Agenda Item W 4.1
DPP for Draft Safety Guide DS513:
Leadership, Management and Culture for Safety
(Revision and expansion of GS-G-3.1)
– For approval for submission to CSS –

Helen Rycraft
NSNI/OSS
The proposed General Safety Guide is needed for the following reasons:

To provide recommendations that fully address Principle 3 of SF-1, especially in relation to leadership for safety.
• To update and expand GS-G-3.1 to take account of the new or strengthened requirements introduced by GSR Part 2.
• To improve the coverage of leadership, management, safety culture, and measurement, assessment and improvement in guidance for non-nuclear facilities, especially in relation to leadership for safety.
• To provide guidance on applying a graded approach to the application of the requirements of GSR Part 2 at facilities of widely varying size and with activities of widely varying risk profiles.
• To incorporate feedback from Member States, including lessons from the Fukushima Daiichi accident and other events.
• To establish a new General Safety Guide that will serve as a basis for the future development of Specific Safety Guides (or individual sections of such guides) on leadership, management and culture for safety for specific facilities and/or activities.
The objective of the proposed General Safety Guide is to provide recommendations for all facilities and activities on how to comply with each of the requirements established in GSR Part 2.

This General Safety Guide will have the same scope as GSR Part 2, i.e. it will address all facilities and activities, for the full lifetime of these facilities and duration of these activities, and for all operational states.

The General Safety Guide will also provide generic guidance applicable for the functions and activities of the regulatory body, and for other government organizations as appropriate.
Interfaces with Existing and/or Planned Publications

As Management, Leadership and Safety culture is a wide ranging area, an excel sheet was provided to the Standards Committee web site.

This will be updated and developed as the Guide is drafted and will form the basis of a ‘road’ map for leadership, management, and culture for safety guidance in IAEA documents.
Proposed Structure

Only the high level content is identified in the DPP:

Section 1: Background, Objective, Scope and Structure
- Normal description of history and development

Section 2: Guide on Generic requirements of GSR part 2
- Generic Concepts
  - Requirement 1

Section 3: Leadership and Culture for safety
- Requirements (1,2,12)

Section 4: Management for safety
- Requirements 3-11

Section 5: Measurement Assessment and Improvement Chapter 4
- Requirements 13, 14
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<td>STEP 1: Preparing a DPP</td>
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<td>STEP 2: Approval of DPP by the Coordination Committee</td>
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<td>STEP 3: Approval of DPP by the relevant review Committees</td>
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<td>STEP 6: Approval of draft by the Coordination Committee</td>
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<td>STEP 7: Approval by the relevant review Committees for submission to</td>
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<td>Member States for comments</td>
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<td>STEP 8: Soliciting comments by Member States</td>
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# Planned Schedule

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<td>STEP 9: Addressing comments by Member States</td>
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<td>STEP 10: Approval of the revised draft by the Coordination Committee Review in NS-SSCS</td>
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<td>STEP 14: Target publication date</td>
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Countries that commented:
Belgium – Application to Regulators - agreed
Canada- General points for draft guide, and ‘road map’ – agreed
Japan- Application to Regulator, Specific vs Generic – agreed
Call for plan for specific guides. – referred
South Africa – Request for guidance for small medium organizations - agreed

Organizations
WENRA/Framatome- Supply chain guidance – agreed with respect to FP 1 and 3

General call for not repeating detail that is included in other guides.
Action Requested

You are kindly requested to approve the DPP for Submittal to the CSS
Thank you!