than about 2 MeV, and massive charged particles such as protons and alpha particles.

① Contrasting term: *strongly penetrating radiation*.

### [radiation area]

See *area: controlled area*.

### radiation detriment

The total harm that would eventually be incurred by a group that is subject to exposure and by its descendants as a result of the group's *exposure* to *radiation* from a *source*.

① In its Publication 60 [33], the International Commission on Radiological Protection defines a measure of *radiation detriment* that has the dimensions of probability, and that could therefore also be considered a measure of *risk*.

### radiation emergency

See *emergency: nuclear or radiological emergency*.

### radiation level

[The corresponding *dose rate* expressed in millisieverts per hour or microsieverts per hour.] (From Ref. [2].)

! This usage is specific to the Transport Regulations, and should otherwise be avoided.

### radiation protection

See *protection* (1).

### radiation protection officer

A person technically competent in *radiation protection* matters relevant for a given type of *practice* who is designated by the *registrant*, *licensee* or *employer* to oversee the application of relevant *requirements* established in international *safety standards*.

### radiation protection programme

Systematic arrangements that are aimed at providing adequate consideration of *radiation protection* measures. (From Ref. [2].)

### radiation risks

Detrimental *health effects* of *exposure* to *radiation* (including the likelihood of such effects occurring), and any other *safety* related *risks* (including those to the *environment*) that might arise as a direct consequence of:

- *Exposure* to *radiation*;
- The presence of *radioactive material* (including *radioactive waste*) or its *release* to the *environment*;



- A loss of *control* over a nuclear reactor core, nuclear chain reaction, *radioactive source* or any other *source* of *radiation*. (From Ref. [15].)
- ① For the purposes of the IAEA *safety standards*, it is assumed that there is no threshold level of *radiation dose* below which there are no associated *radiation risks*.
- ① Safety Requirements and Safety Guides specify the *radiation exposures* and other *radiation risks* to which they refer.

### radiation safety

See *safety*.

### radiation source

See *source* (1).

### radiation weighting factor, $w_R$

A number by which the *absorbed dose* in a tissue or organ is multiplied to reflect the *relative biological effectiveness* of the *radiation* in inducing *stochastic effects* at low *doses*, the result being the *equivalent dose*.

- ① Values are selected by the International Commission on Radiological Protection to be representative of the relevant *relative biological effectiveness* and are broadly compatible with the values previously recommended for *quality factors* in the definition of *dose equivalent*.
- ① The *radiation weighting factor* values recommended in Ref. [24] are set out below.

Type of radiation	$w_R$
Photons, all energies	1
Electrons and muons, all energies <sup>a</sup>	1
Protons and charged pions	2
Alpha particles, <i>fission fragments</i> , heavy ions	20
Neutrons, a continuous function of neutron energy:	

$$w_R = \begin{cases} 2.5 + 18.2 e^{-[\ln(E_n)]^2/6}, & E_n < 1 \text{ MeV} \\ 5.0 + 17.0 e^{-[\ln(2E_n)]^2/6}, & 1 \text{ MeV} \leq E_n \leq 5 \\ 2.5 + 3.25 e^{-[\ln(0.04E_n)]^2/6}, & E_n > 50 \text{ MeV} \end{cases}$$

*Note:* All values relate to the radiation incident on the body or, for internal radiation sources, radiation emitted from the incorporated radionuclide(s).

<sup>a</sup> Excluding Auger electrons emitted from radionuclides bound to deoxyribonucleic acid (DNA) in the human body, for which special microdosimetric considerations apply.

- ① For *radiation* types and energies not included in the table,  $w_R$  can be taken to be equal to  $\bar{Q}$  at 10 mm depth in the *ICRU sphere* and can be obtained as follows:

$$\bar{Q} = \frac{1}{D} \int_0^\infty Q(L) D_L dL$$

## R

where  $D$  is the *absorbed dose*,  $Q(L)$  is the *quality factor* in terms of the *unrestricted linear energy transfer*  $L$  in water, specified in Ref. [33], and  $D_L$  is the distribution of  $D$  in  $L$ .

$$Q(L) = \begin{cases} 1 & \text{for } L \leq 10 \\ 0.32L - 2.2 & \text{for } 10 < L < 100 \\ 300/\sqrt{L} & \text{for } L \geq 100 \end{cases}$$

where  $L$  is expressed in keV/μm.

### radioactive

**1.** Exhibiting *radioactivity*; emitting or relating to the emission of *ionizing radiation* or particles. (adjective)

! This is the ‘scientific’ definition, and should not be confused with the ‘regulatory’ definition (2).

**2.** Designated in national law or by a *regulatory body* as being subject to *regulatory control* because of its *radioactivity*. (adjective)

! This is the ‘regulatory’ definition, and should not be confused with the ‘scientific’ definition (1).

### radioactive contents

The *radioactive material* together with any contaminated or activated solids, liquids and gases within the *packaging*. (From Ref. [2].)

### radioactive discharges

See *discharge* (1).

### radioactive equilibrium

See *equilibrium, radioactive*.

### radioactive half-life

See *half-life* (2).

### radioactive material

**1.** Material designated in national law or by a *regulatory body* as being subject to *regulatory control* because of its *radioactivity*.

! This is the ‘regulatory’ meaning of *radioactive* (2), and should not be confused with the ‘scientific’ meaning of *radioactive* (1): ‘exhibiting *radioactivity*; emitting or relating to the emission of *ionizing radiation* or particles’.

! The ‘scientific’ meaning of *radioactive* (1) — as in *radioactive substance* — refers only to the presence of *radioactivity*, and gives no indication of the magnitude of the *hazard* involved.

- ! The term *radioactive substance* is also used to indicate that the ‘scientific’ meaning of *radioactive* (see *radioactive* (1)) is intended, rather than the ‘regulatory’ meaning of *radioactive* (see *radioactive* (2)) suggested by the term *radioactive material*.
  - ! However, in some States the term *radioactive substance* is used for the ‘regulatory’ purpose. It is therefore essential that any such distinctions in meaning are clarified.
  - ① In regulatory terminology in some States, *radioactive material* ceases to be *radioactive material* when it becomes *radioactive waste*; the term *radioactive substance* is used to cover both, i.e. *radioactive substance* includes *radioactive material* and *radioactive waste*.
  - ① *Radioactive material* should be used in the singular unless reference is expressly being made to the presence of various types of *radioactive material*.
2. Any material containing radionuclides where both the *activity concentration* and the total *activity* in the *consignment* exceed the values specified in [Section IV of the Transport Regulations]. (From Ref. [2].)
- ! This usage is specific to the Transport Regulations, and should otherwise be avoided.

### **radioactive source**

See *source* (2).

### **radioactive sources, safety of**

See *safety of radioactive sources*.

### **radioactive substance**

See *radioactive* (1), *radioactive material* (1).

### **radioactive waste**

See *waste, radioactive*.

### **radioactive waste management**

See *waste management, radioactive*.

### **radioactive waste management facility**

See *waste management facility, radioactive*.

### **radioactivity**

The phenomenon whereby atoms undergo spontaneous random disintegration, usually accompanied by the emission of *radiation*.

- ! In *IAEA publications*, *radioactivity* should be used only to refer to the phenomenon.
- ! To refer to the physical quantity or to an amount of a *radioactive substance*, use *activity*.

## R

### radiological assessor

A person or team who in the event of a *nuclear or radiological emergency* assists the *operating organization* or *off-site response organizations* by performing radiological surveys, performing *dose assessments*, controlling *contamination*, ensuring the *radiation protection* of *emergency workers* and formulating recommendations on *protective actions* and *other emergency response actions*.

! The radiological assessor would generally be the *radiation protection officer*.

### radiological emergency

See *emergency*.

### [radiological material]

See *nuclear material* and *radioactive material*.

! Avoid this term.

### radiological medical practitioner

A *health professional* with specialist education and training in the medical uses of radiation, who is competent to perform independently or to oversee *radiological procedures* involving *medical exposure* in a given specialty.

- ① Competence of persons is normally assessed by the State by having a formal mechanism for registration, accreditation or *certification* of *radiological medical practitioners* in the given specialty (e.g. radiology, radiation therapy, nuclear medicine, dentistry, cardiology, etc.).
- ① States that have yet to develop such a mechanism need to assess the education, training and competence of any individual proposed by the *licensee* to act as a *radiological medical practitioner* and to decide, on the basis of either international standards or standards of a State where such a system exists, whether such an individual could undertake the functions of a *radiological medical practitioner*, within the required specialty.

### radiological procedure

A medical imaging procedure or therapeutic procedure that involves *ionizing radiation*, such as a procedure in diagnostic radiology, nuclear medicine or radiation therapy, or any planning procedure, image guided interventional procedure or other interventional procedure involving radiation, delivered by a *radiation generator*, by a device containing a *sealed source* or by an *unsealed source*, or by means of a radiopharmaceutical administered to a *patient*.

### radiological protection

See *protection* (1).

### radiological survey

See *survey*.

**[radionuclear]**

- ! 'Radionuclear' is not a legitimate word.

See *nuclear material* and *radioactive material*.

- ! *Radionuclear* has been used in nuclear medicine to mean 'involving the use of radionuclides'; thus '*radionuclear tests*' has been used in nuclear medicine to mean tests in which radiopharmaceuticals are administered. This usage is to be avoided.
- ! *Radionuclear* has also been used as a journalese shorthand form for 'nuclear and/or radiological', as in the terms '*radionuclear weapon*' and '*radionuclear emergency*'; or used for 'nuclear and/or *radioactive*', as in the term '*radionuclear material*'. These and other such usages are to be avoided.

**radionuclides of natural origin**

Radionuclides that occur naturally on Earth in significant quantities.

- ① The term is usually used to refer to the primordial radionuclides potassium-40, uranium-235, uranium-238, thorium-232 and their *radioactive* decay products.
- ① Contrasted with ***radionuclides of artificial origin***, anthropogenic radionuclides and human made radionuclides (which all mean the same), and also with artificial radionuclides (which exclude *radionuclides of artificial origin* that are also naturally occurring).
- ! *Radionuclides of artificial origin* may include radionuclides that are also naturally occurring but may not include *radionuclides of natural origin*.

**radiopharmacist**

A *health professional*, with specialist education and training in radiopharmacy, who is competent to prepare and dispense radiopharmaceuticals used for the purposes of medical diagnosis and radionuclide therapy.

- ① Competence of persons is normally assessed by the State by having a formal mechanism for registration, accreditation or *certification* of radiopharmacists.
- ① States that have yet to develop such a mechanism need to assess the education, training and competence of any individual proposed by the *licensee* to act as a radiopharmacist and to decide, on the basis of either international standards or standards of a State where such a system exists, whether such an individual could undertake the functions of a radiopharmacist.

**radon**

1. Any combination of isotopes of the element *radon*.

- ① For the purposes of the IAEA *safety standards*, *radon* refers to  $^{220}\text{Rn}$  and  $^{222}\text{Rn}$ .

2. [Radon-222.]

- ① [When contrasted with *thoron* (radon-220).]

**[radon progeny]**

The short lived *radioactive* decay products of radon-220 and radon-222.

## R

- ① For  $^{222}\text{Rn}$ , this includes the decay chain up to but not including  $^{210}\text{Pb}$ , namely  $^{218}\text{Po}$ ,  $^{214}\text{Pb}$ ,  $^{214}\text{Bi}$  and  $^{214}\text{Po}$ , plus traces of  $^{218}\text{At}$  and  $^{210}\text{Tl}$ . Lead-210, which has a *half-life* of 22.3 years, and its *radioactive* decay products —  $^{210}\text{Bi}$  and  $^{210}\text{Po}$ , plus traces of  $^{206}\text{Hg}$  and  $^{206}\text{Tl}$  — are, strictly, decay products of  $^{222}\text{Rn}$ , but they are not included in this listing because they will not normally be present in significant amounts in airborne form. For  $^{220}\text{Rn}$ , this includes  $^{216}\text{Po}$ ,  $^{212}\text{Pb}$ ,  $^{212}\text{Bi}$ ,  $^{212}\text{Po}$  and  $^{208}\text{Tl}$ .

### reactivity, $\rho$

For a nuclear chain reacting medium:

$$\rho = 1 - \frac{1}{k_{\text{eff}}}$$

where  $k_{\text{eff}}$  is the ratio between the number of fissions in two succeeding generations (later to earlier) of the chain reaction.

- ① A measure of the *deviation* from *criticality* of a nuclear chain reacting medium, such that positive values correspond to a supercritical state and negative values correspond to a subcritical state.

**shutdown reactivity.** The *reactivity* when all *control* devices are introducing their maximum negative *reactivity*.

- ① A reactor is shut down quickly by moving *control* devices rapidly into position to introduce their negative *reactivity* into the reactor core.

### recording level

See *level*.

### redundancy

Provision of alternative (identical or diverse) *structures, systems and components*, so that any single *structure, system or component* can perform the required function regardless of the state of *operation* or *failure* of any other.

### reference air kerma rate

See *kerma*.

### reference individual

An idealized human with characteristics defined by the International Commission on Radiological Protection for *radiation protection* purposes.

- ① Reference values for eight *reference individuals* — a newborn; a one year old; a five year old; a ten year old; male and female 15 year olds; and male and female adults — are given in Ref. [45].
- ① These reference values are based on data for western European and North American populations, but Ref. [45] also provides additional information on individual variation among grossly normal individuals resulting from differences in age, gender, ethnicity and other factors.
- ① This is a refinement of the *Reference Man* concept.



## reference level

See *level*.

## [Reference Man]

An idealized adult Caucasian human male defined by the International Commission on Radiological Protection for the purpose of *radiation protection assessment*.

See Ref. [46].

- ① Although *Reference Man* is now being superseded by the more general concept of the *reference individual* (see Refs [45, 47]), some concepts and quantities are still defined in terms of Reference Man.

## reference scenario

See *scenario*.

## referring medical practitioner

A *health professional* who, in accordance with national *requirements*, may refer individuals to a *radiological medical practitioner* for *medical exposure*.

## registrant

See *registration*.

## registration

A form of *authorization* for *facilities and activities* of low or moderate *risks* whereby the *person or organization* responsible for the *facility or activity* has, as appropriate, prepared and submitted a *safety assessment* of the *facilities* and equipment to the *regulatory body*.

- ① *Facilities and activities* are authorized with conditions or limitations as appropriate.
- ① The *requirements* for *safety assessment* and the conditions or limitations applied to the *facilities and activities* would be less severe for *registration* than those for issuing a *licence*.
- ① Typical *facilities and activities* that are amenable to *registration* are those for which: (a) *safety* can largely be ensured by the *design* of the *facilities* and equipment; (b) the *operating procedures* are simple to follow; (c) the *safety training requirements* are minimal; and (d) there is a history of few problems with *safety* in *operations*. *Registration* is best suited to those *facilities and activities* for which *operations* do not vary significantly.
- ① The holder of a current *registration* is termed a **registrant**. Other derivative terms should not be needed; a *registration* is a product of the *authorization process*, and a *facility or activity* with a current *registration* is an authorized *facility or activity*.



**[Regulatory Authority]**

An authority or authorities designated or otherwise recognized by a government for regulatory purposes in connection with *protection and safety*.

! Superseded by the term *regulatory body*, which should be used.

**regulatory body**

1. An authority or a system of authorities designated by the government of a State as having legal authority for conducting the regulatory *process*, including issuing *authorizations*, and thereby regulating the *safety* of *nuclear installations*, *radiation safety*, the *safety* of *radioactive waste management* and *safety* in the *transport* of *radioactive material*.

① The *regulatory body* is generally a national entity, established and empowered by law, whose organization, management, functions, processes, responsibilities and competences are subject to the *requirements* of IAEA *safety standards*.

① The national *competent authority* for the regulation of *safety* in the *transport* of *radioactive material* (see Ref. [2]) is included in this description, as is the *regulatory body* for *protection and safety*.

! Superseded the term *Regulatory Authority*, which should not be used.

2. [For each Contracting Party any body or bodies given the legal authority by that Contracting Party to grant licences and to regulate the siting, design, construction, commissioning, operation or decommissioning of nuclear installations.] (From Ref. [4].)

3. [Any body or bodies given the legal authority by the Contracting Party to regulate any aspect of the safety of spent fuel or radioactive waste management including the granting of licences.] (From Ref. [5].)

4. [An entity or organization or a system of entities or organizations designated by the government of a State as having legal authority for exercising regulatory control with respect to radioactive sources, including issuing authorizations, and thereby regulating one or more aspects of the safety or security of radioactive sources.] (From Ref. [13].)

**regulatory control**

See *control* (1).

**regulatory inspection**

See *inspection*.

**rehabilitation**

See *remediation*.

**relative biological effectiveness (RBE)**

A measure of the relative effectiveness of different *radiation* types at inducing a specified *health effect*, expressed as the inverse ratio of the *absorbed doses* of two different *radiation* types that would produce the same degree of a defined biological *end point*.

- ① Values of *relative biological effectiveness* in causing the development of *deterministic effects* are selected to be representative of the *severe deterministic effects* that are significant to *emergency preparedness and response*.

The tissue or organ specific and radiation type specific values of  $RBE_{T,R}$  for the development of selected *severe deterministic effects* are as shown in the following table.

Health effect	Critical tissue or organ	Exposure <sup>a</sup>	$RBE_{T,R}$
Haematopoietic syndrome	Red marrow	External and internal $\gamma$	1
		External and internal n	3
		Internal $\beta$	1
		Internal $\alpha$	2
Pneumonitis	Lung <sup>b</sup>	External and internal $\gamma$	1
		External and internal n	3
		Internal $\beta$	1
		Internal $\alpha$	7
Gastrointestinal syndrome	Colon	External and internal $\gamma$	1
		External and internal n	3
		Internal $\beta$	1
		Internal $\alpha$	0 <sup>c</sup>
Necrosis	Tissue <sup>d</sup>	External $\beta, \gamma$	1
		External n	3
Moist desquamation	Skin <sup>e</sup>	External $\beta, \gamma$	1
		External n	3
Hypothyroidism	Thyroid	Intake of iodine isotopes <sup>f</sup>	0.2
		Other thyroid seekers	1

<sup>a</sup> External  $\beta, \gamma$  exposure includes exposure due to bremsstrahlung produced within the material of the source.

<sup>b</sup> Tissue of the alveolar–interstitial region of the respiratory tract.

<sup>c</sup> For alpha emitters uniformly distributed in the contents of the colon, it is assumed that irradiation of the walls of the intestine is negligible.

<sup>d</sup> Tissue at a depth of 5 mm below the skin surface over an area of more than 100 cm<sup>2</sup>.

<sup>e</sup> Tissue at a depth of 0.4 mm below the skin surface over an area of more than 100 cm<sup>2</sup>.

<sup>f</sup> Uniform irradiation of the tissue of the thyroid gland is considered to be five times more likely to produce *deterministic effects* than internal exposure due to low energy beta emitting isotopes of iodine such as <sup>131</sup>I, <sup>129</sup>I, <sup>125</sup>I, <sup>124</sup>I and <sup>123</sup>I. Thyroid seeking radionuclides have a heterogeneous distribution in thyroid tissue. The isotope <sup>131</sup>I emits low energy beta particles, which leads to a reduced effectiveness of irradiation of critical thyroid tissue owing to the dissipation of the energy of the particles within other tissues.

### relative biological effectiveness (RBE) weighted absorbed dose, $AD_T$

See *dose quantities: absorbed dose*

### relative risk

See *risk* (3).

### release

The action or process of setting free or being set free, or of allowing or being allowed to move or flow freely.

## R

- ! *Release* is used in both a physical ‘scientific’ sense (see *discharge* (1)) and a ‘regulatory’ sense (see *clearance*), as well as in the usual sense of e.g. a release of energy.

### reliability

The probability that a *system* or *component* or an item will meet its minimum performance *requirements* when called upon to do so, for a specified period of time and under stated *operating conditions*.

- ① The *reliability* of a computer based system, for example, includes the *reliability* of hardware, which is usually quantified, and the reliability of software, which is usually a qualitative measure as there are no generally recognized means of quantifying the reliability of software.

See also *availability*.

### reliability centred maintenance (RCM)

See *maintenance*.

### relocation

The non-urgent removal or extended exclusion of people to avoid long term exposure (e.g. up to one year) from deposited *radioactive* material.

- ① *Relocation* is an *early protective action*. It may be a continuation of the *urgent protective action* of evacuation.

*Relocation* is considered to be ***permanent relocation*** (sometimes termed [***resettlement***]) if return is not foreseeable (typically if *relocation* continues for more than a year or two); otherwise it is ***temporary relocation***.

### [rem]

Unit of *dose equivalent* and *effective dose equivalent*, equal to 0.01 Sv.

- ① Superseded by the *sievert* (Sv).  
① Abbreviation of *röntgen* equivalent man.

### remedial action

The removal of a *source* or the reduction of its magnitude (in terms of activity or amount) for the purposes of preventing or reducing *exposures* that might otherwise occur in an *emergency* or in an *existing exposure situation*.

- ① *Remedial actions* could also be termed *early protective actions*, but *early protective actions* are not necessarily *remedial actions*.

See also *protective action*.

## remediation

Any measures that may be carried out to reduce the *radiation exposure* due to existing *contamination* of land areas through actions applied to the *contamination* itself (the *source*) or to the *exposure pathways* to people.

- ① Complete removal of the *contamination* is not implied.
- ① The use of the terms *cleanup*, *rehabilitation* and *restoration* is discouraged. Such terms may be taken to imply that the conditions that prevailed before the *contamination* can be achieved again and unconditional use of the land areas can be restored, which is not usually the case (e.g. owing to the effects of the *remedial action* itself). Often *remediation* is used to restore land areas to conditions suitable for limited use under *institutional control*.

See *decontamination*.

**remediation plan.** A document setting out the various *activities* and actions and the timescales necessary to apply the approach and to achieve the objectives of the **remediation strategy** in order to meet the legal and regulatory *requirements* for *remediation*.

## remedy

See *cause: root cause*.

## repair

Action on a non-conforming product to make it acceptable for its intended use (ISO 9000).

See also *cause: direct cause*.

## repository

- ① Synonymous with *disposal facility*.

## representative person

An individual receiving a *dose* that is representative of the *doses* to the more highly exposed individuals in a population.

- ① The *representative person* will generally be a hypothetical construct and not an actual member of the population. The concept is used to determine compliance or in prospective *assessments*.
- ① In estimating the *dose* to the *representative person*, a number of factors are taken into account for the population exposed: (i) all relevant *exposure pathways* for the *source* and all locations under consideration; (ii) the spatial distribution of radionuclides in the *environment*, to ensure that individuals with higher exposures are included; (iii) age dependent physiological parameters and information on diet, habits, residence and use of local resources; (iv) dosimetric *models* and appropriate dose coefficients.
- ① Application of the concept of a *representative person* to *potential exposures*, such as those that may occur in the future as a result of *radioactive waste disposal*, is complicated by the facts that both the *dose* (if it occurs) and the probability of receiving

the *dose* are relevant, and that these two parameters are essentially independent of one another.

- ① Hence, a population can be homogeneous with respect to *dose* but not *risk*, and, more importantly, vice versa.
- ① A possible approach is to define a *representative person* that is reasonably representative with respect to *risk*, and that is typical of those people who might be subject to the highest *risk*.
- ① ICRP Publication 101 [47] indicates that the *dose* to the *representative person* “is the equivalent of, and replaces, the mean *dose* in the ‘critical group’”, and provides guidance on assessing *doses* to the *representative person*.

See *member of the public*.

### reprocessing

A *process* or *operation*, the purpose of which is to extract *radioactive* isotopes from *spent fuel* for further use.

### requirement (safety)

That which is established or required by the Fundamental Safety Principles (IAEA Safety Fundamentals) [15] or IAEA Safety Requirements publications or by (national or international) laws or regulations.

- ! In *IAEA publications*, *requirement* (and ‘required’ and other words deriving from the verb ‘to require’) should be used in this sense only. Care should be taken to avoid confusion: the use of ‘requirement’ in the more general sense of something that is necessary should be avoided.
- ① *Requirements*, including numbered ‘overarching’ *requirements*, are expressed as ‘shall’ statements. Reported (quoted) *requirements*, e.g. in a Safety Guide, are reported using a formulation such as ‘it is required to...’.

### research reactor

[A nuclear reactor used mainly for the generation and utilization of neutron flux and *ionizing radiation* for research and other purposes, including experimental *facilities* associated with the reactor and *storage*, handling and *treatment facilities* for *radioactive materials* on the same site that are directly related to safe *operation* of the *research reactor*. *Facilities* commonly known as *critical assemblies* are included.]

- ! This definition is particular to the Code of Conduct on the Safety of Research Reactors [48].

### [resettlement]

See *relocation*.

### residual dose

See *dose concepts*.

### residual heat

The sum of the heat originating from *radioactive* decay and *shutdown* fission and the heat stored in reactor related structures and in heat *transport* media.

### response organization

An organization designated or recognized by a State as being responsible for managing or implementing any aspect of an *emergency response*.

- ① This also includes those organizations or services necessary to support the management and/or conduct of an *emergency response*, such as meteorological services.

### response spectrum

A curve calculated from an *accelerogram* that gives the value of peak response in terms of the acceleration, velocity or displacement of a damped single-degree-of-freedom linear oscillator (with a given damping ratio) as a function of its natural frequency or period of vibration.

***uniform hazard response spectrum.*** *Response spectrum* with an equal probability of exceedance for each of its spectral ordinates.

### response time (of a component)

The period of time necessary for a *component* to achieve a specified output state from the time that it receives a signal requiring it to assume that output state.

- ! Note that this is not related to *emergency response*.

### restoration

See *remediation*.

### restricted linear collision stopping power

See *linear energy transfer (LET)*.

### restricted use

See *use*.

### risk

- ! Depending on the context, the term *risk* may be used to represent a quantitative measure (as, for example, in definitions (1) and (2)) or as a qualitative concept (as often for definitions (3) and (4)).

**1.** A multiattribute quantity expressing *hazard*, danger or chance of harmful or injurious consequences associated with *exposures* or *potential exposures*. It relates to quantities such as the probability that specific deleterious consequences may arise and the magnitude and character of such consequences.

- ① In mathematical terms, this can be expressed generally as a set of triplets,  $R = \{S_i | p_i | X_i\}$ , where  $S_i$  is an identification or description of a *scenario*  $i$ ,  $p_i$  is the probability of that *scenario* and  $X_i$  is a measure of the consequence of the *scenario*. The



concept of *risk* is sometimes also considered to include uncertainty in the probabilities  $p_i$  of the *scenarios*.

2. The mathematical mean (expectation value) of an appropriate measure of a specified (usually unwelcome) consequence:

$$R = \sum_i p_i \cdot C_i$$

where  $p_i$  is the probability of occurrence of *scenario* or *event sequence*  $i$  and  $C_i$  is a measure of the consequence of that *scenario* or *event sequence*.

- ① Typical consequence measures  $C_i$  include core damage frequency, the estimated number or probability of *health effects*, etc.
  - ① If the number of *scenarios* or *event sequences* is large, the summation is replaced by an integral.
  - ! The summing of *risks* associated with *scenarios* or *event sequences* with widely differing values of  $C_i$  is controversial. In such cases the use of the term ‘expectation value’, although mathematically correct, is misleading and should be avoided if possible.
  - ① Methods for treating uncertainty in the values of  $p_i$  and  $C_i$  — and in particular whether such uncertainty is represented as an element of *risk* itself or as uncertainty in estimates of *risk* — vary.
3. The probability of a specified *health effect* occurring in a person or group as a result of *exposure to radiation*.
- ① The *health effect(s)* in question must be stated — e.g. *risk* of fatal cancer, *risk* of serious *hereditary effects* or overall *radiation detriment* — as there is no generally accepted ‘default’.
  - ① Commonly expressed as the product of the probability that *exposure* will occur and the probability that the *exposure*, assuming that it occurs, will cause the specified *health effect*.
  - ① The latter probability is sometimes termed the **conditional risk**.
- annual risk.** The probability that a specified *health effect* will occur at some time in the future in an individual as a result of *dose* received or dose committed in a given year, taking account of the probability of *exposure* occurring in that year.
- ! This is not the probability of the *health effect* occurring in the year in question; it is the *lifetime risk* resulting from the *annual dose* for that year.
- attributable risk.** The *risk* of a specified *health effect* assumed to result from a specified *exposure*.
- excess risk.** The difference between the incidence of a specified *stochastic effect* observed in an exposed group to that in an unexposed *control* group.
- lifetime risk.** The probability that a specified *health effect* will occur at some time in the future in an individual as a result of *radiation exposure*.
- relative risk.** The ratio between the incidence of a specified *stochastic effect* observed in an exposed group and that in an unexposed *control* group. (See *control* (2).)
4. **radiation risks.** See *radiation risks*.



**risk assessment**

See *assessment* (1).

**risk coefficient,  $\gamma$** 

The *lifetime risk* or *radiation detriment* assumed to result from *exposure* to unit *equivalent dose* or *effective dose*.

**risk constraint**

A prospective and *source* related value of individual *risk* that is used in *planned exposure situations* as a parameter for the *optimization of protection and safety* for the *source*, and that serves as a boundary in defining the range of options in *optimization*.

- ① The *risk constraint* is a *source* related value that provides a basic level of *protection* for the individuals most at *risk* from a *source*.
- ① This *risk* is a function of the probability of an unintended event causing a *dose*, and the probability of the detriment due to such a *dose*.
- ① *Risk constraints* correspond to *dose constraints* but apply to *potential exposure*.

**[risk factor]**

- ! Sometimes misused as a synonym for *risk coefficient*. This is different from the normal medical use of the term *risk factor* to indicate a factor that influences an individual's *risk*, and its use as a synonym for *risk coefficient* should be avoided.
- ! *Risk factor* should be used only in the medical sense.

**risk monitor**

A plant specific real time *analysis* tool used to determine the instantaneous *risk* based on the actual status of the *systems* and *components*.

- ① At any given time, the *risk monitor* reflects the current plant configuration in terms of the known status of the various *systems* and/or *components*, e.g. whether there are any *components* out of service for *maintenance* or tests.
- ① The *model* used by the *risk monitor* is based on, and is consistent with, the '*living*' *probabilistic safety assessment* for the *facility*.

**rock, igneous**

Rock that has formed from *magma*.

- ① Extruded *igneous rocks* (volcanic rocks) are typically divided into four basic types according to their SiO<sub>2</sub> content: basalt, andesite, dacite and rhyolite.

**[röntgen (R)]**

Unit of *exposure*, equal to  $2.58 \times 10^{-4}$  C/kg (exactly).

- ① Superseded by the SI unit C/kg.

## **R**

### **root cause**

See *cause*.

### **root uptake**

See *uptake* (1).

### **routine monitoring**

See *monitoring* (1).

### **runup**

A sudden surge of water up a beach or a structure.

## S

**safeguards agreement**

An agreement between the IAEA and one or more Member States which contains an undertaking by one or more of those States not to use certain items in such a way as to further any military purpose and which gives the IAEA the right to observe compliance with such undertaking. Such an agreement may concern:

- (a) An IAEA project;
- (b) A bilateral or multilateral arrangement in the field of nuclear energy under which the IAEA may be asked to administer safeguards; or
- (c) Any of a State's nuclear *activities* unilaterally submitted to IAEA safeguards.

**safety**

See (*nuclear*) *safety* and *protection and safety*.

- ① In the Fundamental Safety Principles (IAEA Safety Fundamentals), the generalized usage in this particular text of the term *safety* (i.e. to mean *protection and safety*) is explained as follows (Ref. [15], paras 3.1 and 3.2):

“3.1. For the purposes of this publication, ‘*safety*’ means the *protection* of people and the *environment* against *radiation risks*, and the *safety* of *facilities and activities* that give rise to *radiation risks*. ‘*Safety*’ as used here and in the IAEA *safety standards* includes the *safety* of *nuclear installations*, *radiation safety*, the *safety* of *radioactive waste management* and *safety* in the *transport* of *radioactive material*; it does not include non-radiation-related aspects of *safety*.

“3.2. *Safety* is concerned with both *radiation risks* under normal circumstances and *radiation risks* as a consequence of *incidents*<sup>4</sup>, as well as with other possible direct consequences of a loss of control over a nuclear reactor core, nuclear chain reaction, *radioactive source* or any other *source* of radiation. *Safety measures* include actions to prevent *incidents* and arrangements put in place to mitigate their consequences if they were to occur.”

“<sup>4</sup> ‘*Incidents*’ includes *initiating events*, *accident precursors*, *near misses*, *accidents* and unauthorized acts (including *malicious acts* and non-malicious acts).”

**safety action**

A single action taken by a *safety actuation system*.

- ① For example, insertion of a *control* rod, closing of *containment* valves or *operation* of the *safety* injection pumps.

**safety actuation system**

See *plant equipment*.

**safety analysis**

See *analysis*.

## S

### **safety assessment**

See *assessment* (1).

### **safety case**

A collection of arguments and evidence in support of the *safety* of a *facility or activity*.

- ① This will normally include the findings of a *safety assessment* and a statement of confidence in these findings.
- ① For a *disposal facility*, the *safety case* may relate to a given stage of development. In such cases, the *safety case* should acknowledge the existence of any unresolved issues and should provide guidance for work to resolve these issues in future development stages.

### **safety categorization**

For nuclear power plants, the categorization into a limited number of *safety categories* of the functions that are required for fulfilling the main *safety functions* in different *plant states*, including all modes of *normal operation*, on the basis of their safety significance.

See Refs [16, 46].

### **safety category**

See *safety categorization*.

### **safety class**

See *safety classification*.

### **safety classification**

For nuclear power plants, the assignment to a limited number of *safety classes* of *systems* and *components* and other items of equipment on the basis of their functions and their *safety* significance.

***safety class.*** For nuclear power plants, the classes into which *systems* and *components* and other items of equipment are assigned on the basis of their functions and their *safety* significance.

- ① The *design* is required to ensure in particular that any *failure* of *items important to safety* in a *system* in a lower *safety class* will not propagate to a *system* in a higher *safety class*. Items of equipment that perform multiple functions are required to be classified in a *safety class* that is consistent with the most important function performed by the items of equipment.

See Requirement 22 of Ref. [16] and para. 2.2 of Ref. [49].

### **safety committee**

A group of experts convened by the *operating organization* to advise on the *safety* of *operation* of an *authorized facility*.

### safety culture

The assembly of characteristics and attitudes in organizations and individuals which establishes that, as an overriding priority, *protection and safety issues* receive the attention warranted by their significance.

① For a more detailed discussion, see Ref. [50].

### safety feature (for design extension conditions)

See *plant states*.

### safety function

A specific purpose that must be accomplished for *safety* for a *facility* or *activity* to prevent or to mitigate radiological consequences of *normal operation*, *anticipated operational occurrences* and *accident conditions*. [49]

① Reference [16] establishes *requirements* on *safety functions* to be fulfilled by the *design* of a nuclear power plant in order to meet three general *safety requirements*:

- (a) The capability to safely shut down the reactor and maintain it in a safe *shutdown* condition during and after appropriate *operational states* and *accident conditions*;
- (b) The capability to remove *residual heat* from the reactor core, the reactor and *nuclear fuel* in storage) after *shutdown*, and during and after appropriate *operational states* and *accident conditions*;
- (c) The capability to reduce the potential for the *release* of *radioactive material* and to ensure that any *releases* are within *prescribed limits* during and after *operational states* and within *acceptable limits* during and after *design basis accidents*.

This guidance is commonly condensed into a succinct expression of three ***main safety functions*** for nuclear power plants:

- (a) *Control of reactivity*;
- (b) *Cooling of radioactive material*;
- (c) *Confinement of radioactive material*.

In earlier *IAEA publications*, ‘*basic safety function*’ and ‘*fundamental safety function*’ were also used.

### safety group

The assembly of equipment designated to perform all actions required for a particular *initiating event* to ensure that the *limits* specified in the *design basis* for *anticipated operational occurrences* and *design basis accidents* are not exceeded.

! The term ‘group’ is also used (with various qualifying adjectives, e.g. *maintenance group*, *commissioning group*) in the more obvious sense of a group of people involved in a particular area of work. Such terms may need to be defined if there is any chance of confusion with *safety group*.

### **safety indicator**

A quantity used in *assessments* as a measure of the radiological impact of a *source* or of a *facility or activity*, or of the performance of *protection and safety* provisions, other than a prediction of *dose* or *risk*.

- ① Such quantities are most commonly used in situations where predictions of *dose* or *risk* are unlikely to be reliable, e.g. long term *assessments* of *repositories*.
- ① They are normally either:
  - (a) Illustrative calculations of *dose* or *risk* quantities, used to give an indication of the possible magnitude of *doses* or *risks* for comparison with criteria; or
  - (b) Other quantities, such as radionuclide concentrations or fluxes, that are considered to give a more reliable indication of impact, and that can be compared with other relevant data.

### **safety issues**

*Deviations* from current *safety standards* or practices, or weaknesses in *facility design* or practices as identified by plant *events*, with a potential impact on *safety* because of their impact on *defence in depth*, *safety margins* or *safety culture*.

### **safety layers**

Passive *systems*, automatically or manually initiated *safety systems*, or administrative *controls* that are provided to ensure that the required *safety functions* are achieved.

- ① Often expressed as:
  - (a) Hardware, i.e. passive and active *safety systems*;
  - (b) Software, including personnel and *procedures* as well as computer software;
  - (c) Management *control*, in particular preventing *defence in depth* degradation (through *quality management*, *preventive maintenance*, *surveillance testing*, etc.) and reacting appropriately to experience feedback from degradations that do occur (e.g. determining *root causes* and taking corrective actions).

See also *defence in depth*.

### **safety limits**

See *limit*.

### **safety measure**

Any action that might be taken, condition that might be applied or *procedure* that might be followed to fulfil the *requirements* of Safety Requirements.

### **safety of radioactive sources**

[Measures intended to minimize the likelihood of accidents involving radioactive sources and, should such an accident occur, to mitigate its consequences.] (From Ref. [13].)

### **safety related item**

See *plant equipment*.

**safety related system**

See *plant equipment*.

**safety standards**

Standards of *safety* issued pursuant to Article III(A)(6)<sup>8</sup> of the Statute of the IAEA [39].

- ① *Requirements*, regulations, standards, rules, codes of practice or recommendations established to protect people and the *environment* against *ionizing radiation* and to minimize danger to life and property.
- ① *Safety standards* issued since 1997 in the IAEA Safety Standards Series are designated as Safety Fundamentals, Safety Requirements or Safety Guides.
- ① Some *safety standards* issued prior to 1997 in the (defunct) IAEA Safety Series were designated Safety Standards, Codes, Regulations or Rules.
- ① Furthermore, some publications issued in the (defunct) IAEA Safety Series were not *safety standards*, notably those designated Safety Practices or Procedures and Data.
- ① Other *IAEA publications*, such as Safety Reports and IAEA-TECDOCs (most of which are issued pursuant to Article VIII of the Statute), are not *safety standards*.

**safety system**

See *plant equipment*.

**safety system settings**

See *plant equipment*.

**safety system support features**

See *plant equipment*.

**safety task**

The sensing of one or more variables indicative of a specific *postulated initiating event*, the signal processing, the initiation and completion of the *safety actions* required to prevent the *limits* specified in the *design basis* from being exceeded, and the initiation and completion of certain services of the *safety system support features*.

**scenario**

A postulated or assumed set of conditions and/or *events*.

- ① Most commonly used in *analysis* or *assessment* to represent possible future conditions and/or *events* to be modelled, such as possible *accidents* at a *nuclear facility*, or the possible future evolution of a *disposal facility* and its surroundings. A *scenario* may

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<sup>8</sup> “[The Agency is authorized...] To establish or adopt, in consultation and, where appropriate, in collaboration with the competent organs of the United Nations and with the specialized agencies concerned, standards of safety for protection of health and minimization of danger to life and property (including such standards for labour conditions).”



represent the conditions at a single point in time or a single *event*, or a time history of conditions and/or *events* (including *processes*).

**reference scenario.** A hypothetical but possible evolution of a *disposal facility* and its surroundings on the basis of activities, such as construction work, mining or drilling, that have a high probability of being undertaken by people in the future and that could cause a *human intrusion* into the *disposal facility*, and which can be evaluated.

See *event*.

### **scram**

A rapid *shutdown* of a nuclear reactor in an *emergency*.

See *anticipated transient without scram (ATWS)*.

### **screening**

A type of *analysis* aimed at eliminating from further consideration factors that are less significant for *protection* or *safety* in order to concentrate on the more significant factors.

- ① This is typically achieved by consideration of very pessimistic hypothetical *scenarios*.
- ① *Screening* is usually conducted at an early stage in order to narrow the range of factors needing detailed consideration in an *analysis* or *assessment*.

### **screening distance value (SDV)**

The distance from a *facility* beyond which, for *screening* purposes, potential origins of a particular type of *external event* can be ignored.

### **screening probability level (SPL)**

A value of the annual probability of occurrence of a particular type of *event* below which, for *screening* purposes, such an *event* can be ignored.

### **seabed disposal**

See *disposal* (3).

### **sealed source**

See *source* (2).

### **[secondary limit]**

See *limit*.

### **security**

See *(nuclear) security*.

### **segregation**

1. See waste management, radioactive (1).

2. The physical separation of *structures, systems and components* by distance or by means of some form of *barrier* to reduce the likelihood of *common cause failures*.
3. Separation of *transport packages* from persons, undeveloped photographic film and dangerous goods and separation of *transport packages* containing *fissile material* from each other. (See Ref. [2].)

### **seismic qualification**

See *equipment qualification*.

### **seismogenic structure**

A structure that displays earthquake activity or that manifests historical surface rupture or the effects of *palaeoseismicity*, and is likely to generate macro-earthquakes within a time period of concern.

### **seismotectonic model**

See *model*.

### **self-assessment**

See *assessment* (2).

### **senior management**

See *management system review*.

### **sensitivity analysis**

See *analysis*.

### **service conditions**

Physical conditions prevailing or expected to prevail during the *service life* of a *structure, system or component*.

- ① *Service conditions* include environmental conditions (e.g. conditions of humidity and thermal, chemical, electrical, mechanical and radiological conditions), and *operating conditions* (conditions in *normal operation* and error induced conditions) and conditions during and after events.

### **service life**

See *life, lifetime*.

### **severe accident**

See *plant states (considered in design)*.

### **severe accident management**

See *plant states (considered in design)*.

## S

### severe deterministic effect

See *health effects (of radiation): severe deterministic effect*.

### sheltering

The short term use of a structure for *protection* from an airborne plume and/or deposited *radioactive* material.

- ① An *urgent protective action*, used to provide shielding against *external exposure* and to reduce the *intake* of airborne radionuclides by inhalation.

### shipment

The specific movement of a *consignment* from origin to destination. (From Ref. [2].)

### short lived waste

See *waste classes*.

### shutdown

The cessation of *operation* of a *facility*.

***permanent shutdown.*** The cessation of *operation* of a *facility* with no intention to recommence *operation* in the future.

- ① Between the *permanent shutdown* the *facility* and approval of the *decommissioning plan*, there may be a period of transition.
- ① During such a transition period, the *authorization* for *operation* of the *facility* remains in place unless the *regulatory body* has approved modifications to the *authorization* on the basis of a reduction in the hazards associated with the *facility*.
- ① During this transition period, some preparatory actions for *decommissioning* can be performed in accordance with the *authorization* for *operation* of the *facility* or a modified *authorization*.

### shutdown reactivity

See *reactivity*.

### sievert (Sv)

The SI unit of *equivalent dose* and *effective dose*, equal to 1 J/kg.

### significant transboundary release

A *release* of *radioactive material* to the *environment* that may result in *doses* or levels of *contamination* beyond national borders from the *release* which exceed *generic criteria* for *protective actions* and *other emergency response actions*, including *food* restrictions and restrictions on trade.

### single failure

A *failure* which results in the loss of capability of a single *system* or *component* to perform its intended *safety function(s)*, and any consequential *failure(s)* which result from it.

### single failure criterion

A criterion (or *requirement*) applied to a *system* such that it must be capable of performing its task in the presence of any *single failure*.

- ① To ensure that the *single failure criterion* is met, usually two or more independent (redundant) systems or trains are provided by design to achieve the same *safety function*.

***double contingency principle.*** A principle applied, for example, in the *design* of processes for *nuclear fuel cycle facilities*, such that the *design* for a *process* must include sufficient *safety* features that a *criticality accident* would not be possible unless at least two unlikely and independent changes in *process* conditions were to occur concurrently.

### site area

See *area*.

### site area emergency

See *emergency class*.

### site boundary

See *area: site area*.

### site characterization

See *characterization* (2).

### site confirmation (in the siting process for a disposal facility)

The final stage of the *siting process* for a *disposal facility*, based on detailed investigations on the preferred site which provide site specific information needed for *safety assessment*.

- ① This stage includes the finalization of the *design* for the *disposal facility* and the preparation and submission of a *licence* application to the *regulatory body*.
- ① *Site confirmation* follows *site characterization* for a disposal facility.

### site evaluation

*Analysis* of those factors at a site that could affect the *safety* of a *facility* or *activity* on that site.

- ① This includes *site characterization*, consideration of factors that could affect *safety* features of the *facility* or *activity* so as to result in a *release* of *radioactive material* and/or could affect the *dispersion* of such material in the *environment*, as well as

population and access issues relevant to *safety* (e.g. feasibility of *evacuation*, location of people and resources).

- ① The *analysis* for a site of the origins of *external events* that could give rise to hazards with potential consequences for the *safety* of a nuclear power plant constructed on that site.
- ① For a nuclear power plant, *site evaluation* typically involves the following stages:
  - (a) *Site selection* stage. One or more preferred candidate sites are selected after the investigation of a large region, the rejection of unsuitable sites, and *screening* and comparison of the remaining sites.
  - (b) *Site characterization* stage. This stage is further subdivided into:
    - *Site verification*, in which the suitability of the site to host a nuclear power plant is verified mainly according to predefined site *exclusion* criteria;
    - *Site confirmation*, in which the characteristics of the site necessary for the purposes of *analysis* and detailed *design* are determined.
  - (c) Pre-operational stage. Studies and investigations begun in the previous stages are continued after the start of *construction* and before the start of *operation* of the plant, to complete and refine the *assessment* of site characteristics. The site data obtained allow a final *assessment* of the simulation *models* used in the final *design*.
  - (d) Operational stage. Appropriate *safety* related *site evaluation activities* are carried out throughout the *lifetime* of the *facility*, mainly by means of *monitoring* and *periodic safety review*.

### site personnel

All persons working in the *site area* of an *authorized facility*, either permanently or temporarily.

### site (seismic) response

The behaviour of a rock column or soil column at a site under a prescribed ground motion load.

### site selection

See *siting*.

### site survey

See *siting*.

### siting<sup>6</sup>

The *process* of selecting a suitable site for a *facility*, including appropriate *assessment* and definition of the related *design bases*.

- ① The *siting process* for a *nuclear installation* generally consists of *site survey* and *site selection*.

**site survey.** The *process* of identifying candidate sites for a *nuclear installation* after the investigation of a large region and the rejection of unsuitable sites.

**site selection.** The *process* of assessing the remaining sites by *screening* and comparing them on the basis of *safety* and other considerations to select one or more preferred candidate sites.

See also *site evaluation*.

- ① The *siting process* for a *disposal facility* is particularly crucial to its long term *safety*; it may therefore be a particularly extensive *process*, and is divided into the following stages:
  - Concept and planning;
  - Area survey;
  - Site characterization;
  - Site confirmation.

### **SL-1, SL-2**

Levels of ground motion (representing the potential effects of earthquakes) considered in the *design basis* for a facility.

- ① *SL-1* corresponds to a less severe, more likely earthquake than *SL-2*.
- ① In some States, *SL-1* corresponds to a level with a probability of  $10^{-2}$  per year of being exceeded, and *SL-2* corresponds to a level with a probability of  $10^{-4}$  per year of being exceeded.

### **small freight container**

See *freight container*.

### **somatic effect**

See *health effects (of radiation)*.

### **sorption**

The interaction of an atom, molecule or particle with the solid surface at a solid–solution or a solid–gas interface.

- ① Used in the context of radionuclide *migration* to describe the interaction of radionuclides in pore- or groundwater with soil or host rock, and of radionuclides in surface water bodies with suspended and bed sediments.
- ① A general term which includes **absorption** (interactions taking place largely within the pores of solids) and **adsorption** (interactions taking place on solid surfaces).
- ① The *processes* involved can also be divided into **chemisorption** (chemical bonding with the substrate) and **physisorption** (physical attraction, e.g. by weak electrostatic forces).
- ① In practice, *sorption* may sometimes be difficult to distinguish from other factors affecting *migration*, such as filtration or *dispersion*.

## source

**1.** Anything that may cause *radiation exposure* — such as by emitting *ionizing radiation* or by releasing *radioactive substances* or *radioactive material* — and can be treated as a single entity for purposes of *protection and safety*.

① For example, materials emitting *radon* are *sources* in the *environment*; a sterilization gamma irradiation unit is a *source* for the *practice* of irradiation preservation of *food* and sterilization of other products; an X ray unit may be a *source* for the *practice* of radiodiagnosis; a nuclear power plant is part of the *practice* of generating electricity by nuclear fission, and may be regarded as a *source* (e.g. with respect to *discharges* to the *environment*) or as a collection of *sources* (e.g. for purposes of occupational *radiation protection*).

① A complex or multiple installation situated at one location or site may, as appropriate, be considered a single *source* for the purposes of application of *safety standards*.

***natural source.*** A naturally occurring *source* of *radiation*, such as the sun and stars (*sources* of cosmic *radiation*) and rocks and soil (terrestrial *sources* of *radiation*), or any other material whose *radioactivity* is for all intents and purposes due only to radionuclides of natural origin, such as products or residues from the processing of minerals; but excluding *radioactive material* for use in a *nuclear installation* and *radioactive waste* generated in a *nuclear installation*.

① Examples of *natural sources* include *naturally occurring radioactive material (NORM)* associated with the processing of raw materials (i.e. feedstocks, intermediate products, final products, co-products and *waste*).

***radiation generator.*** A device capable of generating *ionizing radiation*, such as X rays, neutrons, electrons or other charged particles, that may be used for scientific, industrial or medical purposes.

***radiation source.*** [A *radiation generator*, or a *radioactive source* or other *radioactive material* outside the *nuclear fuel cycles* of research and power reactors.]

! Defined in the 2001 edition of the Code of Conduct on the Safety and Security of Radioactive Sources, but not included in the 2004 edition (see Ref. [13]).

**2.** *Radioactive material* used as a *source* of *radiation*.

① Such as those *sources* used for medical applications or in industrial instruments. These are, of course, *sources* as defined in (1), but this usage in (2) is less general.

***dangerous source.*** A *source* that could, if not under *control*, give rise to *exposure* sufficient to cause *severe deterministic effects*.

! This categorization is used for determining the need for *emergency arrangements* and is not to be confused with categorizations of *sources* for other purposes.

① The term *dangerous source* relates to dangerous quantities of *radioactive material* (D values) as recommended in Ref. [51].

***disused source.*** A *radioactive source* that is no longer used, and is not intended to be used, for the practice for which an authorization has been granted. (From Ref. [13].)

! Note that a *disused source* may still represent a significant radiological *hazard*. It differs from a *spent source* in that it may still be capable of performing its function: it may be disused because it is no longer needed.



- ① The Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management [5] refers to “disused sealed sources”, but does not define them.

**disused sealed source.** A *radioactive source*, comprising *radioactive material* that is permanently sealed in a capsule or closely bonded and in a solid form (excluding reactor *fuel elements*), that is no longer used, and is not intended to be used, for the *practice* for which an *authorization* was granted.

- ① The definition is provided on the basis of the definition of *disused source* (see above) and the definition of *sealed source* (see below).

**orphan source.** A radioactive source which is not under regulatory control, either because it has never been under regulatory control or because it has been abandoned, lost, misplaced, stolen or otherwise transferred without proper authorization. (From Ref. [13].)

#### **radioactive source**

1. A *source* containing *radioactive material* that is used as a *source* of *radiation*.
2. [Radioactive material that is permanently sealed in a capsule or closely bonded and in a solid form and which is not exempt from regulatory control. This also includes any radioactive material released if the radioactive source is leaking or broken, but does not include material encapsulated for disposal, or nuclear material within the nuclear fuel cycles of research and power reactors.] (From Ref. [13].)

- ! This definition is particular to the Code of Conduct on the Safety and Security of Radioactive Sources [13].

**sealed source.** A *radioactive source* in which the *radioactive material* is (a) permanently sealed in a capsule or (b) closely bonded and in a solid form.

- ① The Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management definition [5] is “*Radioactive material* that is (a) permanently sealed in a capsule or (b) closely bonded and in a solid form, excluding reactor *fuel elements*.”

- ① The term *special form radioactive material*, used in the context of *transport* of *radioactive material*, has essentially the same meaning.

- ① *Disused sealed source*: see *Source: disused source*.

**spent source.** A *source* that is no longer suitable for its intended purpose as a result of *radioactive decay*.

- ! Note that a *spent source* may still represent a radiological *hazard*.

**unsealed source.** A *radioactive source* in which the *radioactive material* is neither (a) permanently sealed in a capsule nor (b) closely bonded and in a solid form.

**vulnerable source.** A *radioactive source* for which the *control* is inadequate to provide assurance of long term *safety* and *security*, such that it could relatively easily be acquired by unauthorized persons.

#### **source material**

Uranium containing the mixture of isotopes occurring in nature; uranium depleted in the isotope 235; thorium; any of the foregoing in the form of metal, alloy, chemical compound, or

## S

concentrate; any other material containing one or more of the foregoing in such concentration as the [IAEA] Board of Governors shall from time to time determine; and such other material as the [IAEA] Board of Governors shall from time to time determine. (From Ref. [39].)

See also *nuclear material*.

### **source monitoring**

See *monitoring* (1).

### **source region**

A region within the body containing one or more radionuclides.

- ① Used in internal dosimetry, e.g. for radionuclides irradiating a *target tissue*.

### **source term**

The amount and isotopic composition of *radioactive material* released (or postulated to be released) from a *facility*.

- ① Used in modelling *releases* of radionuclides to the *environment*, in particular in the context of *accidents* at *nuclear installations* or *releases* from *radioactive waste* in *repositories*.

### **special arrangement**

Those provisions, approved by the *competent authority*, under which *consignments* that do not satisfy all the applicable *requirements* of [the Transport] Regulations may be transported. (From Ref. [2].)

### **special facility**

A facility for which predetermined facility specific actions need to be taken if *urgent protective actions* are ordered in its locality in the event of a *nuclear or radiological emergency*.

- ① Examples include chemical plants that cannot be evacuated until certain actions have been taken to prevent fire or explosions and telecommunications centres that must be staffed in order to maintain telephone services.
- ① This is not necessarily a *facility* within the meaning of the term *facilities and activities*.

### **special fissionable material**

See *nuclear material* and *source material*.

### **special form radioactive material**

Either an indispersible solid *radioactive material* or a sealed capsule containing *radioactive material*. (From Ref. [2].)

### **special monitoring**

See *monitoring* (1).

### special population group

*Members of the public* for whom special arrangements are necessary in order for effective *protective actions* to be taken in the event of a *nuclear or radiological emergency*.

- ① Examples include disabled persons, hospital patients and prisoners.

### specific activity

See *activity* (1): *specific activity*.

### spent fuel

**1.** *Nuclear fuel* removed from a reactor following irradiation that is no longer usable in its present form because of depletion of *fissile material*, *poison* buildup or *radiation* damage.

**2.** [Nuclear fuel that has been irradiated in and permanently removed from a reactor core.] (From Ref. [5].)

- ① The participle ‘spent’ suggests that *spent fuel* cannot be used as *fuel* in its present form (e.g. as in *spent source*). In practice, however (as in (2) above), *spent fuel* is commonly used to refer to *fuel* that has been used as *fuel* but will no longer be used, whether or not it could be used (and that might more accurately be termed ‘disused *fuel*’).

### spent fuel management

All activities that relate to the handling or storage of spent fuel, excluding off-site transport. It may also involve discharges. (From Ref. [5].)

### spent fuel management facility

Any facility or installation the primary purpose of which is spent fuel management. (From Ref. [5].)

### spent source

See *source* (2).

### [stakeholder]

See *interested party*.

- ! The term *stakeholder* is used in the same broad sense as *interested party* and the same provisos are necessary. The term *stakeholder* has disputed usages and is misleading and too all-encompassing for clear use. In view of the potential for misunderstanding and misrepresentation, use of the term is discouraged in favour of *interested party*.

- ① To ‘have a stake in’ something, figuratively, means to have something to gain or lose by, or to have an interest in, the turn of events.

- ① The Handbook on Nuclear Law [32] states that: “Owing to the differing views on who has a genuine interest in a particular nuclear related activity, no authoritative definition of *stakeholder* has yet been offered, and no definition is likely to be accepted by all parties.

### standards dosimetry laboratory

A laboratory, designated by the relevant national authority, that possesses *certification* or accreditation necessary for the purpose of developing, maintaining or improving primary or secondary standards for radiation dosimetry.

### State of destination

A State to which a transboundary movement is planned or takes place. (From Ref. [5].)

### State of origin

A State from which a transboundary movement is planned to be initiated or is initiated. (From Ref. [5].)

### State of transit

Any State, other than a State of origin or a State of destination, through whose territory a transboundary movement is planned or takes place. (From Ref. [5].)

### stochastic analysis

See *probabilistic analysis*.

### stochastic effect

See *health effects (of radiation)*.

### storage

The holding of *radioactive sources*, *radioactive material*, *spent fuel* or *radioactive waste* in a *facility* that provides for their/its *containment*, with the intention of retrieval.

- ① Generalized from the Joint Convention on the Safety of Spent Fuel Management and on the Safety of Radioactive Waste Management [5], the Code of Conduct on the Safety and Security of Radioactive Sources [13] and Ref. [52].
- ! *Storage* is by definition an interim measure, and the term ***interim storage*** would therefore be appropriate only to refer to short term temporary *storage* when contrasting this with the longer term fate of the *waste*.
- ! *Storage* as defined above should not be described as *interim storage*.
- ! In many cases, the only element of this definition that is important is the distinction between *disposal* (with no intent to retrieve) and *storage* (with intent to retrieve).
- ① In such cases, a definition is not necessary; the distinction can be made in the form of a footnote at the first use of the term *disposal* or *storage* (e.g. “The use of the term *disposal* indicates that there is no intention to retrieve the *waste*. If retrieval of the *waste* at any time in the future is intended, the term *storage* is used.”).
- ① For *storage* in a combined *storage* and *disposal facility*, for which a decision may be made at the time of its *closure* whether to remove the *waste* stored during the *operation* of the *storage facility* or to dispose of it by encasing it in concrete, the question of intention of retrieval may be left open until the time of *closure* of the *facility*.

- ① Contrasted with *disposal*.

***dry storage.*** *Storage* in a gaseous medium, such as air or an inert gas.

- ① *Dry storage facilities* include *facilities* for the *storage* of *spent fuel* in casks, silos or vaults.

***wet storage.*** *Storage* in water or in another liquid.

- ① The universal mode of *wet storage* consists in storing *spent fuel* assemblies or *spent fuel elements* in pools of water or other liquids, usually supported on racks or in baskets and/or in *canisters* that also contain liquid.
- ① The liquid in the pool surrounding the *fuel* provides for heat dissipation and *radiation* shielding, and the racks or other devices ensure a geometrical configuration that maintains subcriticality.

### **strongly penetrating radiation**

See *radiation*.

### **structure**

See, *structures, systems and components*.

### **structures, systems and components (SSCs)**

A general term encompassing all of the elements (items) of a *facility* or *activity* that contribute to *protection and safety*, except *human factors*.

- ① *Human factors* may be reflected in *structures, systems and components* in so far as ergonomics — the study of people's efficiency in their work setting — is an element in their design.

See also *component, core components* and *system*.

***components.*** Discrete elements of a *system*.

- ① Examples of *components* are wires, transistors, integrated circuits, motors, relays, solenoids, pipes, fittings, pumps, tanks and valves.

***structures.*** Passive elements (e.g. buildings, vessels and shielding).

***systems.*** Several *components* assembled in such a way as to perform a specific (active) function.

### **sub-seabed disposal**

See *disposal* (1).

### **supervised area**

See *area*.

### **supplier (of a source)**

Any *person* or *organization* to whom a *registrant* or *licensee* delegates duties, totally or partially, in relation to the *design*, manufacture, production or *construction* of a *source*.

- ① An importer of a *source* is considered a *supplier* of the *source*.
- ① The term *supplier* (of a *source*) includes designers, manufacturers, producers, constructors, assemblers, installers, distributors, sellers, importers or exporters of a *source*.

### surface contaminated object (SCO)

A solid object that is not itself *radioactive* but which has *radioactive material* distributed on its surfaces. (From Ref. [2].)

! This usage is specific to the Transport Regulations, and should otherwise be avoided.

### surface faulting

Permanent offsetting or tearing of the ground surface by differential movement across a fault in an earthquake.

### surveillance

A type of *inspection* to verify the integrity of a *facility* or structure.

- ① For example, *surveillance* is used in the context of a *disposal facility* for *radioactive waste* to mean physical inspection of the *facility* to verify its integrity and the capability to protect and preserve passive *barriers*.

***surveillance testing.*** Periodic testing to verify that *structures, systems and components* continue to function or are capable of performing their functions when called upon to do so.

### survey

***area survey.*** An early stage of the *siting process* for a *disposal facility*, during which a broad region is examined to eliminate unsuitable areas and to identify other areas which may contain suitable sites.

- ① *Area survey* is followed by *site characterization*.
- ① *Area survey* may also refer to the *siting process* for any other *authorized facility*.

See also *site evaluation*, which includes *site characterization* and is not specific to a *disposal facility* site.

***habit survey.*** An evaluation of those aspects of the behaviour of *members of the public* that might influence their *exposure* — such as diet, *food* consumption rates or occupancy of different areas — usually aimed at characterizing the *representative person*.

### system

A set of *components* which interact according to a design, in which an element of the *system* can be another *system*, called a subsystem.

- ① Examples are mechanical *systems*, electrical *systems* and instrumentation and *control systems*.

See also *component* and *structures, systems and components*.

**system code**

A *computational model* that is capable of simulating the transient performance of a complex system such as a nuclear power plant.

- ① A *system code* typically includes equations for thermohydraulics, neutronics and heat transfer, and must include special *models* for simulating the performance of *components* such as pumps and separators.
- ① The *system code* typically also simulates the *control logic* employed in the plant and is able to predict the evolution of *accidents*.

**system code validation**

See *validation* (1).

**system code verification**

See *verification* (1).



**tailings**

The residues resulting from the processing of ore to extract *uranium series* or *thorium series* radionuclides, or similar residues from processing ores for other purposes.

**tank**

[A portable *tank* (including a *tank* container), a road *tank vehicle*, a rail *tank* wagon or a receptacle that contains solids, liquids or gases, having a capacity of not less than 450 L when used for the transport of gases.] (From Ref. [2].)

! This usage is specific to the Transport Regulations [2], and should otherwise be avoided.

**target tissue or organ**

The tissue or organ to which *radiation* is directed or the radiosensitive tissue or organ for which *dose* is assessed.

① Used in internal dosimetry, normally in relation to a *source region*.

**task related monitoring**

See *monitoring* (1).

**technological obsolescence**

See *ageing: non-physical ageing*.

**temporary relocation**

See *relocation*.

**therapeutic exposure**

See *exposure, categories of: medical exposure*.

**thorium series**

The decay chain of thorium-232.

① Namely, thorium-232, radium-228, actinium-228, thorium-228, radium-224, radon-220, polonium-216, lead-212, bismuth-212, polonium-212 (64%), thallium-208 (36%) and (stable) lead-208.

**[thoron]**

Radon-220.

! This usage is discontinued in the IAEA *safety standards* and should be avoided.

**[thoron progeny]**

The (short lived) *radioactive* decay products of radon-220.

- ! This usage is discontinued in the IAEA *safety standards* and should be avoided.
- ① Namely, polonium-216 (sometimes called thorium A), lead-212 (thorium B), bismuth-212 (thorium C), polonium-212 (thorium C', 64%) and thallium-208 (thorium C'', 36%). The stable decay product lead-208 is sometimes known as thorium D.

**‘through or into’**

Through the countries or into the countries in which a *consignment* is transported but specifically excluding countries ‘over’ which a consignment is carried by air, provided that there are no scheduled stops in those countries.

- ! This usage is specific to the Transport Regulations [2], and should otherwise be avoided.

**time based maintenance**

See *maintenance: periodic maintenance*.

**tissue equivalent material**

Material designed to have, when irradiated, interaction properties similar to those of soft tissue.

- ① Used to make phantoms, such as the *ICRU sphere*.
- ① The *tissue equivalent material* used in the *ICRU sphere* has a density of 1 g/cm<sup>3</sup> and an elemental composition, by mass, of 76.2% oxygen, 11.1% carbon, 10.1% hydrogen and 2.6% nitrogen, but materials of various other compositions (e.g. water) are considered suitable for particular applications [21].

The term *tissue substitute* is also used with the same meaning.

**tissue substitute**

See *tissue equivalent material*.

**tissue weighting factor,  $w_T$** 

Multiplier of the *equivalent dose* to a tissue or organ used for purposes of *radiation protection* to account for the different sensitivities of different tissues or organs to the induction of *stochastic effects* of radiation. [24]

## T

Recommended *tissue weighting factors* for calculating *effective dose* are given in the following table:

Tissue or organ	$w_T$	$\sum w_T$
Bone-marrow (red), colon, lung, stomach, breast, remainder tissues <sup>a</sup>	0.12	0.72
Gonads	0.08	0.08
Bladder, oesophagus, liver, thyroid	0.04	0.16
Bone surface, brain, salivary glands, skin	0.01	0.04
<i>Total</i>		1.00

<sup>a</sup> The  $w_T$  for remainder tissues (0.12) applies to the arithmetic mean dose to these 13 organs and tissues for each sex: adrenals, extrathoracic (ET) region, gall bladder, heart, kidneys, lymphatic nodes, muscle, oral mucosa, pancreas, prostate (male), small intestine, spleen, thymus, uterus/cervix (female).

### transboundary exposure

See *exposure situation*.

### transboundary movement

1. Any movement of *radioactive material* from one State *through or into* another.
2. [Any shipment of spent fuel or of radioactive waste from a State of origin to a State of destination.] (From Ref. [5].)

### transient population group

Those *members of the public* who are residing for a short period of time (days to weeks) in a location (such as a camping site) that can be identified in advance.

- ① This does not include *members of the public* who may be travelling through an area.

### transnational emergency

See *emergency*.

### transport

1. The deliberate physical movement of *radioactive material* (other than that forming part of the means of propulsion) from one place to another.

- ① The term ***transportation*** is also used, in particular in US English or where there is a need to distinguish this meaning of *transport* from meaning (2).

***international nuclear transport.*** [The carriage of a consignment of nuclear material by any means of transportation intended to go beyond the territory of the State where the shipment originates, beginning with the departure from a facility of the shipper in that State and ending with the arrival at a facility of the receiver within the State of ultimate destination.]. (From Refs [35–38].)

- ① The final act of the Convention on the Physical Protection of Nuclear Material and Nuclear Facilities was approved on 8 July 2005.

- ① More recent texts use the term *transboundary movement* for a similar concept.

**2.** The movement of something as a result of being carried by a medium.

- ① A general term used when a number of different *processes* are involved. The most common examples are heat *transport* — a combination of *advection*, convection, etc., in a cooling medium — and radionuclide *transport* in the *environment* — which could include *processes* such as *advection*, *diffusion*, *sorption* and *uptake*.

### **transport index (TI)**

A number assigned to a *package*, *overpack* or *freight container*, or to unpackaged *LSA-I* or *SCO-I*, that is used to provide *control* over *radiation exposure*. (From Ref. [2].)

- ① The value of the *transport index* for a *package* or *overpack* is used (together with the surface *dose rate*) in determining the category (I-WHITE, II-YELLOW or III-YELLOW) to which the *package* or *overpack* belongs, and hence which *requirements* are applicable to its *transport*.
- ① A *package* or *overpack* with a *transport index* higher than 10 can be transported only under *exclusive use*.
- ① The *procedure* for calculating a *transport index* is given in Section V of the Transport Regulations [2].
- ① In essence, the *transport index* is the maximum *dose rate* at 1 m from the outer surface of the load, expressed in mrem/h (or the value in mSv/h multiplied by 100), and in specified cases multiplied by a factor between 1 (for small sized loads) and 10 (for large sized loads). (See Ref. [2].)

### **transportation**

See *transport* (1).

### **treatment**

See *waste management, radioactive* (1).

### **Type A/B(U)/B(M)/C package**

See *package*.

**ultimate heat sink**

A medium into which the transferred *residual heat* can always be accepted, even if all other means of removing the heat have been lost or are insufficient.

- ① This medium is normally a body of water or the atmosphere.

**ultimate heat transport system**

The *systems* and *components* needed to transfer *residual heat* to the *ultimate heat sink* after *shutdown*.

**unattached fraction**

The fraction of *potential alpha energy* of *radon decay products* that arises from atoms that are not attached to ambient aerosol particles.

**uncertainty**

***uncertainty, aleatory.*** Uncertainty inherent in a phenomenon.

- ① *Aleatory uncertainty* (or stochastic uncertainty) is taken into account by representing a phenomenon in terms of a probability distribution *model*.
- ① *Aleatory uncertainty* is of relevance for *events* or phenomena that occur in a random manner, such as random *failures* of items of equipment. [9]

***uncertainty, epistemic.*** Uncertainty attributable to incomplete knowledge about a phenomenon, which affects the ability to model it.

- ① *Epistemic uncertainty* is reflected in a range of viable *models*, multiple expert interpretations and statistical confidence.
- ① *Epistemic uncertainty* is associated with the state of knowledge relating to a given problem under consideration. In any analysis or analytical *model* of a physical phenomenon, simplifications and assumptions are made. Even for relatively simple problems, a *model* may omit some aspects that are deemed unimportant to the solution.
- ① Additionally, the state of knowledge within the relevant scientific and engineering disciplines may be incomplete. Simplifications and incompleteness of knowledge give rise to uncertainties in the prediction of outcomes for a specified problem.

**uncertainty analysis**

See *analysis*.

**unilateral approval**

See *approval*.

**unirradiated fuel**

See *nuclear fuel*.

### unirradiated thorium

Thorium containing not more than  $10^{-7}$  g of uranium-233 per gram of thorium-232. (From Ref. [2].)

- ① Although the term *unirradiated thorium* is used, the issue is not really whether the thorium has been irradiated, but rather whether the content of uranium-233 (a *fissile material*) is significantly higher than the trace levels found in naturally occurring thorium.

! This usage is specific to the Transport Regulations [2].

### unirradiated uranium

Uranium containing no more than  $2 \times 10^3$  Bq of plutonium per gram of uranium-235, no more than  $9 \times 10^6$  Bq of *fission products* per gram of uranium-235 and not more than  $5 \times 10^{-3}$  g of uranium-236 per gram of uranium-235. (From Ref. [2].)

- ① Although the term *unirradiated uranium* is used, the issue is not really whether the uranium has been irradiated, but rather whether the content of plutonium (a *fissile material*) is significantly higher than the trace levels found in naturally occurring uranium.

! This usage is specific to the Transport Regulations [2].

### unrestricted linear energy transfer, *L*

See *linear energy transfer (LET)*.

### unrestricted use

See *use*.

### unsealed source

See *source (2)*.

### uptake

1. A general term for the *processes* by which radionuclides enter one part of a biological system from another.

- ① Used for a range of situations, in particular for describing the overall effect when there are a number of contributing *processes*; e.g. **root uptake**, the transfer of radionuclides from soil to plants through the plant roots.

2. The *processes* by which radionuclides enter the body fluids from the respiratory tract, gastrointestinal tract or through the skin, or the fraction of an *intake* that enters the body fluids by these *processes*.

- ① Also, the amount of material transferred from the site of *intake* to body organs or tissues.

## U

### uranium

**depleted uranium.** Uranium containing a lesser mass percentage of uranium-235 than is present in *natural uranium*. (From Ref. [2].)

! This usage is specific to the Transport Regulations [2].

**enriched uranium.** Uranium containing a higher mass percentage of uranium-235 than 0.72%. (From Ref. [2].)

! This usage is specific to the Transport Regulations [2].

**high enriched uranium (HEU).** Uranium containing 20% or more of the isotope  $^{235}\text{U}$ . HEU is considered a special fissionable material and a direct use material. (From Ref. [39].)

① That is, 20% or more by mass of the isotope  $^{235}\text{U}$ .

**low enriched uranium (LEU).** Enriched uranium containing less than 20% of the isotope  $^{235}\text{U}$ . LEU is considered a special fissionable material and an indirect use material. (From Ref. [40].)

① That is, less than 20% by mass of the isotope  $^{235}\text{U}$ .

**natural uranium.** Uranium (which may be chemically separated) containing the naturally occurring distribution of uranium isotopes (approximately 99.28% uranium-238 and 0.72% uranium-235 by mass). (From Ref. [2].)

! This usage is specific to the Transport Regulations [2].

① In all cases, a very small mass percentage of uranium-234 is present.

① The naturally occurring distribution of uranium isotopes including uranium-234 (approximately 99.285% uranium-238, 0.710% uranium-235, and 0.005% uranium-234 by mass) corresponds to approximately 48.9% uranium-234, 2.2% uranium-235 and 48.9% uranium-238 by *activity*.

### uranium enriched in the isotope uranium-235 or uranium-233

Uranium containing the isotope uranium-235 or uranium-233 or both in an amount such that the abundance ratio of the sum of these isotopes to the isotope 238 is higher than the ratio of the isotope uranium-235 to the isotope uranium-238 occurring in nature (From Refs [35–39]).

① The final act of the Convention on the Physical Protection of Nuclear Material and Nuclear Facilities was approved on 8 July 2005.

### uranium series

The decay chain of uranium-238.

① Namely, uranium-238, thorium-234, protactinium-234, uranium-234, thorium-230, radium-226, radon-222, polonium-218, lead-214, bismuth-214 and polonium-214, lead-210, bismuth-210, polonium-210 and (stable) lead-206, plus traces of astatine-218, thallium-210, lead-209, mercury-206 and thallium-206.

### urgent protective action

See *protective action* (1).



## urgent protective action planning zone (UPZ)

See *emergency planning zones*.

## use

**authorized use.** Use of *radioactive material* or *radioactive* objects from an authorized *facility or activity* in accordance with an *authorization*.

- ① Intended primarily for contrast with *clearance*, in that *clearance* implies no further *regulatory control* over the use, whereas the *authorization* for *authorized use* may prescribe or prohibit specific uses.

- ① A form of *restricted use*.

**restricted use.** The use of an area or of materials subject to restrictions imposed for reasons of *radiation protection and safety*.

- ① Restrictions would typically be expressed in the form of prohibition of particular *activities* (e.g. house building, growing or harvesting particular *foods*) or prescription of particular *procedures* (e.g. materials may only be recycled or reused within a *facility*).

**unrestricted use.** The use of an area or of material without any radiologically based restrictions.

- ! There may be other restrictions on the use of the area or material, such as planning restrictions on the use of an area of land or restrictions related to the chemical properties of a material.
- ! In some situations, these restrictions could, in addition to their primary intended effect, have an incidental effect on *radiation exposure*, but the use is classified as *unrestricted use* unless the primary reason for the restrictions is radiological.

- ① *Unrestricted use* is contrasted with *restricted use*.

## validation

1. The *process* of determining whether a product or service is adequate to perform its intended function satisfactorily.

① *Validation* (typically of a *system*) concerns checking against the specification of *requirements*, whereas *verification* (typically of a design specification, a test specification or a test report) relates to the outcome of a *process*.

① *Validation* may involve a greater element of judgement than *verification*.

**computer system validation.** The *process* of testing and evaluating the integrated computer *system* (hardware and software) to ensure compliance with the functional, performance and interface *requirements*.

**model validation.** The *process* of determining whether a *model* is an adequate representation of the real *system* being modelled, by comparing the predictions of the *model* with observations of the real *system*.

① Usually contrasted with *model verification*, although *verification* will often be a part of the broader *process* of *validation*.

① Modelling the behaviour of an engineered system in a geological *disposal facility* involves temporal scales and spatial scales for which no comparisons with system level tests are possible: *models* cannot be ‘validated’ for that which cannot be observed.

① ‘*Model validation*’ in these circumstance implies showing that there is a basis for confidence in the *model(s)* by means of detailed external reviews and comparisons with appropriate field and laboratory tests, and comparisons with observations of tests and of analogous materials, conditions and geologies at the process level.

① What is typically required by *regulatory bodies* is that such *models* of the behaviour of engineered systems in a geological *disposal facility* be shown to be ‘fit for purpose’; this is typically called ‘*validation*’ in national regulations.

**system code validation.** Assessment of the *accuracy* of values predicted by the *system code* against relevant experimental data for the important phenomena expected to occur.

**accuracy.** In this context, the known bias between the prediction of a *system code* and the actual performance in transients of a *facility*.

2. Confirmation by examination and by means of objective evidence that specified objectives have been met and specified *requirements* for a specific intended purpose and use or application have been fulfilled.

See *verification*.

① The corresponding status is termed ‘validated’.

① *Validation* typically entails the *assessment* of a final product against its specified objectives and specified *requirements*.

① The conditions of use for *validation* purposes may be real or simulated.

**system validation.** Confirmation by examination and provision of evidence that a *system* fulfils in its entirety the specification of *requirements* as intended (e.g. *validation* of an

instrumentation and *control system* in terms of functionality, response time, fault tolerance and robustness).

3. A means of *multilateral approval* of a *transport package design* or *shipment*, whereby an endorsement on the original *certificate* or the issuance of a separate endorsement, annex, supplement, etc., is produced by the *competent authority* of the country *through or into* which the *shipment* is made. (See Ref. [2].)

### vehicle

A road *vehicle* (including an articulated *vehicle*, i.e. a tractor and semi-trailer combination) or railroad car or railway wagon. Each trailer shall be considered a separate *vehicle*. (From Ref. [2].)

- ! This usage is specific to the Transport Regulations [2], and should otherwise be avoided.

### vendor

A *design*, contracting or manufacturing organization supplying a service, *component* or *facility*.

### vent, volcanic

An opening in the *Earth's crust* where volcanic products (e.g. *lava*, solid rock, gas, liquid water) is erupted.

- ① *Vents* may be either circular structures (i.e. craters) or elongate fissures or fractures, or small cracks in the ground.

### verification

1. The *process* of determining whether the quality or performance of a product or service is as stated, as intended or as required.

- ① *Verification* is closely related to *quality management* and *quality control*.

**computer system verification.** The *process* of ensuring that a phase in the computer system life cycle meets the *requirements* imposed on it by the previous phase.

**model verification.** The *process* of determining whether a *computational model* correctly implements the intended *conceptual model* or *mathematical model*.

**system code verification.** Review of source coding in relation to its description in the *system code* documentation.

See also *site verification*.

2. Confirmation by examination and by means of objective evidence that specified objectives have been met and specified *requirements* for specific results have been fulfilled.

See *validation*.

- ① The corresponding status is termed 'verified'.

- ① *Verification* typically entails the *assessment* of the results of an individual activity against its inputs.

- ① *Verification* may comprise *activities* such as: performing alternative calculations; comparing a new *design* specification with a similar proven *design* specification; undertaking tests and demonstrations; and reviewing documents prior to issue.

### very low level waste (VLLW)

See *waste classes*.

### vessel (for carrying cargo)

Any seagoing *vessel* or inland waterway craft used for carrying cargo. (From Ref. [2].)

- ! This restrictive use of the term *vessel* in relation to the *transport* of *radioactive material* does not apply in other areas of *safety*; e.g. a reactor pressure vessel is a vessel as usually understood.

### volcanic activity

A feature or process on a *volcano* or within a volcanic field that is linked to the presence of *magma* and heat gases emanating from the Earth and their interaction with nearby crustal rocks or groundwater.

- ① *Volcanic activity* includes seismicity, fumarolic activity, high rates of heat flow, emission of ground gases, thermal springs, deformation, ground cracks, pressurization of aquifers and ash venting. The term includes volcanic unrest and *volcanic eruption*.

### volcanic earthquake

A seismic event caused by, and directly associated with, processes in a *volcano*.

- ① *Volcanic earthquakes* and seismic activity come in many forms and types (e.g. *volcano-tectonic* earthquakes, long period events, hybrid events, tremors, swarms) before, during and after *eruptions*, and their characteristics and patterns are used to infer what is happening within the *volcano* at different times.
- ① Seismic monitoring is the most fundamental method used for forecasting the onset of an *eruption* and for assessing the potential for *volcanic eruption*.
- ① Increasing seismicity, continuous tremor, shift in *hypocentres* towards the surface with time and the occurrence of shallow long period (or low frequency) events imply a high possibility that the onset of *eruption* is very close. Tremors can also continue through *eruptions*.

### volcanic event

Any occurrence, or sequence of phenomena, associated with volcanoes that may give rise to volcanic hazards.

- ① *Volcanic events* may be formally defined as part of a *hazard assessment* in order to provide meaningful definition of repose intervals and hazards.
- ① *Volcanic events* may include *eruptions* and will typically include the occurrence of non-eruptive hazards, such as landslides.

### volcanic field

Any spatial cluster of volcanoes.

Also termed *volcano group*.

- ① *Volcanic fields* range in size from a few volcanoes to over 1000 volcanoes.
- ① Volcanic fields may consist of monogenetic volcanoes (e.g. the Cima volcanic field, United States of America), or both polygenetic and monogenetic volcanoes (e.g. the Kluchevskoy *volcano group*, Russian Federation).

### volcanic hazard

A volcanic process or phenomenon that can have an adverse effect on people or infrastructure.

- ① In the more restricted context of *risk assessment*, it is the probability of occurrence, within a specific period of time in a given area, of a potentially damaging *volcanic event* of a given intensity value (e.g. thickness of tephra fallout).

### volcanic unrest

*Variation* in the nature, intensity, spatio-temporal distribution and chronology of geophysical, geochemical and geological activity and phenomena as observed and recorded on a *volcano*, from a baseline level of activity known for this *volcano* or for other similar *volcanoes* outside periods of eruptive activity.

- ① *Volcanic unrest* can be precursory and can culminate in an *eruption*, although in most cases, rising *magma* or pressurized fluids that cause unrest do not breach the surface and erupt.

### volcano

A naturally occurring *vent* at the Earth's surface through which *lava*, solid rock and associated gases and liquid water can erupt.

- ① The edifice that is built by the explosive or effusive accumulation of these products over time is also a *volcano*.

***volcano, capable.*** A *volcano* that has a credible likelihood of undergoing future activity and producing hazardous phenomena, including non-eruptive phenomena, during the *lifetime* of a *nuclear installation* concerned, and which may potentially affect the site.

- ① Hierarchical criteria for determining whether a *volcano* or *volcanic field* is a *capable volcano* or a ***capable volcanic field*** are: (i) evidence of contemporary volcanic activity or active near surface processes associated with magmatism for any *volcano* in the geographical region, (ii) Holocene volcanic activity for any *volcano* within the geographical region and (iii) some evidence of potential for activity, such as recurrence rates of volcanism greater than  $10^{-7}$  per year, and the potential to produce hazardous phenomena that may affect the site vicinity [53].

***volcano, Holocene.*** A *volcano* or volcanic field that has erupted within the past 10,000 years (the *Holocene*).

- ① Reported historical activity and radiometric dating of volcanic products provide the most direct evidence of *volcanic eruptions* within the *Holocene*.

- ① In some circumstances, especially in the early stages of site investigation, the exact age of the most recent volcanic products may be difficult to determine.
- ① In such circumstances, additional evidence may be used to judge a *volcano* as *Holocene* (e.g. by following the methods used by the Smithsonian Institution, United States of America).
- ① Such evidence includes: (i) volcanic products overlying latest Pleistocene glacial debris, (ii) youthful volcanic landforms in areas where erosion would be expected to be pronounced after many thousands of years, (iii) vegetation patterns that would have been far richer if the volcanic substrates were more than a few thousand (or hundred) years old and (iv) ongoing fumarolic degassing, or the presence of a hydrothermal system at the *volcano*.
- ① In addition, some *volcanoes* may be denoted as ***Holocene(?) volcanoes*** if authorities disagree over the existence of *Holocene* volcanism, or when the original investigator expresses uncertainty about the most reliable age estimate of the most recent *eruption*.
- ① Under these circumstances, it is reasonable to consider such *volcanoes* to be *Holocene* and to proceed with the *hazard assessment*.

### **volcano explosivity index (VEI)**

A classification scheme for the explosive magnitude of an *eruption*, primarily defined in terms of the total volume of erupted tephra, but in some cases the height of the *eruption* column and the duration of continuous *explosive eruption* are used to determine the VEI value.

- ① The VEI varies from VEI 0 (non-*explosive eruption*, less than  $10^4 \text{ m}^3$  tephra ejected) to VEI 8 (largest *explosive eruption* identified in the geological record, more than  $10^{12} \text{ m}^3$  tephra ejected).
- ① A unit of increasing explosivity on the VEI scale generally corresponds to an increase in volume of erupted tephra by a factor of ten.
- ① The only exception is the transition from VEI 0 to VEI 1, which represents an increase in the volume of tephra erupted by a factor of one hundred.

### **volcano generated missile**

A pyroclastic particle, often of large size, that is forcefully ejected, follows a high angle trajectory from the *volcanic vent* to the surface as a result of explosive activity at the *vent* and falls under gravity.

- ① *Volcano generated missiles* can be of any material, such as rock fragments, trees and structural debris, that is rapidly transported by flow phenomena with significant momentum and that may impact structures, causing considerable damage, even beyond the extent of the main flow itself.

### **volcano monitoring**

Geophysical, geochemical and geological monitoring to evaluate the potential for a forthcoming *eruption*, forecast the onset of *eruption*, understand an ongoing *eruption* and evaluate the potential *volcanic hazards* arising from an *eruption*.

- ① Instruments such as seismometers, global positioning system receivers, tiltmeters, magnetometers, gas sensors, cameras and/or related instruments are installed on and around the *volcano* to evaluate *volcanic activity*, identify volcanic unrest and evaluate the potential for *volcanic eruption*.
- ① Remote sensing by satellite is sometimes very effective in monitoring temporal thermal, topographical and geological changes in *volcanoes*.

**volume reduction**

See *waste management, radioactive* (1).

**vulnerable source**

See *source* (2).



**warning point**

A designated organization to act as a point of contact that is staffed or able to be alerted at all times for promptly responding to, or initiating a response to, an incoming *notification* (definition (2)), warning message, request for assistance or request for *verification* of a message, as appropriate, from the IAEA.

**waste**

Material for which no further use is foreseen.

**exempt waste.** Waste from which *regulatory control* is removed in accordance with *exemption* principles.

① This is waste that meets the criteria for *clearance*, *exemption* or *exclusion* from *regulatory control* for *radiation protection* purposes as described in Refs [12, 51].

! This is therefore not *radioactive waste*.

**[mining and milling waste (MMW).** Waste from *mining and milling*.]

① This includes *tailings* from processing, residues from heap leaching, waste rock, sludges, filter cakes, scales and various effluents.

See also [*mining and milling*].

**mixed waste.** *Radioactive waste* that also contains non-*radioactive* toxic or hazardous substances.

**NORM waste.** *Naturally occurring radioactive material (NORM)* for which no further use is foreseen.

**radioactive waste.** See *waste, radioactive*.

**secondary waste.** *Radioactive waste* resulting as a byproduct from the processing of primary *radioactive waste*.

**waste, radioactive**

1. For legal and regulatory purposes, *waste* that contains, or is contaminated with, radionuclides at *activity concentrations* greater than *clearance levels* as established by the *regulatory body*.

① In effect, *radioactive material* in gaseous, liquid or solid form for which no further use is foreseen.

! It should be recognized that this definition is purely for regulatory purposes, and that material with *activity concentrations* equal to or less than *clearance levels* is *radioactive* from a physical viewpoint, although the associated radiological hazards are considered negligible.

See *radioactive*, *radioactive material*, *radioactive substance*.

① *Waste* should be used in the singular unless reference is expressly being made to the presence of various types of *waste*.

2. [Radioactive material in gaseous, liquid or solid form for which no further use is foreseen by the Contracting Party or by a natural or legal person whose decision is accepted by the Contracting Party, and which is controlled as radioactive waste by a regulatory body under the legislative and regulatory framework of the Contracting Party.] (From Ref. [5].)

### **waste acceptance criteria**

Quantitative or qualitative criteria specified by the *regulatory body*, or specified by an *operator* and approved by the *regulatory body*, for the *waste form* and *waste package* to be accepted by the *operator* of a *waste management facility*.

- ① *Waste acceptance criteria* specify the radiological, mechanical, physical, chemical and biological characteristics of *waste packages* and *unpackaged waste*.
- ① *Waste acceptance criteria* might include, for example, restrictions on the *activity concentration* or total *activity* of particular radionuclides (or types of radionuclide) in the *waste*, on their heat output or on the properties of the *waste form* or of the *waste package*.
- ① *Waste acceptance criteria* are based on the *safety case* for the *facility* or are included in the *safety case* as part of the *operational limits and conditions* and controls.
- ① *Waste acceptance criteria* are sometimes referred to as ‘waste acceptance requirements’.

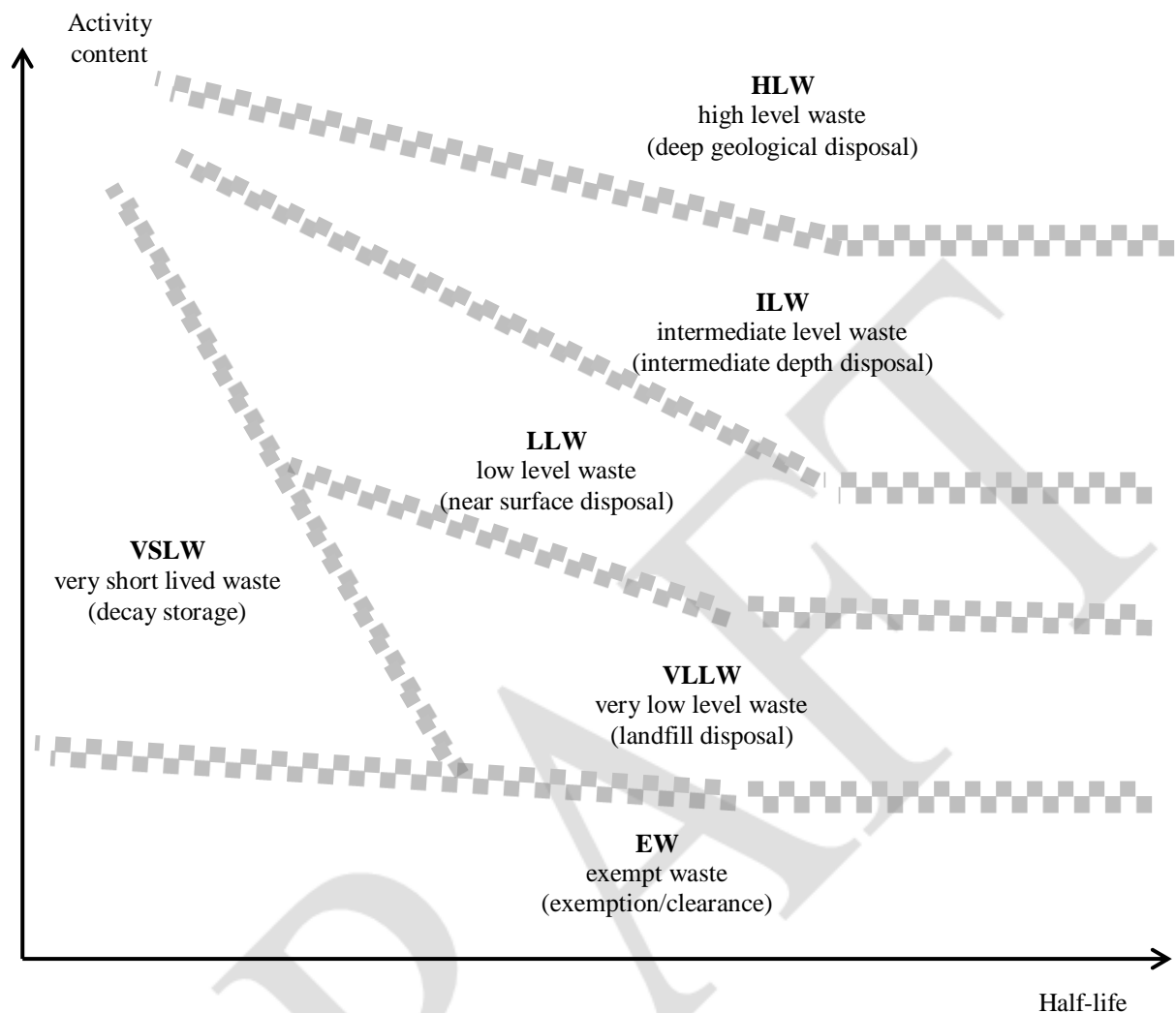
### **waste canister**

See *container, waste*.

### **waste characterization**

See *characterization* (2).

## waste classes



- ① *Waste classes* are those recommended in Ref. [54].
- ① This classification system is organized to take into account matters considered of prime importance for the *safety of disposal of radioactive waste*.
- ① The term ‘activity content’ is used because of the generally heterogeneous nature of *radioactive waste*; it is a generic term that covers *activity concentration*, *specific activity* and *total activity*.
- ① The other classes listed below (in square brackets) are sometimes used, e.g. in national classification systems, and are mentioned here to indicate how they typically relate to the classes in Ref. [54].
- ① Other systems classify *waste* on other bases, such as according to its origin (e.g. *reactor operations waste*, *reprocessing waste*, *decommissioning waste* and *defence waste*).

***exempt waste.*** See *waste*.

**[heat generating waste (HGW)].** *Radioactive waste* that is sufficiently *radioactive* that the decay heat significantly increases its temperature and the temperature of its surroundings.

- ① In practice, *heat generating waste* is usually *high level waste*, although some types of *intermediate level waste* may qualify as *heat generating waste*.

**high level waste (HLW).** The *radioactive* liquid containing most of the *fission products* and actinides present in *spent fuel* — which forms the residue from the first solvent extraction cycle in *reprocessing* — and some of the associated *waste* streams; this material following solidification; *spent fuel* (if it is declared as *waste*); or any other *waste* with similar radiological characteristics.

- ① Typical characteristics of *high level waste* are concentrations of long lived radionuclides exceeding the limitations for *short lived waste* [54].
- ① This is *waste* with levels of *activity concentration* high enough to generate significant quantities of heat by the *radioactive* decay process or *waste* with large amounts of long lived radionuclides that need to be considered in the design of a *disposal facility* for such *high level waste*.
- ① *Disposal* in deep, stable geological formations usually several hundred metres or more below the surface is the generally recognized option for the *disposal* of *high level waste*.

**intermediate level waste (ILW).** *Radioactive waste* that, because of its content, in particular its content of long lived radionuclides, requires a greater degree of *containment* and *isolation* than that provided by *near surface disposal*.

- ① Typical characteristics of *intermediate level waste* are levels of *activity concentration* above clearance levels.
- ① However, *intermediate level waste* needs no provision, or only limited provision, for heat dissipation during its *storage* and *disposal* [54].
- ① *Intermediate level waste* may contain long lived radionuclides, in particular, alpha emitting radionuclides that will not decay to a level of *activity concentration* acceptable for *near surface disposal* during the time for which *institutional controls* can be relied upon.
- ① *Waste* in this class may therefore require *disposal* at greater (intermediate) depths, of the order of tens of metres to a few hundred metres or more.
- ① *Intermediate level waste* may be so classified on the basis of *waste acceptance criteria* for *near surface disposal facilities*.

**long lived waste.** *Radioactive waste* that contains significant levels of radionuclides with a *half-life* greater than 30 years.

- ① Typical characteristics are long lived radionuclide concentrations exceeding the limitations for *short lived waste* [54].

**low level waste (LLW).** *Radioactive waste* that is above *clearance* levels, but with limited amounts of long lived radionuclides.

- ① *Low level waste* covers a very broad range of waste. Typical characteristics of *low level waste* are levels of *activity concentration* above clearance levels.

① *Low level waste* may include short lived radionuclides at higher levels of *activity concentration*, and also long lived radionuclides, but only at relatively low levels of *activity concentration* that require only the levels of *containment* and *isolation* provided by a *near surface disposal facility* [54].

① *Low level waste* requires robust *containment* and *isolation* for periods typically of up to a few hundred years and is suitable for *disposal* in engineered *near surface disposal facilities*.

① *Low level waste* may be so classified on the basis of *waste acceptance criteria* for *near surface disposal facilities*.

**short lived waste.** *Radioactive waste* that does not contain significant levels of radionuclides with a *half-life* greater than 30 years.

① Typical characteristics are restricted long lived radionuclide concentrations (limitation of long lived radionuclides to 4000 Bq/g in individual *waste packages* and to an overall average of 400 Bq/g per *waste package*); see para. 2.27 of Ref. [54].

**very low level waste (VLLW).** *Radioactive waste* that does not necessarily meet the criteria of *exempt waste*, but that does not need a high level of *containment* and *isolation* and, therefore, is suitable for *disposal* in landfill type *near surface repositories* with limited *regulatory control*.

① Such landfill type *near surface repositories* may also contain other hazardous waste: typical *waste* in this class includes soil and rubble with low levels of *activity concentration*.

① Concentrations of longer lived radionuclides in *very low level waste* are generally very limited [12, 51].

① This is a category used in some Member States; in others there is no such category, as no *radioactive waste* at all may be disposed of in this way, however low level it is.

**very short lived waste.** *Radioactive waste* that can be stored for decay over a limited period of up to a few years and subsequently cleared from *regulatory control* according to arrangements approved by the *regulatory body*, for uncontrolled *disposal*, use or *discharge* [12, 51].

① This class includes *radioactive waste* containing primarily radionuclides with very short half-lives often used for research and medical purposes.

### waste conditioning

See *waste management, radioactive* (1).

### waste container

See *container, waste*.

### waste disposal

See *disposal*.

### waste form

*Waste* in its physical and chemical form after *treatment* and/or *conditioning* (resulting in a solid product) prior to *packaging*.

① The *waste form* is a *component* of the *waste package*.

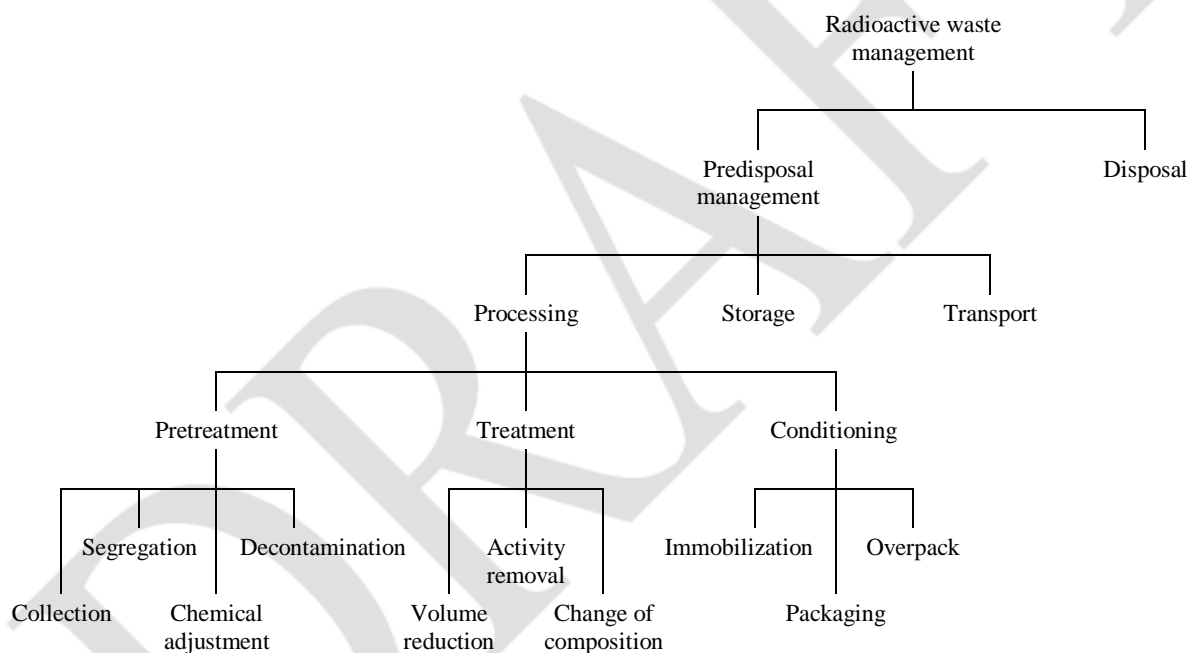
### waste generator

The *operating organization* of a *facility* or *activity* that generates *waste*.

! For convenience, the scope of the term *waste generator* is sometimes extended to include whoever currently has the responsibilities of the *waste generator* (e.g. if the actual *waste generator* is unknown or no longer exists and a successor organization has assumed responsibility for the *waste*).

### waste management, radioactive

1. All administrative and operational *activities* involved in the handling, *pretreatment*, *treatment*, *conditioning*, *transport*, *storage* and *disposal* of *radioactive waste*.



**conditioning.** Those *operations* that produce a *waste package* suitable for handling, *transport*, *storage* and/or *disposal*.

① *Conditioning* may include the conversion of the *waste* to a solid *waste form*, enclosure of the *waste* in containers and, if necessary, provision of an *overpack*.

**immobilization.** Conversion of *waste* into a *waste form* by solidification, embedding or encapsulation.

① *Immobilization* reduces the potential for *migration* or *dispersion* of radionuclides during handling, *transport*, *storage* and/or *disposal*.

**overpack.** A secondary (or additional) outer container for one or more *waste packages*, used for handling, *transport*, *storage* and/or *disposal*.



**packaging.** Preparation of *radioactive waste* for safe handling, *transport*, *storage* and/or *disposal* by means of enclosing it in a suitable *container*.

**predisposal management.** Any *waste management* steps carried out prior to *disposal*, such as *pretreatment*, *treatment*, *conditioning*, *storage* and *transport activities*.

- ① Predisposal is not a form of *disposal*: predisposal is used as a contraction of ‘*pre-disposal management of radioactive waste*’.

**pretreatment.** Any or all of the *operations* prior to *waste treatment*, such as *collection*, *segregation*, *chemical adjustment* and *decontamination*.

**processing.** Any *operation* that changes the characteristics of *waste*, including *pretreatment*, *treatment* and *conditioning*.

**segregation.** An activity where types of *waste* or material (*radioactive* or *exempt*) are separated or are kept separate on the basis of radiological, chemical and/or physical properties, to facilitate *waste* handling and/or *processing*.

**treatment.** *Operations* intended to benefit *safety* and/or *economy* by changing the characteristics of the *waste*. Three basic *treatment* objectives are:

- (a) *Volume reduction*;
- (b) *Removal of radionuclides from the waste*;
- (c) *Change of composition*.

*Treatment* may result in an appropriate *waste form*.

- ① If *treatment* does not result in an appropriate *waste form*, the *waste* may be immobilized.

**volume reduction.** A *treatment* method that decreases the physical volume of a *waste*.

- ① Typical *volume reduction* methods are *mechanical compaction*, *incineration* and *evaporation*.
- ① Should not be confused with *waste minimization*.

See *minimization, waste*.

**2.** [All activities, including decommissioning activities, that relate to the handling, pretreatment, treatment, conditioning, storage or disposal of radioactive waste, excluding off-site transportation. It may also involve discharges.] (From Ref. [5].)

### **waste management facility, radioactive**

**1.** *Facility* specifically designed to handle, treat, condition, temporarily store or permanently dispose of *radioactive waste*.

**2.** [Any facility or installation the primary purpose of which is radioactive waste management, including a nuclear facility in the process of being decommissioned only if it is designated by the Contracting Party as a radioactive waste management facility.] (From Ref. [5].)

### **waste minimization**

See *minimization, waste*



**waste package**

See *package, waste*.

**weakly penetrating radiation**

See *radiation: strongly penetrating radiation*.

**wet storage**

See *storage*.

**worker**

Any person who works, whether full time, part time or temporarily, for an *employer* and who has recognized rights and duties in relation to occupational *radiation protection*.

- ① A self-employed person is regarded as having the duties of both an *employer* and a *worker*.

**workers' health surveillance**

Medical supervision intended to ensure the initial and continuing fitness of *workers* for their intended tasks.

**[working level (WL)]**

A unit of *potential alpha energy* concentration (i.e. the *potential alpha energy* per unit volume of air) resulting from the presence of decay products of  $^{222}\text{Rn}$  or  $^{220}\text{Rn}$ , equal to  $1.3 \times 10^8 \text{ MeV/m}^3$  (exactly).

- ! The term *working level* is now obsolete and its use is discouraged.

- ① In SI units, a *working level* is  $2.1 \times 10^{-5} \text{ J/m}^3$  (approximately).

**[working level month (WLM)]**

The *exposure* due to decay products of  $^{222}\text{Rn}$  or  $^{220}\text{Rn}$  that would be incurred during a working month (170 hours) in a constant *potential alpha energy* concentration of one *working level*.

- ! The term *working level month* is now obsolete and its use is discouraged.

- ① In SI units, a *working level month* is  $3.54 \times 10^{-3} \text{ J}\cdot\text{h/m}^3$  (approximately).

**workplace monitoring**

See *monitoring* (1).



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## Annex

### SI UNITS AND PREFIXES

■	SI base units	(See International Standard
■	SI derived units and non-SI units accepted for use with SI	ISO 1000 and the several
□	Additional units accepted for use with SI for the time being	parts of ISO 31.)

#### Prefixes for SI (and metric units)

d	(deci)	$10^{-1}$	da	(deca)	$10^1$
c	(centi)	$10^{-2}$	h	(hecto)	$10^2$
m	(milli)	$10^{-3}$	k	(kilo)	$10^3$
$\mu$	(micro)	$10^{-6}$	M	(mega)	$10^6$
n	(nano)	$10^{-9}$	G	(giga)	$10^9$
p	(pico)	$10^{-12}$	T	(tera)	$10^{12}$
f	(femto)	$10^{-15}$	P	(peta)	$10^{15}$
a	(atto)	$10^{-18}$	E	(exa)	$10^{18}$

#### Length

- m metre
- Å ångström ( $10^{-10}$  m)

#### Area

- a are ( $10^2$  m<sup>2</sup>)
- ha hectare ( $10^4$  m<sup>2</sup>)
- b barn ( $10^{-28}$  m<sup>2</sup>)

#### Volume

- L litre

#### Mass

- kg kilogram
- t tonne ( $10^3$  kg)
- u unified atomic mass unit

#### Time

- s second
- min minute
- h hour
- d day

#### Temperature

- K kelvin
- °C degree Celsius

**Pressure** (Indicate absolute (abs) or gauge (g) as required, e.g. 304 kPa (g))

- Pa pascal (N/m<sup>2</sup>)
- bar bar ( $10^5$  Pa)

#### Radiation units

- Bq becquerel (dimensions: s<sup>-1</sup>)
- Gy gray (1 Gy = 1 J/kg)
- Sv sievert
- Ci curie (1 Ci = 37 GBq)
- R röntgen (1 R = 258  $\mu$ C/kg)
- rad rad (100 rad = 1 Gy)
- rem rem (100 rem = 1 Sv)

#### Electricity and magnetism

- A ampere
- C coulomb
- eV electronvolt
- F farad
- H henry
- Hz hertz (cycles per second)
- $\Omega$  ohm
- S siemens (ohm<sup>-1</sup>)
- T tesla
- V volt
- W watt
- Wb weber

#### Others

- cd candela
- mol mole
- J joule
- lm lumen
- lx lux
- N newton
- rad radian
- sr steradian
- ° degree of angle
- ' minute of angle
- " second of angle